

EASTSPRING INVESTMENTS
Société d'Investissement à Capital Variable
Registered Office: 26 boulevard Royal
L-2449 Luxembourg
Grand-Duchy of Luxembourg
R.C.S. Luxembourg B 81 110

SFDR PRE-CONTRACTUAL DISCLOSURES

The Regulation (EU) 2019/2088 of the European Parliament and of the Council of 27 November 2019 on sustainability-related disclosures in the financial services sector (the “**SFDR**”) lays down harmonised rules on transparency with regard to the integration of sustainability risks and the consideration of adverse sustainability impacts in their processes and the provision of sustainability-related information with respect to financial products.

This document comprises the pre-contractual disclosure for the following sub-funds of the Eastspring Investments (“**Sub-Funds**”) which are categorized as Article 8 of SFDR.

ASSET ALLOCATION FUNDS

1. Eastspring Investments – Asia Multi Asset Income Plus Growth Fund*
2. Eastspring Investments – Global Equity Navigator Fund*
3. Eastspring Investments – Global Market Navigator Fund
4. Eastspring Investments – Global Multi Asset Balanced Fund*
5. Eastspring Investments – Global Multi Asset Conservative Fund*
6. Eastspring Investments – Global Multi Asset Dynamic Fund*
7. Eastspring Investments – Global Multi Asset Income Plus Growth Fund

DYNAMIC FUNDS

8. Eastspring Investments – Asian Dynamic Fund*
9. Eastspring Investments – Global Emerging Markets Dynamic Fund
10. Eastspring Investments – Global Emerging Markets ex-China Dynamic Fund*
11. Eastspring Investments – Japan Dynamic Fund

GLOBAL FUNDS

12. Eastspring Investments – Global Emerging Markets Fundamental Value Fund*
13. Eastspring Investments – Global Low Volatility Equity Fund
14. Eastspring Investments – Global Multi Factor Equity Fund*
15. Eastspring Investments – Global Technology Fund*
16. Eastspring Investments – World Value Equity Fund

INCOME FUND

17. Eastspring Investments – Asian Equity Income Fund

REGIONAL FUNDS

18. Eastspring Investments – Asia Capital Markets Equity Fund*
19. Eastspring Investments – Asia Opportunities Equity Fund*
20. Eastspring Investments – Asia Pacific Equity Fund*
21. Eastspring Investments – Asian Equity Fund
22. Eastspring Investments – Asian Low Volatility Equity Fund

23. Eastspring Investments – Asian Multi Factor Equity Fund*
24. Eastspring Investments – Dragon Peacock Fund
25. Eastspring Investments – Greater China Equity Fund

SINGLE COUNTRY FUNDS

26. Eastspring Investments – China A Shares Growth Fund
27. Eastspring Investments – China Equity Fund
28. Eastspring Investments – India Equity Fund
29. Eastspring Investments – Indonesia Equity Fund
30. Eastspring Investments – Japan Smaller Companies Fund
31. Eastspring Investments – Japan ESG Equity Fund *
32. Eastspring Investments – Philippines Equity Fund*

FIXED INCOME FUNDS

33. Eastspring Investments – Asia Select Bond Fund^
34. Eastspring Investments – Asian Bond Fund
35. Eastspring Investments – Asian High Yield Bond Fund
36. Eastspring Investments – Asian Investment Grade Bond Fund*
37. Eastspring Investments – Asian Local Bond Fund
38. Eastspring Investments – China Bond Fund
39. Eastspring Investments – CICC China USD ESG Bond Fund*
40. Eastspring Investments – Global Emerging Markets Bond Fund*
41. Eastspring Investments – Global Emerging Markets Total Return Bond Fund*
42. Eastspring Investments – US Corporate Bond Fund
43. Eastspring Investments – US High Investment Grade Bond Fund
44. Eastspring Investments – US High Yield Bond Fund
45. Eastspring Investments – US Investment Grade Bond Fund

* Please note that this Sub-Fund is not authorised by the SFC and is not available to the public of Hong Kong.

^ Until 29 April 2025 (included) the name of the Sub-Fund will be: “Eastspring Investments – Asia ESG Bond Fund”

March 2025

ASSET ALLOCATION FUNDS

Product name:

EASTSPRING INVESTMENTS – ASIA MULTI
ASSET INCOME PLUS GROWTH FUND

Legal entity identifier:
636700D1GJBKALV2EA53

**Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a,
of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852**

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| <p><input checked="" type="radio"/> <input type="checkbox"/> Yes</p> | <p><input type="radio"/> <input checked="" type="checkbox"/> No</p> |
| <p><input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ %</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p><input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ %</p> | <p><input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with a social objective</p> <p><input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments</p> |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

Direct investments (as specified below under the description of the investment strategy) made by the Sub-Fund are subject to ESG Integration (as further described below) and the following ESG Exclusions:

- i) companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14);
- ii) companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products; and
- iii) companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Indirect investments made by the Sub-Fund via Collective Investment Schemes (“CIS”) are subject to the ESG Integration of ESG Factors (as further described below) that are assessed during due diligence while considering any prospective investment and are applied from origination to exit.

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1) For direct investments made by the Sub-Fund, the sustainability indicators used to measure the attainment of the E/S characteristics are:

a) the percentage of direct investments in the Sub-Fund that has applied ESG Integration is determined through the ESG risk assessment, which evaluates the strength of the Investment Manager’s ESG credentials, ESG Integration process, membership in reputable ESG industry organisations and the performance of the Sub-Fund’s ESG indicators compared to the Benchmark (the “ESG Risk Assessment”); and

b) the application of the ESG Exclusions referred to above.

2) For the indirect investments made by the Sub-Fund made via CIS, the sustainability indicator used to measure the attainment of the E/S characteristics is the percentage of CIS proportion of the Sub-Fund that has undergone ESG Integration; where ESG Integration refers to:

i) the Sub-Fund allocating investment as a priority to SFDR Article 8 or Article 9 CIS, or

ii) where ESG characteristics are explicitly mentioned in the vehicle’s security description which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

Not applicable.

- **How have the indicators for adverse impacts on sustainability factors been taken into account?**
Not applicable.
- **How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?**
Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

- Yes
- No, decisions made by the Investment Manager for this Sub-Fund are primarily of a top-down asset allocation nature and implemented through the use of a mix of direct and indirect investments (through CIS). Hence, taking into account the principal adverse impacts on sustainability factors will not be practical and accurate.



What investment strategy does this financial product follow?

The Sub-Fund aims to provide income and modest capital growth over the medium to long term through the implementation of an actively managed investment strategy. This strategy combines both discretionary and rules-based approaches, allowing flexibility in response to changing market conditions.

The Sub-Fund invests in a diversified range of eligible Asian assets including but not limited to equities (and equity-related securities), fixed income/debt securities, currencies and cash and its equivalents. Exposure to the asset classes will be primarily through: direct equity and fixed income/debt securities (including sovereign debt, high yield securities, CMBS, ABS, MBS and convertible bonds), units of undertakings for collective investment, exchange traded funds, money market instruments and FDI (such as forwards, futures, options, swaps, swaptions, credit default swaps and total return swaps). The Sub-Fund may use FDI for hedging and for the purposes of efficient portfolio management through recognised exchanges or via the over-the-counter markets. Over-the-counter FDI may be used to implement strategies by providing exposure to baskets of UCITS eligible instruments. The Sub-Fund may also invest up to 10% in aggregate of its net assets in Alternative Asset Classes.

The Sub-Fund adopts a flexible approach to asset allocation and provides a diversified multi-asset portfolio. The asset allocation of the Sub-Fund will change according to the Investment Manager’s view, taking into account macro-economic and country views in determining its equity allocation and macro-economic, credit and interest rate views in determining its fixed income allocation.

The Sub-Fund may invest up to 100% of its net assets in fixed income/debt securities rated below investment grade (i.e. rated below BBB- by Standard & Poor’s or comparable ratings by Moody’s

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Investors Services or Fitch Ratings) or if unrated, determined by the Investment Manager to be of comparable quality. For the purpose of this Sub-Fund, the term “unrated” fixed income/debt securities are defined to mean that neither the fixed income/debt security itself, nor its issuer has a credit rating by Standard & Poor, Moody’s Investors Services or Fitch Ratings.

This Sub-Fund may also invest up to 5% in aggregate of its net assets in Distressed Securities and Defaulted Securities. The Sub-Fund may invest less than 25% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in Contingent Convertible Bonds (“CoCos”) with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.

The Sub-Fund is not subject to any limitation on the portion of its net assets that may be invested in any one country, sector or any companies with a particular market capitalisation. The Sub-Fund will invest primarily in assets which have an Asian focus. Subject to the above strategy, from time to time, the Sub-Fund may invest more than 30% of its net assets in any one single country.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

The binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product are, a minimum of 55% of FUM will align with the below:

1) Where allocations are made via Collective Investment Schemes (“CIS”), all investments allocated will be to funds that are either SFDR Article 8 or Article 9 vehicles, or where ESG characteristics are explicitly mentioned in the vehicle’s security description - this will consider a range of ESG funds which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

2) For other allocations (i.e.. within the investment manager):, all investments allocated will be to funds that are screened using the ESG Risk Assessment framework and will also apply the following ESG Exclusions:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?***

Not applicable.

- ***What is the policy to assess good governance practices of the investee companies?***

The Sub-Fund’s ESG Integration process shall consider ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process. In case of indirect investments, the Sub-Fund shall select funds where the portfolio manager has in place an ESG policy that considers ESG characteristics or ESG

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

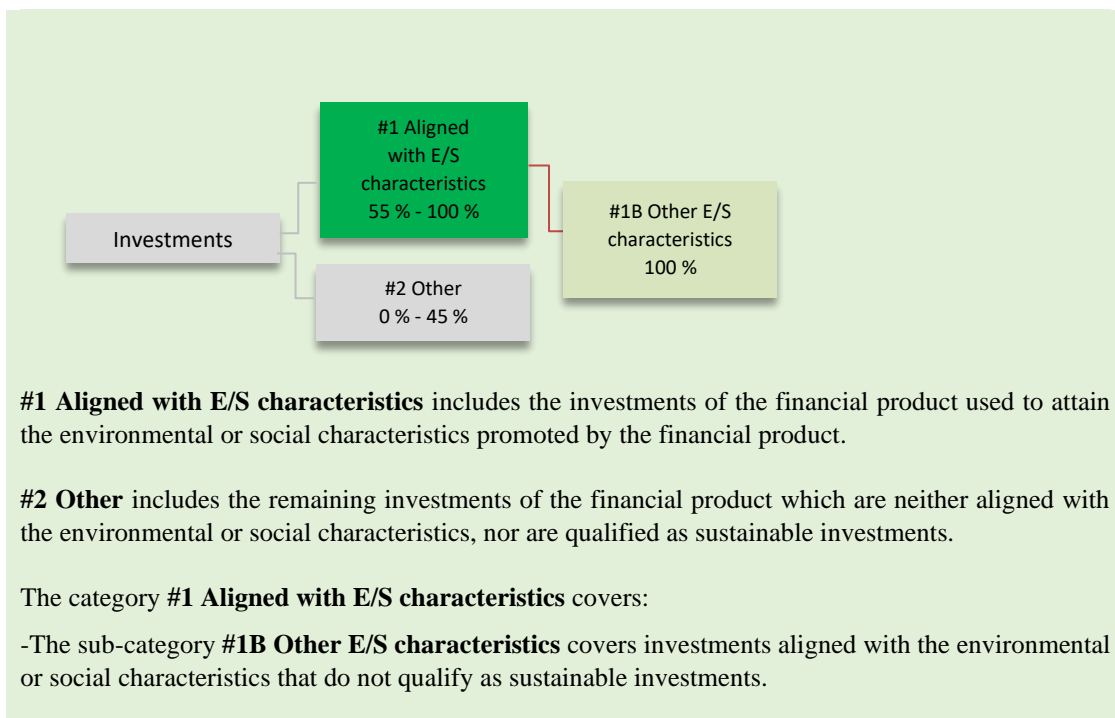
scores that include governance issues as part of their investment research and continuous active shareholding process.



Asset allocation describes the share of investments in specific assets.

What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in a diversified range of assets including but not limited to equities (and equity-related securities), bonds, currencies and cash and its equivalent. The Investment Manager shall ensure that a minimum 55% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.



Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**²⁰

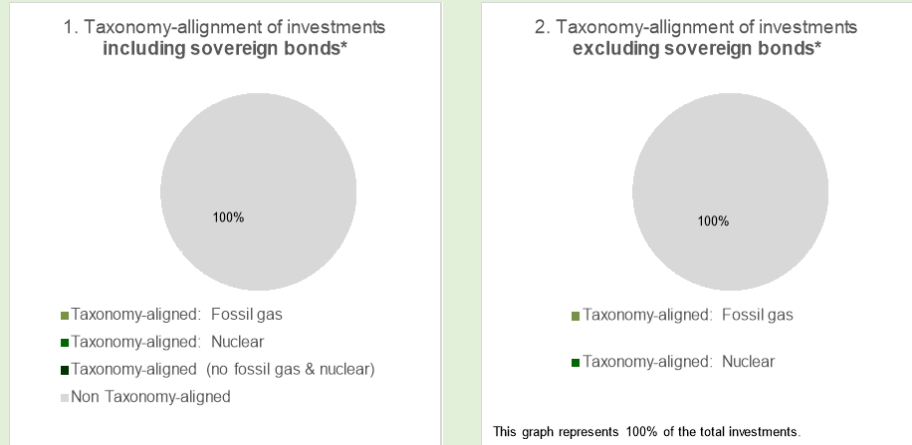
Yes:

In fossil gas In nuclear energy

No

²⁰ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.



*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” include but are not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives, sovereign issuances such as treasury bills and sovereign bonds, as well as money market funds that may not be aligned with E/S characteristics, and neither environmental nor social safeguards have been considered for their inclusion; or investments that have not been assessed for alignment with the E/S characteristics described for this Sub-Fund.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager's Responsible Investment Policy.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

Direct investments (as specified below under the description of the investment strategy) made by the Sub-Fund are subject to ESG Integration (as further described below) and the following ESG Exclusions:

i) companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14);

ii) companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products; and

iii) companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Indirect investments made by the Sub-Fund via Collective Investment Schemes (“CIS”) are subject to the ESG Integration of ESG Factors (as further described below) that are assessed during due diligence while considering any prospective investment and are applied from origination to exit.

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1) For direct investments made by the Sub-Fund, the sustainability indicators used to measure the attainment of the E/S characteristics are:

a) the percentage of direct investments in the Sub-Fund that has applied ESG Integration is determined through the ESG risk assessment, which evaluates the strength of the Investment Manager’s ESG credentials, ESG Integration process, membership in reputable ESG industry organisations and the performance of the Sub-Fund’s ESG indicators compared to the Benchmark (the “ESG Risk Assessment”); and

b) the application of the ESG Exclusions referred to above.

2) For the indirect investments made by the Sub-Fund made via CIS, the sustainability indicator used to measure the attainment of the E/S characteristics is the percentage of CIS proportion of the Sub-Fund that has undergone ESG Integration; where ESG Integration refers to:

i) the Sub-Fund allocating investment as a priority to SFDR Article 8 or Article 9 CIS, or

ii) where ESG characteristics are explicitly mentioned in the vehicle’s security description which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

- *How have the indicators for adverse impacts on sustainability factors been taken into account?*

Not applicable.

- *How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?*

Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

- Yes
- No, decisions made by the Investment Manager for this Sub-Fund are primarily of a top-down asset allocation nature and implemented through the use of a mix of direct and indirect investments (through CIS). Hence, taking into account the principal adverse impacts on sustainability factors will not be practical and accurate.



What investment strategy does this financial product follow?

This Sub-Fund aims primarily to achieve a combination of income and capital growth over the medium-term through the implementation of an actively managed asset allocation strategy across equity markets globally. This strategy combines both discretionary and rules-based approaches, allowing flexibility in response to changing market conditions. Exposure to each of the assets will be mainly through exchange traded funds, units of undertakings for collective investment, direct equity and FDI (such as forwards, futures, options, swaps, swaptions, credit default swaps and total return swaps). The Sub-Fund may use FDI for hedging and for the purposes of efficient portfolio management through recognised exchanges or via the over-the-counter markets. Over-the-counter FDI may be used to implement strategies by providing exposure to baskets of UCITS eligible instruments. The Sub-Fund may also invest in fixed income securities and money market instruments issued or guaranteed by the United States government, its agencies or instrumentalities, in cash and time deposits.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

- *What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?*

The binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product are, a minimum of 55% of FUM will align with the below:

- 1) Where allocations are made via Collective Investment Schemes (“CIS”), all investments allocated will be to funds that are either SFDR Article 8 or Article 9 vehicles, or where ESG

characteristics are explicitly mentioned in the vehicle’s security description - this will consider a range of ESG funds which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

2) For other allocations (i.e.. within the investment manager):, all investments allocated will be to funds that are screened using the ESG Risk Assessment framework and will also apply the following ESG Exclusions:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- **What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?**

Not applicable.

- **What is the policy to assess good governance practices of the investee companies?**

The Sub-Fund’s ESG Integration process shall consider ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process. In case of indirect investments, the Sub-Fund shall select funds where the portfolio manager has in place an ESG policy that considers ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process.

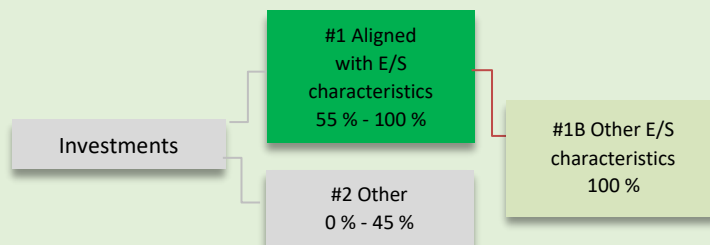
Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities and may also invest up to 33% in fixed income securities, money market instruments, cash and time deposits. The Investment Manager shall ensure that a minimum 55% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.



Asset allocation describes the share of investments in specific assets.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund makes use of derivative instruments but does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.

To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**²¹

Yes:

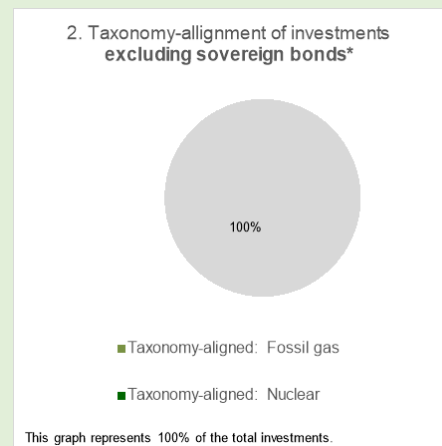
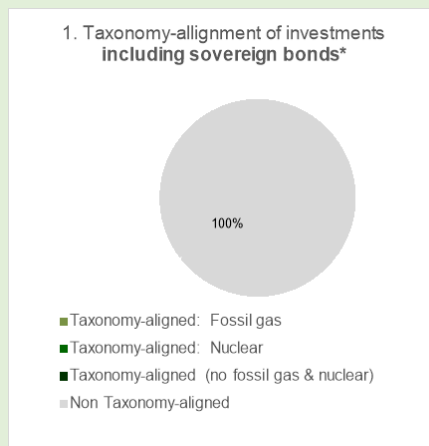
In fossil gas In nuclear energy

No

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*



* For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures

²¹ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

- *What is the minimum share of investments in transitional and enabling activities?*

Not applicable.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” include but are not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives, sovereign issuances such as treasury bills and sovereign bonds, as well as money market funds that may not be aligned with E/S characteristics, and neither environmental nor social safeguards have been considered for their inclusion; or investments that have not been assessed for alignment with the E/S characteristics described for this Sub-Fund.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*


Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

Product name:
 EASTSPRING INVESTMENTS – GLOBAL
 MARKET NAVIGATOR FUND

Legal entity identifier:
 549300LZK0L4I21B4X71

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

- It will make a minimum of **sustainable investments with an environmental objective**: ____ %
 - in economic activities that qualify as environmentally sustainable under the EU Taxonomy
 - in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy
- It will make a minimum of **sustainable investments with a social objective**:
 _____ %

No

- It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments
 - with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy
 - with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy
 - with a social objective
- It promotes E/S characteristics, but **will not make any sustainable investments**

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

Direct investments (as specified below under the description of the investment strategy) made by the Sub-Fund are subject to ESG Integration (as further described below) and the following ESG Exclusions:

- i) companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14);
- ii) companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products; and
- iii) companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Indirect investments made by the Sub-Fund via Collective Investment Schemes (“CIS”) are subject to the ESG Integration of ESG Factors (as further described below) that are assessed during due diligence while considering any prospective investment and are applied from origination to exit.

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1) For direct investments made by the Sub-Fund, the sustainability indicators used to measure the attainment of the E/S characteristics are:

a) the percentage of direct investments in the Sub-Fund that has applied ESG Integration is determined through the ESG risk assessment, which evaluates the strength of the Investment Manager’s ESG credentials, ESG Integration process, membership in reputable ESG industry organisations and the performance of the Sub-Fund’s ESG indicators compared to the Benchmark (the “ESG Risk Assessment”); and

b) the application of the ESG Exclusions referred to above.

2) For the indirect investments made by the Sub-Fund made via CIS, the sustainability indicator used to measure the attainment of the E/S characteristics is the percentage of CIS proportion of the Sub-Fund that has undergone ESG Integration; where ESG Integration refers to:

i) the Sub-Fund allocating investment as a priority to SFDR Article 8 or Article 9 CIS, or

ii) where ESG characteristics are explicitly mentioned in the vehicle’s security description which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

- **How have the indicators for adverse impacts on sustainability factors been taken into account?**

Not applicable.

- **How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?**

Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

- Yes
- No, decisions made by the Investment Manager for this Sub-Fund are primarily of a top-down asset allocation nature and implemented through the use of a mix of direct and indirect investments (through CIS). Hence, taking into account the principal adverse impacts on sustainability factors will not be practical and accurate.



What investment strategy does this financial product follow?

The Sub-Fund aims to achieve positive absolute returns over the medium-term through the implementation of an actively managed investment strategy in a diversified range of global assets including but not limited to equities (and equity-related securities), fixed income/debt securities, currencies and cash and its equivalents. This strategy combines both discretionary and rules-based approaches, allowing flexibility in response to changing market conditions. Exposure to each of the asset classes will be primarily through exchange traded funds, direct equity and fixed income/debt securities (including high yield bonds, CMBS, ABS and MBS), units of undertakings for collective investment, money market instruments and FDI (such as forwards, futures, options, swaps, swaptions, credit default swaps and total return swaps). The Sub-Fund may use FDI for hedging and for the purposes of efficient portfolio management through recognised exchanges or via the over-the-counter markets. Over-the-counter FDI may be used to implement strategies by providing exposure to baskets of UCITS eligible instruments.

The aggregate exposure in FDI for efficient portfolio management is generally expected to be less than 50% of the Sub-Fund’s net assets, but may be up to 100% of the Sub-Fund’s net assets under certain circumstances (e.g. when the derivative market offers greater-than-normal opportunities for higher returns or there is a high risk of market downturn).

The Sub-Fund may also invest up to 10% in aggregate of its net assets in Alternative Asset Classes. This objective may also be achieved through investments in unlisted collective investment schemes and other sub-funds of the SICAV on an ancillary basis below 30% of the net assets of the Sub-Fund.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

This Sub-Fund may also invest up to 5% in aggregate of its net assets in Distressed Securities and Defaulted Securities. The Sub-Fund may invest no more than 25% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in CoCos with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.

The Sub-Fund is not subject to any limitation on the portion of its net assets that may be invested in any one country, sector or any companies with a particular market capitalisation. Subject to the above strategy, from time to time, the Sub-Fund may invest more than 30% of its net assets in any one single country or region globally, such as the US.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

The binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product are, a minimum of 55% of FUM will align with the below:

1) Where allocations are made via Collective Investment Schemes (“CIS”), all investments allocated will be to funds that are either SFDR Article 8 or Article 9 vehicles, or where ESG characteristics are explicitly mentioned in the vehicle’s security description - this will consider a range of ESG funds which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

2) For other allocations (i.e. within the investment manager);, all investments allocated will be to funds that are screened using the ESG Risk Assessment framework and will also apply the following ESG Exclusions:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?***

Not applicable.

- ***What is the policy to assess good governance practices of the investee companies?***

The Sub-Fund’s ESG Integration process shall consider ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process. In case of indirect investments, the Sub-Fund shall select funds where the portfolio manager has in place an ESG policy that considers ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.



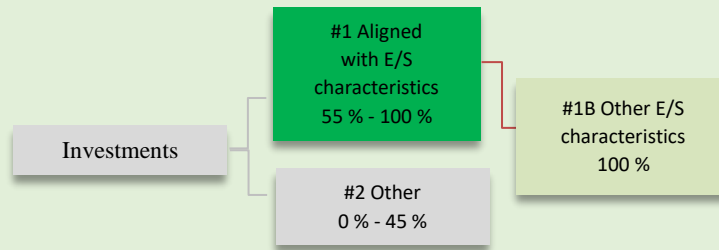
What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in a diversified range of assets including cash, equities, bonds and currencies. The Investment Manager shall ensure that a minimum 55% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

- The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund makes use of derivative instruments but does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.

To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.



Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

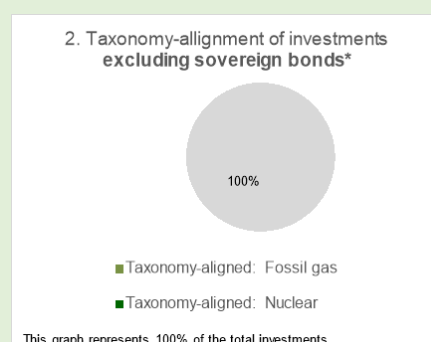
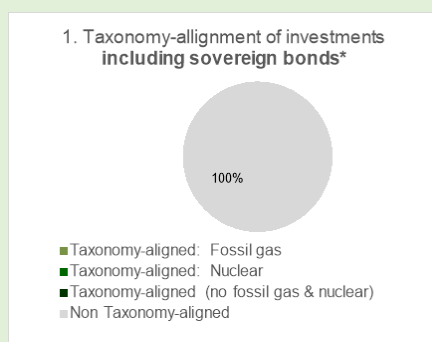
- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**²²

Yes:

In fossil gas In nuclear energy

No


The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*



*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” include but are not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives, sovereign issuances such as treasury bills and sovereign bonds, as well as money market funds that may not be aligned with E/S characteristics, and neither environmental nor social safeguards have been considered for their inclusion; or

²² Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

investments that have not been assessed for alignment with the E/S characteristics described for this Sub-Fund.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- ***How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?***

Not applicable.

- ***How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?***

Not applicable.

- ***How does the designated index differ from a relevant broad market index?***

Not applicable.

- ***Where can the methodology used for the calculation of the designated index be found?***

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager's Responsible Investment Policy.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

Product name:

EASTSPRING INVESTMENTS – GLOBAL MULTI
ASSET BALANCED FUND

Legal entity identifier:

636700IW4LK8F8V45M34

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective**: ___ %

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

It will make a minimum of **sustainable investments with a social objective**: _____ %

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___ % of sustainable investments

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

Direct investments (as specified below under the description of the investment strategy) made by the Sub-Fund are subject to ESG Integration (as further described below) and the following ESG Exclusions:

- i) companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14);
- ii) companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products; and
- iii) companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Indirect investments made by the Sub-Fund via Collective Investment Schemes (“CIS”) are subject to the ESG Integration of ESG Factors (as further described below) that are assessed during due diligence while considering any prospective investment and are applied from origination to exit.

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***
 - 1) For direct investments made by the Sub-Fund, the sustainability indicators used to measure the attainment of the E/S characteristics are:
 - a) the percentage of direct investments in the Sub-Fund that has applied ESG Integration is determined through the ESG risk assessment, which evaluates the strength of the Investment Manager’s ESG credentials, ESG Integration process, membership in reputable ESG industry organisations and the performance of the Sub-Fund’s ESG indicators compared to the Benchmark (the “ESG Risk Assessment”); and
 - b) the application of the ESG Exclusions referred to above.
 - 2) For the indirect investments made by the Sub-Fund made via CIS, the sustainability indicator used to measure the attainment of the E/S characteristics is the percentage of CIS proportion of the Sub-Fund that has undergone ESG Integration; where ESG Integration refers to:
 - i) the Sub-Fund allocating investment as a priority to SFDR Article 8 or Article 9 CIS, or
 - ii) where ESG characteristics are explicitly mentioned in the vehicle’s security description which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.
- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.
- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.
- ***How have the indicators for adverse impacts on sustainability factors been taken into account?***

Not applicable.

- **How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?**
Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

Does this financial product consider principal adverse impacts on sustainability factors?

- Yes
- No, decisions made by the Investment Manager for this Sub-Fund are primarily of a top-down asset allocation nature and implemented through the use of a mix of direct and indirect investments (through CIS). Hence, taking into account the principal adverse impacts on sustainability factors will not be practical and accurate.

What investment strategy does this financial product follow?

The Sub-Fund aims to achieve sustained growth and generate income over the medium to long term through the implementation of an actively managed investment strategy. This strategy combines both discretionary and rules-based approaches, allowing flexibility in response to changing market conditions.

The Sub-Fund invests in a diversified range of eligible global assets including but not limited to equities (and equity-related securities), fixed income/debt securities, currencies and cash and its equivalents. Exposure to the asset classes will be primarily through: direct equity and fixed income/debt securities (including sovereign debt, high yield securities, CMBS, ABS, MBS and convertible bonds), units of undertakings for collective investment, exchange traded funds, money market instruments and FDI (such as forwards, futures, options, swaps, swaptions, credit default swaps and total return swaps). The Sub-Fund may use FDI for hedging and for the purposes of efficient portfolio management through recognised exchanges or via the over-the-counter markets. Over-the-counter FDI may be used to implement strategies by providing exposure to baskets of UCITS eligible instruments. The Sub-Fund may also invest up to 10% in aggregate of its net assets in Alternative Asset Classes.

The Sub-Fund may invest up to 75% of its net assets in fixed income/debt securities rated below investment grade (i.e. rated below BBB- by Standard & Poor’s or comparable ratings by Moody’s Investors Services or Fitch Ratings) or if unrated, determined by the Investment Manager to be of comparable quality. For the purpose of this Sub-Fund, the term “unrated” fixed income/debt securities are defined to mean that neither the fixed income/debt security itself, nor its issuer has a credit rating by Standard & Poor, Moody’s Investors Services or Fitch Ratings.

This Sub-Fund may also invest up to 5% in aggregate of its net assets in Distressed Securities and Defaulted Securities. The Sub-Fund may invest less than 25% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in Contingent Convertible Bonds (“CoCos”) with loss absorption features (such as Additional Tier 1 capital and Tier

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.



The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.

The Sub-Fund is not subject to any limitation on the portion of its net assets that may be invested in any one country, sector or any companies with a particular market capitalisation. Subject to the above strategy, from time to time, the Sub-Fund may invest more than 30% of its net assets in any one single country or region globally, such as the US.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

The binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product are, a minimum of 55% of FUM will align with the below:

1) Where allocations are made via Collective Investment Schemes (“CIS”), all investments allocated will be to funds that are either SFDR Article 8 or Article 9 vehicles, or where ESG characteristics are explicitly mentioned in the vehicle’s security description - this will consider a range of ESG funds which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

2) For other allocations (i.e.. within the investment manager):, all investments allocated will be to funds that are screened using the ESG Risk Assessment framework and will also apply the following ESG Exclusions:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?***

Not applicable.

- ***What is the policy to assess good governance practices of the investee companies?***

The Sub-Fund’s ESG Integration process shall consider ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process. In case of indirect investments, the Sub-Fund shall select funds where the portfolio manager has in place an ESG policy that considers ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.



What is the asset allocation planned for this financial product?

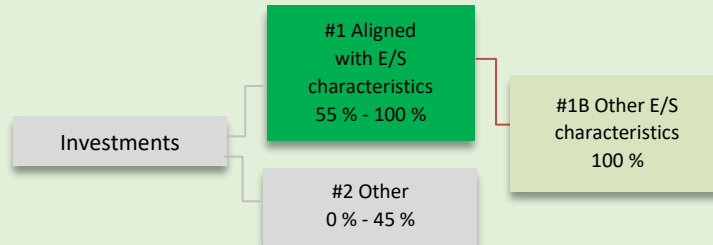
The Sub-Fund shall invest up to a maximum of 100% in a diversified range of assets including but not limited to equities (and equity-related securities), bonds, currencies and cash and its equivalent. The Investment Manager shall ensure that a minimum 55% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund makes use of derivative instruments but does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**²³

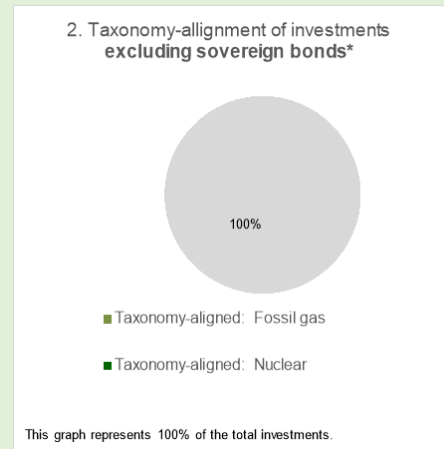
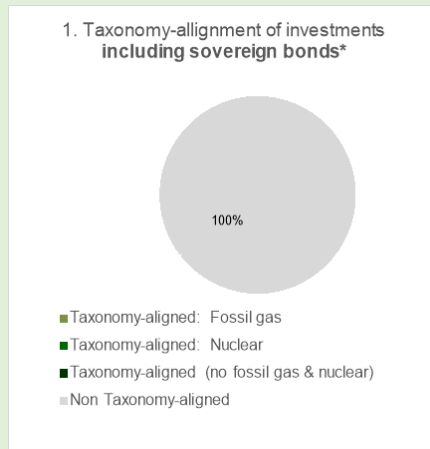
²³ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Yes:

In fossil gas In nuclear energy

No

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*



**For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures*

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.

What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.

What is the minimum share of socially sustainable investments?

Not applicable.

What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” include but are not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives, sovereign issuances such as treasury bills and sovereign bonds, as well as money market funds that may not be aligned with E/S characteristics, and neither environmental nor social safeguards have been considered for their inclusion; or

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.



Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.



investments that have not been assessed for alignment with the E/S characteristics described for this Sub-Fund.

Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager's Responsible Investment Policy.

Product name:

EASTSPRING INVESTMENTS – GLOBAL MULTI
ASSET CONSERVATIVE FUND

Legal entity identifier:
636700SDVWBT9536GE18

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective**: ___ %

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

It will make a minimum of **sustainable investments with a social objective**: _____ %

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___ % of sustainable investments

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

Direct investments (as specified below under the description of the investment strategy) made by the Sub-Fund are subject to ESG Integration (as further described below) and the following ESG Exclusions:

- i) companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14);
- ii) companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products; and
- iii) companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1) For direct investments made by the Sub-Fund, the sustainability indicators used to measure the attainment of the E/S characteristics are:

a) the percentage of direct investments in the Sub-Fund that has applied ESG Integration is determined through the ESG risk assessment, which evaluates the strength of the Investment Manager’s ESG credentials, ESG Integration process, membership in reputable ESG industry organisations and the performance of the Sub-Fund’s ESG indicators compared to the Benchmark (the “ESG Risk Assessment”); and

b) the application of the ESG Exclusions referred to above.

2) For the indirect investments made by the Sub-Fund made via CIS, the sustainability indicator used to measure the attainment of the E/S characteristics is the percentage of CIS proportion of the Sub-Fund that has undergone ESG Integration; where ESG Integration refers to:

- i) the Sub-Fund allocating investment as a priority to SFDR Article 8 or Article 9 CIS, or
- ii) where ESG characteristics are explicitly mentioned in the vehicle’s security description which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

- ***How have the indicators for adverse impacts on sustainability factors been taken into account?***

Not applicable.

- ***How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?***

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

- Yes
- No, decisions made by the Investment Manager for this Sub-Fund are primarily of a top-down asset allocation nature and implemented through the use of a mix of direct and indirect investments (through CIS). Hence, taking into account the principal adverse impacts on sustainability factors will not be practical and accurate.

What investment strategy does this financial product follow?

The Sub-Fund aims to achieve moderate growth and generate income over the medium to long term through the implementation of an actively managed investment strategy. This strategy combines both discretionary and rules-based approaches, allowing flexibility in response to changing market conditions.

The Sub-Fund invests in a diversified range of eligible global assets including but not limited to equities (and equity-related securities), fixed income/debt securities, currencies and cash and its equivalents. Exposure to the asset classes will be primarily through: direct equity and fixed income/debt securities (including sovereign debt, high yield securities, CMBS, ABS, MBS and convertible bonds), units of undertakings for collective investment, exchange traded funds, money market instruments and FDI (such as forwards, futures, options, swaps, swaptions, credit default swaps and total return swaps). The Sub-Fund may use FDI for hedging and for the purposes of efficient portfolio management through recognised exchanges or via the over-the-counter markets. Over-the-counter FDI may be used to implement strategies by providing exposure to baskets of UCITS eligible instruments. The Sub-Fund may also invest up to 10% in aggregate of its net assets in Alternative Asset Classes.

The Sub-Fund may invest up to 50% of its net assets in fixed income/debt securities rated below investment grade (i.e. rated below BBB- by Standard & Poor’s or comparable ratings by Moody’s Investors Services or Fitch Ratings) or if unrated, determined by the Investment Manager to be of comparable quality. For the purpose of this Sub-Fund, the term “unrated” fixed income/debt securities are defined to mean that neither the fixed income/debt security itself, nor its issuer has a credit rating by Standard & Poor, Moody’s Investors Services or Fitch Ratings.

This Sub-Fund may also invest up to 5% in aggregate of its net assets in Distressed Securities and Defaulted Securities. The Sub-Fund may invest less than 25% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in Contingent Convertible Bonds (“CoCos”) with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.

The Sub-Fund is not subject to any limitation on the portion of its net assets that may be invested in any one country, sector or any companies with a particular market capitalisation. Subject to the above

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

strategy, from time to time, the Sub-Fund may invest more than 30% of its net assets in any one single country or region globally, such as the US.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

The binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product are, a minimum of 55% of FUM will align with the below:

1) Where allocations are made via Collective Investment Schemes (“CIS”), all investments allocated will be to funds that are either SFDR Article 8 or Article 9 vehicles, or where ESG characteristics are explicitly mentioned in the vehicle’s security description - this will consider a range of ESG funds which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

2) For other allocations (i.e.. within the investment manager):, all investments allocated will be to funds that are screened using the ESG Risk Assessment framework and will also apply the following ESG Exclusions:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?***

Not applicable.

- ***What is the policy to assess good governance practices of the investee companies?***

The Sub-Fund’s ESG Integration process shall consider ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process. In case of indirect investments, the Sub-Fund shall select funds where the portfolio manager has in place an ESG policy that considers ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process.

What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in a diversified range of assets including but not limited to equities (and equity-related securities), bonds, currencies and cash and its equivalent. The Investment Manager shall ensure that a minimum 55% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.



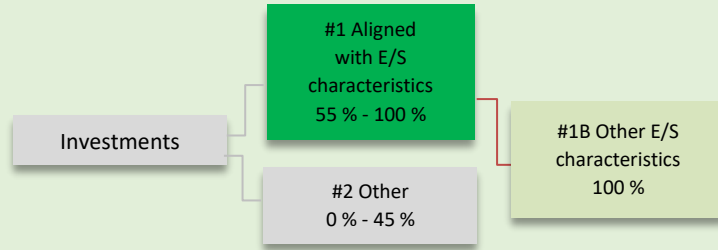
Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund makes use of derivative instruments but does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?²⁴**

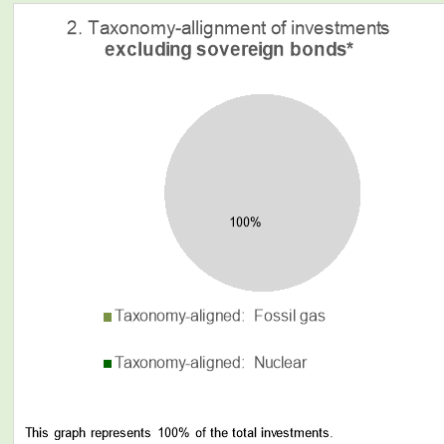
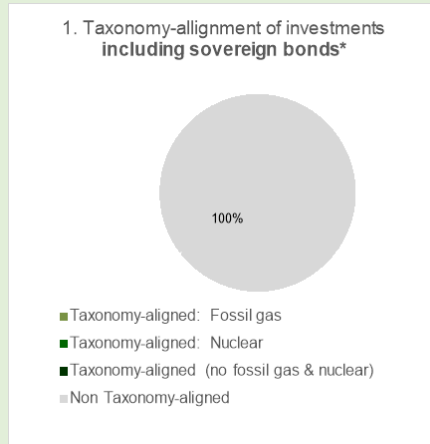
Yes:

In fossil gas In nuclear energy

²⁴ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

No

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.



*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.

What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.

What is the minimum share of socially sustainable investments?

Not applicable.

What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” include but are not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives, sovereign issuances such as treasury bills and sovereign bonds, as well as money market funds that may not be aligned with E/S characteristics, and neither environmental nor social safeguards have been considered for their inclusion; or investments that have not been assessed for alignment with the E/S characteristics described for this Sub-Fund.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.





environmentally sustainable investments **that do not take into account the criteria** for environmentally sustainable economic activities under the EU Taxonomy.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability> The website includes further information on the investment strategy and the Investment Manager's Responsible Investment Policy.

Product name:

EASTSPRING INVESTMENTS – GLOBAL MULTI
ASSET DYNAMIC FUND

Legal entity identifier:

636700I4DODYNRPZRD71

Sustainable

investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

It will make a minimum of **sustainable investments with an environmental objective**: ___ %

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

It will make a minimum of **sustainable investments with a social objective**:
_____ %

No

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___ % of sustainable investments

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

Direct investments (as specified below under the description of the investment strategy) made by the Sub-Fund are subject to ESG Integration (as further described below) and the following ESG Exclusions:

- i) companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14);
- ii) companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products; and
- iii) companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1) For direct investments made by the Sub-Fund, the sustainability indicators used to measure the attainment of the E/S characteristics are:

a) the percentage of direct investments in the Sub-Fund that has applied ESG Integration is determined through the ESG risk assessment, which evaluates the strength of the Investment Manager’s ESG credentials, ESG Integration process, membership in reputable ESG industry organisations and the performance of the Sub-Fund’s ESG indicators compared to the Benchmark (the “ESG Risk Assessment”); and

b) the application of the ESG Exclusions referred to above.

2) For the indirect investments made by the Sub-Fund made via CIS, the sustainability indicator used to measure the attainment of the E/S characteristics is the percentage of CIS proportion of the Sub-Fund that has undergone ESG Integration; where ESG Integration refers to:

- i) the Sub-Fund allocating investment as a priority to SFDR Article 8 or Article 9 CIS, or
- ii) where ESG characteristics are explicitly mentioned in the vehicle’s security description which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

- ***How have the indicators for adverse impacts on sustainability factors been taken into account?***

Not applicable.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

- **How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?**
Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

- Yes
- No, decisions made by the Investment Manager for this Sub-Fund are primarily of a top-down asset allocation nature and implemented through the use of a mix of direct and indirect investments (through CIS). Hence, taking into account the principal adverse impacts on sustainability factors will not be practical and accurate.



What investment strategy does this financial product follow?

The Sub-Fund aims to achieve strong growth and generate income over the medium to long term through the implementation of an actively managed investment strategy. This strategy combines both discretionary and rules-based approaches, allowing flexibility in response to changing market conditions.

The Sub-Fund invests in a diversified range of eligible global assets including but not limited to equities (and equity-related securities), fixed income/debt securities, currencies and cash and its equivalents. Exposure to the asset classes will be primarily through: direct equity and fixed income/debt securities (including sovereign debt, high yield securities, CMBS, ABS, MBS and convertible bonds), units of undertakings for collective investment, exchange traded funds, money market instruments and FDI (such as forwards, futures, options, swaps, swaptions, credit default swaps and total return swaps). The Sub-Fund may use FDI for hedging and for the purposes of efficient portfolio management through recognised exchanges or via the over-the-counter markets. Over-the-counter FDI may be used to implement strategies by providing exposure to baskets of UCITS eligible instruments. The Sub-Fund may also invest up to 10% in aggregate of its net assets in Alternative Asset Classes.

The Sub-Fund may invest up to 100% of its net assets in fixed income/debt securities rated below investment grade (i.e. rated below BBB- by Standard & Poor’s or comparable ratings by Moody’s Investors Services or Fitch Ratings) or if unrated, determined by the Investment Manager to be of comparable quality. For the purpose of this Sub-Fund, the term “unrated” fixed income/debt securities are defined to mean that neither the fixed income/debt security itself, nor its issuer has a credit rating by Standard & Poor, Moody’s Investors Services or Fitch Ratings.

This Sub-Fund may also invest up to 5% in aggregate of its net assets in Distressed Securities and Defaulted Securities. The Sub-Fund may invest less than 20% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in Contingent Convertible Bonds (“CoCos”) with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.

The Sub-Fund is not subject to any limitation on the portion of its net assets that may be invested in any one country, sector or any companies with a particular market capitalisation. Subject to the above strategy, from time to time, the Sub-Fund may invest more than 30% of its net assets in any one single country or region globally, such as the US.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

The binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product are, a minimum of 55% of FUM will align with the below:

1) Where allocations are made via Collective Investment Schemes (“CIS”), all investments allocated will be to funds that are either SFDR Article 8 or Article 9 vehicles, or where ESG characteristics are explicitly mentioned in the vehicle’s security description - this will consider a range of ESG funds which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

2) For other allocations (i.e.. within the investment manager):, all investments allocated will be to funds that are screened using the ESG Risk Assessment framework and will also apply the following ESG Exclusions:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?***

Not applicable.

- ***What is the policy to assess good governance practices of the investee companies?***

The Sub-Fund’s ESG Integration process shall consider ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process. In case of indirect investments, the Sub-Fund shall select funds where the portfolio manager has in place an ESG policy that considers ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process.

What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in a diversified range of assets including but not limited to equities (and equity-related securities), bonds, currencies and cash and its equivalent. The Investment Manager shall ensure that a minimum 55% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

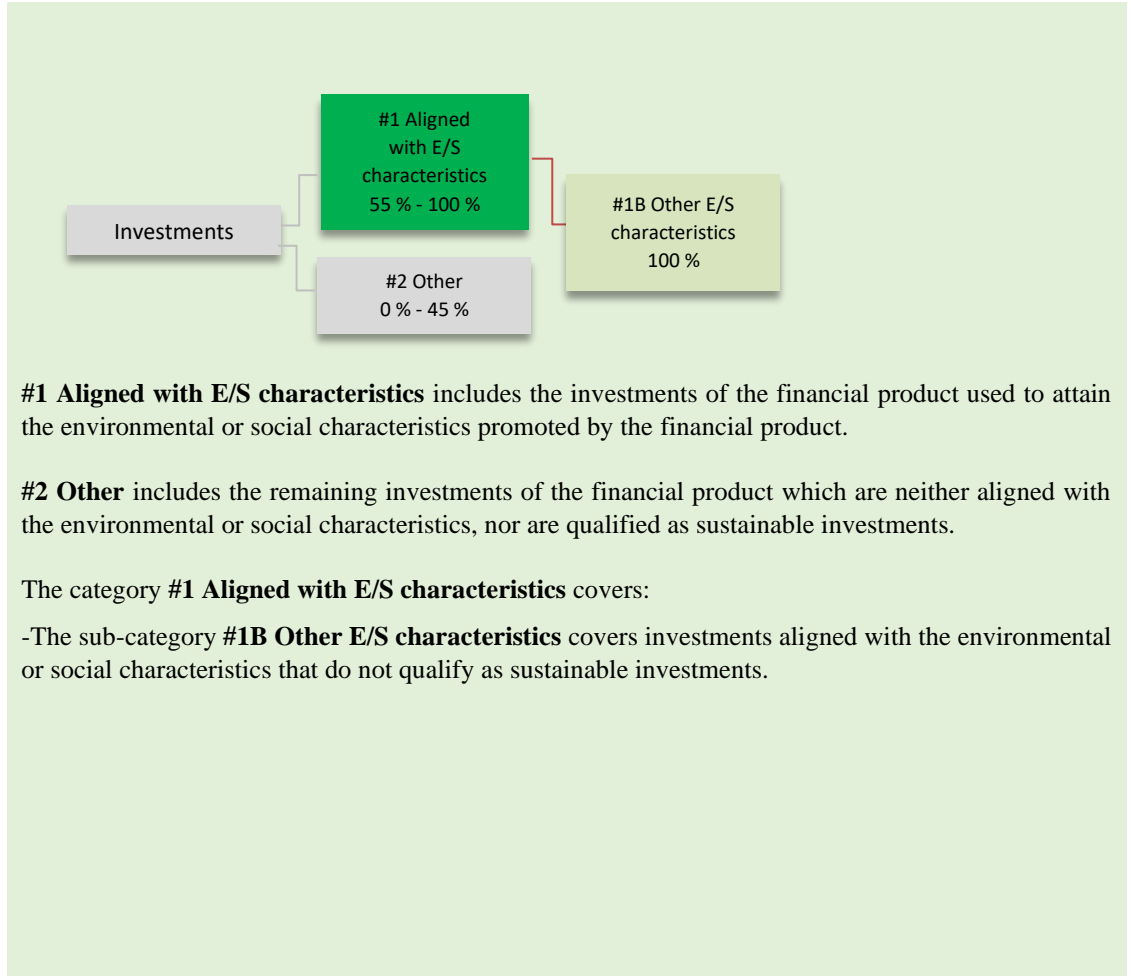


Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund makes use of derivative instruments but does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**²⁵

Yes:

In fossil gas In nuclear energy

No

²⁵ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*



**For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures*

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” include but are not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives, sovereign issuances such as treasury bills and sovereign bonds, as well as money market funds that may not be aligned with E/S characteristics, and neither environmental nor social safeguards have been considered for their inclusion; or investments that have not been assessed for alignment with the E/S characteristics described for this Sub-Fund.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.



are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager's Responsible Investment Policy.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.

Product name:
 EASTSPRING INVESTMENTS – GLOBAL
 MULTI ASSET INCOME PLUS GROWTH
 FUND

Legal entity identifier:
 5493001E747GFBAS5T36

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|--|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ___ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

Direct investments (as specified below under the description of the investment strategy) made by the Sub-Fund are subject to ESG Integration (as further described below) and the following ESG Exclusions:

- i) companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14);
- ii) companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products; and
- iii) companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Indirect investments made by the Sub-Fund via Collective Investment Schemes (“CIS”) are subject to the ESG Integration of ESG Factors (as further described below) that are assessed during due diligence while considering any prospective investment and are applied from origination to exit.

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1) For direct investments made by the Sub-Fund, the sustainability indicators used to measure the attainment of the E/S characteristics are:

a) the percentage of direct investments in the Sub-Fund that has applied ESG Integration is determined through the ESG risk assessment, which evaluates the strength of the Investment Manager’s ESG credentials, ESG Integration process, membership in reputable ESG industry organisations and the performance of the Sub-Fund’s ESG indicators compared to the Benchmark (the “ESG Risk Assessment”); and

b) the application of the ESG Exclusions referred to above.

2) For the indirect investments made by the Sub-Fund made via CIS, the sustainability indicator used to measure the attainment of the E/S characteristics is the percentage of CIS proportion of the Sub-Fund that has undergone ESG Integration; where ESG Integration refers to:

i) the Sub-Fund allocating investment as a priority to SFDR Article 8 or Article 9 CIS, or

ii) where ESG characteristics are explicitly mentioned in the vehicle’s security description which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

- *How have the indicators for adverse impacts on sustainability factors been taken into account?*

Not applicable.

- *How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?*

Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

- Yes
- No, decisions made by the Investment Manager for this Sub-Fund are primarily of a top-down asset allocation nature and implemented through the use of a mix of direct and indirect investments (through CIS). Hence, taking into account the principal adverse impact on sustainability factors will not be practical and accurate.

What investment strategy does this financial product follow?

The Sub-Fund aims to provide income and modest capital growth over the medium to long term through the implementation of an actively managed investment strategy. This strategy combines both discretionary and rules-based approaches, allowing flexibility in response to changing market conditions.

The Sub-Fund invests in a diversified range of eligible global assets including but not limited to equities (and equity-related securities), fixed income/debt securities, currencies and cash and its equivalents. Exposure to the asset classes will be primarily through: direct equity and fixed income/debt securities (including sovereign debt, high yield securities, CMBS, ABS, MBS and convertible bonds), units of undertakings for collective investment, exchange traded funds, money market instruments and FDI (such as forwards, futures, options, swaps, swaptions, credit default swaps and total return swaps). The Sub-Fund may use FDI for hedging and for the purposes of efficient portfolio management through recognised exchanges or via the over-the-counter markets. Over-the-counter FDI may be used to implement strategies by providing exposure to baskets of UCITS eligible instruments. The aggregate exposure in FDI for efficient portfolio management is generally expected to be less than 50% of the Sub-Fund’s net assets, but may be up to 100% of the Sub-Fund’s net assets under certain circumstances (e.g. when the derivative market offers greater-than-normal opportunities for higher returns or there is a high risk of market downturn). The Sub-Fund may also invest up to 10% in aggregate of its net assets in Alternative Asset Classes.

The Sub-Fund adopts a flexible approach to asset allocation and provides a diversified multi-asset portfolio. The asset allocation of the Sub-Fund will change according to the Investment Manager’s view, taking into account macro-economic and country views in determining its equity allocation and macro-economic, credit and interest rate views in determining its fixed income allocation.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

The Sub-Fund may invest up to 100% of its net assets in fixed income/debt securities rated below investment grade (i.e. rated below BBB- by Standard & Poor's or comparable ratings by Moody's Investors Services or Fitch Ratings) or if unrated, determined by the Investment Manager to be of comparable quality. For the purpose of this Sub-Fund, the term "unrated" fixed income/debt securities are defined to mean that neither the fixed income/debt security itself, nor its issuer has a credit rating by Standard & Poor, Moody's Investors Services or Fitch Ratings.

This Sub-Fund may also invest up to 5% in aggregate of its net assets in Distressed Securities and Defaulted Securities. The Sub-Fund may invest less than 25% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in Contingent Convertible Bonds ("CoCos") with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.

The Sub-Fund is not subject to any limitation on the portion of its net assets that may be invested in any one country, sector or any companies with a particular market capitalisation. Subject to the above strategy, from time to time, the Sub-Fund may invest more than 30% of its net assets in any one single country or region globally, such as the US.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

The binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product are, a minimum of 55% of FUM will align with the below:

1) Where allocations are made via Collective Investment Schemes ("CIS"), all investments allocated will be to funds that are either SFDR Article 8 or Article 9 vehicles, or where ESG characteristics are explicitly mentioned in the vehicle's security description - this will consider a range of ESG funds which may include, but not limited to, ESG exclusions in addition to positive tilts to higher ESG-scoring constituents.

2) For other allocations (i.e.. within the investment manager):, all investments allocated will be to funds that are screened using the ESG Risk Assessment framework and will also apply the following ESG Exclusions:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?***

Not applicable.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

- **What is the policy to assess good governance practices of the investee companies?**

The Sub-Fund’s ESG Integration process shall consider ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process. In case of indirect investments, the Sub-Fund shall select funds where the portfolio manager has in place an ESG policy that considers ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process.

Asset allocation describes the share of investments in specific assets.



What is the asset allocation planned for this financial product?

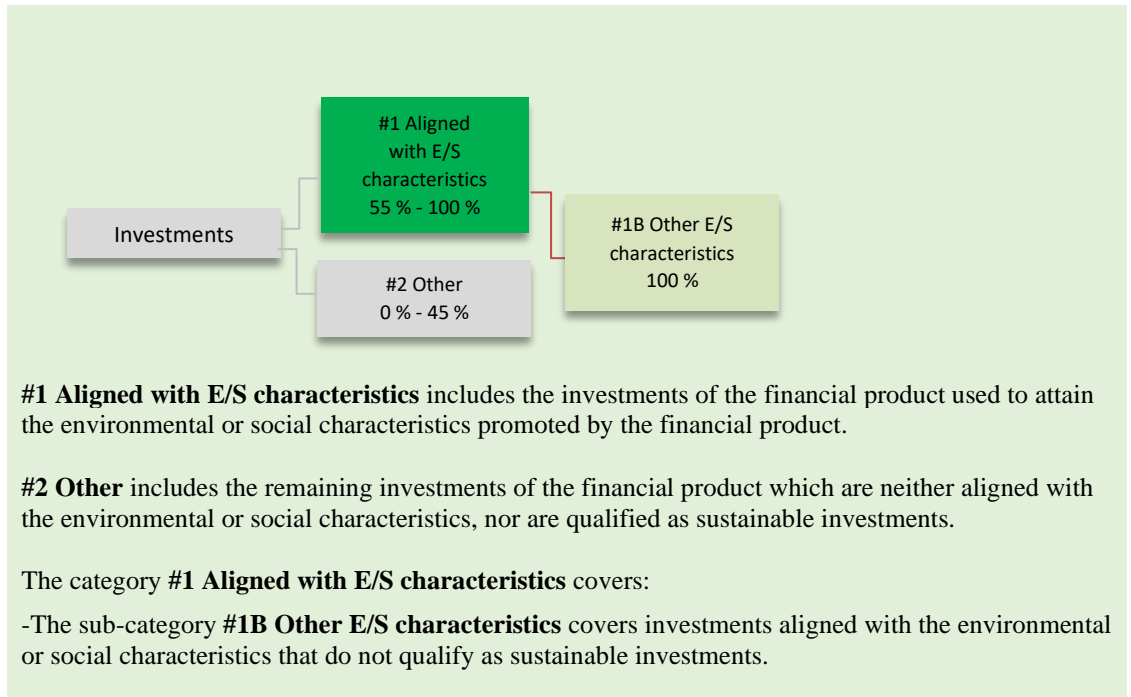
The Sub-Fund shall invest up to a maximum of 100% in a diversified range of assets including but not limited to equities (and equity-related securities), bonds, currencies and cash and its equivalent. The Investment Manager shall ensure that a minimum 55% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure (CapEx)** showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure (OpEx)** reflecting green operational activities of investee companies.



- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund makes use of derivative instruments but does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.

To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.



- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**²⁶

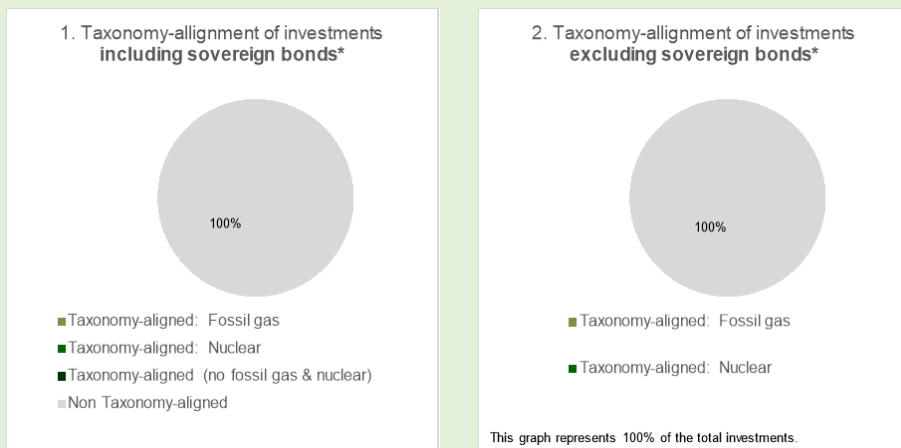
Yes:

In fossil gas In nuclear energy

No

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.
Transitional



**For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures*

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy laid down in Commission Delegated Regulation (EU) 2022/1214.



environmentally sustainable investments **that do not take into account the criteria** for environmentally sustainable economic activities under the EU Taxonomy.



Not applicable.

What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” include but are not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives, sovereign issuances such as treasury bills and sovereign bonds, as well as money market funds that may not be aligned with E/S characteristics, and neither environmental nor social safeguards have been considered for their inclusion; or investments that have not been assessed for alignment with the E/S characteristics described for this Sub-Fund.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

DYNAMIC FUNDS

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Product name:
EASTSPRING INVESTMENTS – ASIAN
DYNAMIC FUND

Legal entity identifier:
5493006ONU0BHQ4Z7L66

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|---|
| <p><input checked="" type="radio"/> <input type="checkbox"/> Yes</p> | <p><input type="radio"/> <input checked="" type="checkbox"/> No</p> |
| <p><input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ %</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p><input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: ____ %</p> | <p><input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with a social objective</p> <p><input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments</p> |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4)

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

- **What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?**

Not applicable.

- **How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?**

Not applicable.

- **How have the indicators for adverse impacts on sustainability factors been taken into account?**

Not applicable.

- **How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?**

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

- Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

- No



What investment strategy does this financial product follow?

This Sub-Fund aims to generate long-term capital growth through a concentrated portfolio of equities, equity-related securities, bonds, and currencies. The Sub-Fund will invest primarily in securities of companies which are incorporated, listed in or have their area of primary activity in the Asia Pacific ex-Japan Region.

The Sub-Fund may also invest in depository receipts including ADRs and GDRs, convertible bonds, preference shares, warrants and fixed income securities issued by Asian entities (both in US dollars and Asian currencies).

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance. specific assets.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



- **What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?**

- 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and
- 2) No exposure to companies that fit the ESG Exclusions criteria.

- **What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?**

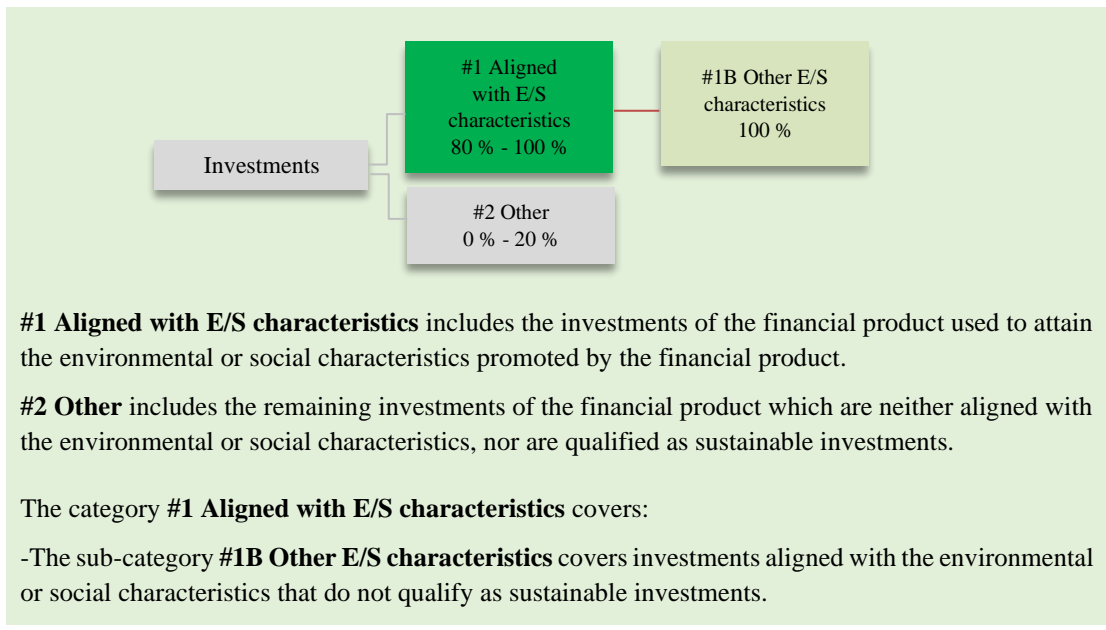
Not applicable.

- **What is the policy to assess good governance practices of the investee companies?**

The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.

What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.

To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?



Not applicable.

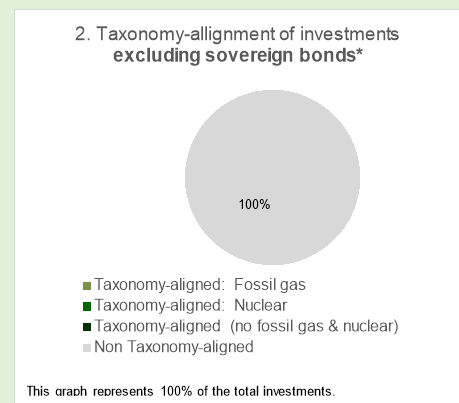
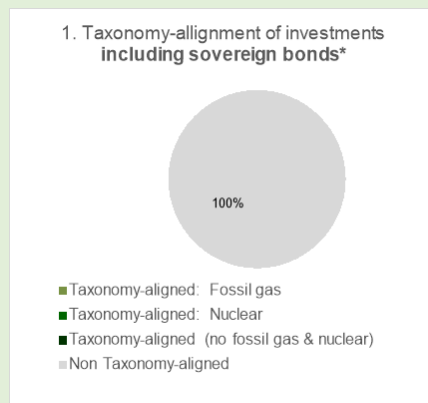
- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**²⁷

Yes:

In fossil gas In nuclear energy

No

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.



*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.

- **What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?**



Not applicable.

- **What is the minimum share of socially sustainable investments?**



Not applicable.

- **What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?**



The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they

²⁷ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.

Where can I find more product specific information online?



More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>.

The website includes further information on the investment strategy and the Investment Manager's Responsible Investment Policy.

More details on the Investment Manager's equity team stewardship policy is available on the website (<https://www.eastspring.com/docs/librariesprovider2/responsible-investments/esi-stewardship-policy-final-202002.pdf>).



are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

Product name:
 EASTSPRING INVESTMENTS – GLOBAL
 EMERGING MARKETS DYNAMIC FUND

Legal entity identifier:
 549300PRNN1UOMEMAD71

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective:** ___ %

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___ % of sustainable investments

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It will make a minimum of **sustainable investments with a social objective:** ___ %

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- **How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?**

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?

Not applicable.

How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund aims to generate long-term capital growth through a concentrated portfolio of equities, equity-related securities and bonds. The Sub-Fund will invest primarily in securities of companies which are incorporated, or listed in, or operating principally from, or carrying on significant business in, or derive substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from the Emerging Markets Worldwide. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, preference shares and warrants.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

- **What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?**

- 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and
- 2) No exposure to companies that fit the ESG Exclusions criteria.

- **What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?**

Not applicable.

- **What is the policy to assess good governance practices of the investee companies?**

The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.

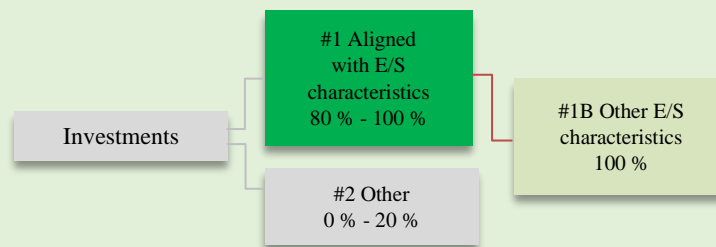
Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.



What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Asset allocation describes the share of investments in specific assets.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

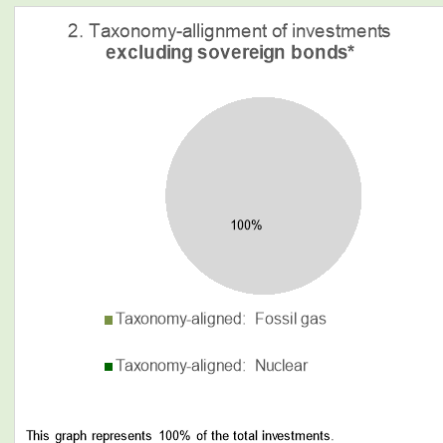
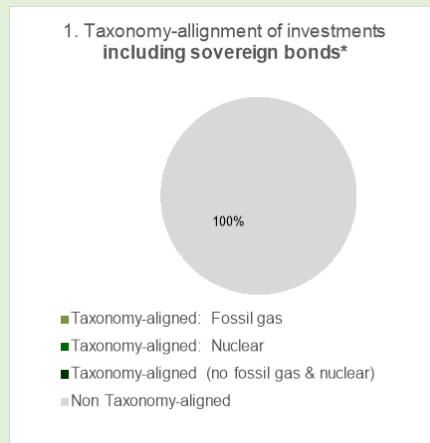
- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**²⁸

Yes:

In fossil gas In nuclear energy

No

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*



*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures

- **What is the minimum share of investments in transitional and enabling activities?**


Not applicable.

What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.



²⁸ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.

More details on the Investment Manager’s equity team stewardship policy is available on the website (<https://www.eastspring.com/docs/librariesprovider2/responsible-investments/esi-stewardship-policy-final-202002.pdf>).

Product name:
 EASTSPRING INVESTMENTS – GLOBAL
 EMERGING MARKETS EX-CHINA DYNAMIC
 FUND

Legal entity identifier:
 5493005BW88VBJVYOG88

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| <input checked="" type="radio"/> <input type="radio"/> Yes | <input type="radio"/> <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with a social objective <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- **What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?**

Not applicable.

- **How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?**

Not applicable.

- **How have the indicators for adverse impacts on sustainability factors been taken into account?**

Not applicable.

- **How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?**

Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

Does this financial product consider principal adverse impacts on sustainability factors?

- Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

- No

What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term capital appreciation by investing primarily in equity and equity-related securities of corporations, which are incorporated in, or listed in, or operating principally from, or carrying on significant business in, or derive substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from Emerging Markets ex-China. The

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.



The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.



Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants.

- **What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?**

- 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and
- 2) No exposure to companies that fit the ESG Exclusions criteria.

- **What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?**

Not applicable.

- **What is the policy to assess good governance practices of the investee companies?**

The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

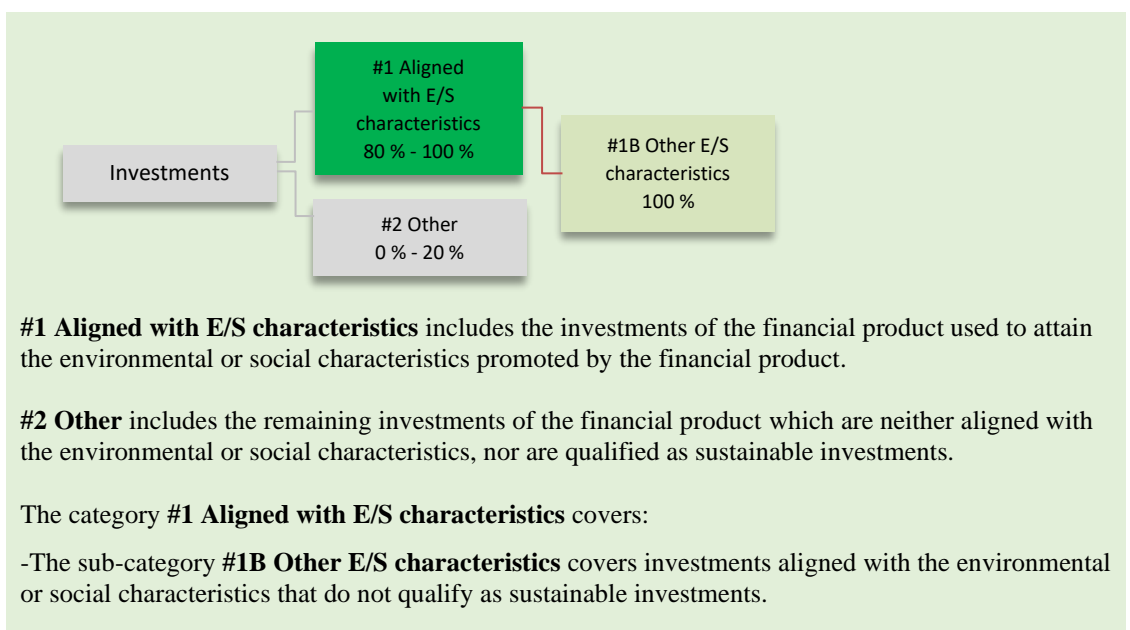


What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**²⁹

Yes:

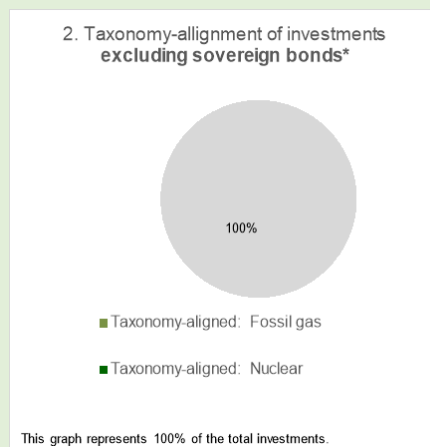
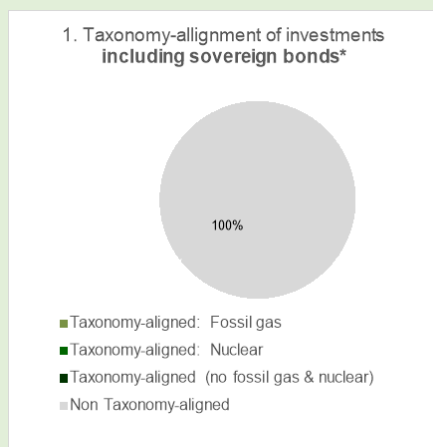
In fossil gas In nuclear energy

No

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*



*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.

(De)



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.

Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy laid down in Commission Delegated Regulation (EU) 2022/1214.



are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.

More details on the Investment Manager’s equity team stewardship policy is available on the website (<https://www.eastspring.com/docs/librariesprovider2/responsible-investments/esi-stewardship-policy-final-202002.pdf>).

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

Product name:
EASTSPRING INVESTMENTS – JAPAN DYNAMIC
FUND

Legal entity identifier:
549300IZT8X70I77DH52

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- **How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?**

Not applicable.

- **How have the indicators for adverse impacts on sustainability factors been taken into account?**

Not applicable.

- **How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?**

Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

- i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons
- ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund aims to generate long-term capital growth through a concentrated portfolio of equities, equity-related securities, bonds, and currencies. The Sub-Fund will invest primarily in securities of companies, which are incorporated, listed in or have their area of primary activity in Japan.

The Sub-Fund may also invest in depository receipts including ADRs and GDRs, convertible bonds, preference shares, warrants and fixed income securities issued by Japan entities.

- **What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?**

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

- 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and
- 2) No exposure to companies that fit the ESG Exclusions criteria.

- **What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?**

Not applicable.

- **What is the policy to assess good governance practices of the investee companies?**

The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

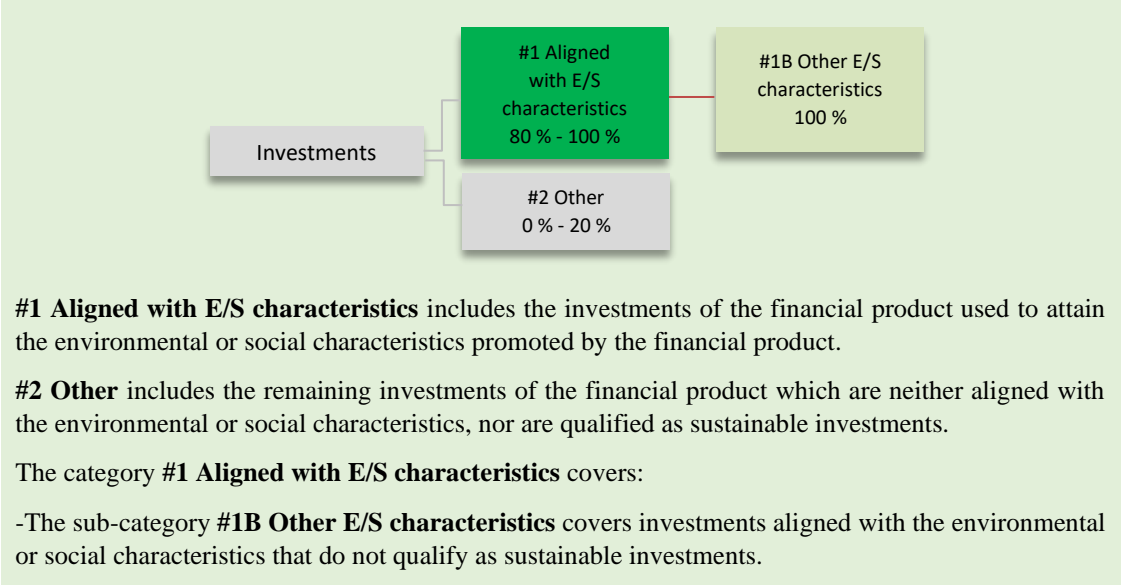


What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.

To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.



- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**³⁰

Yes:

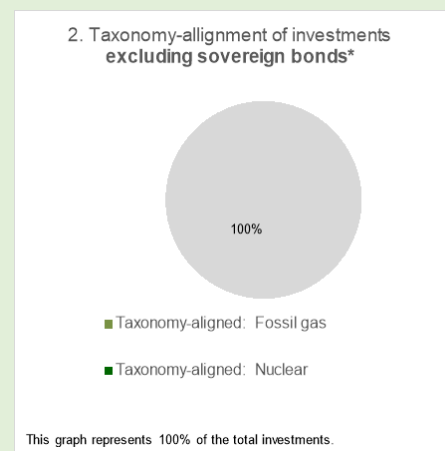
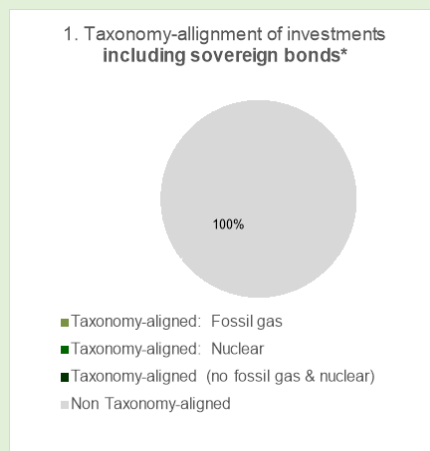
In fossil gas In nuclear energy

No

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.



*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.

are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are

³⁰ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager's Responsible Investment Policy.

More details on the Investment Manager's equity team stewardship policy is available on the website (<https://www.eastspring.com/docs/librariesprovider2/responsible-investments/esi-stewardship-policy-final-202002.pdf>).

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

GLOBAL FUNDS

Product name:
 EASTSPRING INVESTMENTS – GLOBAL
 EMERGING MARKETS FUNDAMENTAL VALUE
 FUND

Legal entity identifier:
 549300WH17GSWHDDDF354

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Does this financial product have a sustainable investment objective?

| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
|--|--|
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ___ % <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___ % of sustainable investments <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with a social objective <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.



2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?*

Not applicable.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.

| | |
|---|---|
| | <ul style="list-style-type: none"> ● How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective? |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |
| | <p><i>The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.</i></p> <p><i>The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.</i></p> <p><i>Any other sustainable investments must also not significantly harm any environmental or social objectives.</i></p> |
|  | <p>Does this financial product consider principal adverse impacts on sustainability factors?</p> <p><input checked="" type="checkbox"/> Yes</p> |
| | <p>This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p>ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
|  | <p><input type="checkbox"/> No</p> <p>What investment strategy does this financial product follow?</p> |
| | <p>This Sub-Fund aims to achieve long-term capital growth by investing primarily in equity and equity-related securities of attractively valued securities of corporations, which are incorporated in, or listed in, or operating principally from, or carrying on significant business in, or derive substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from Emerging Markets Worldwide. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants.</p> |
| | <ul style="list-style-type: none"> ● What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |


Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

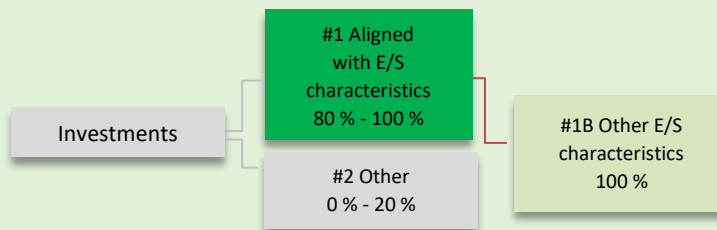
The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

| | |
|---|--|
| | <p>1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

- The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

| | |
|--|--|
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> <p>Not applicable.</p> |



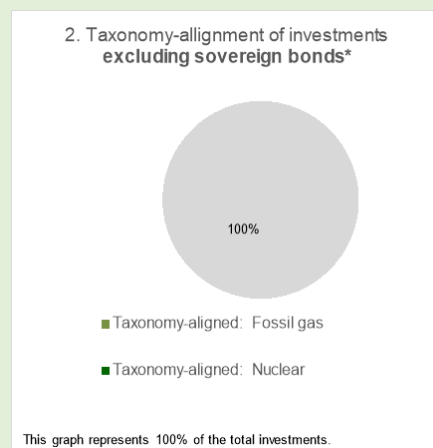
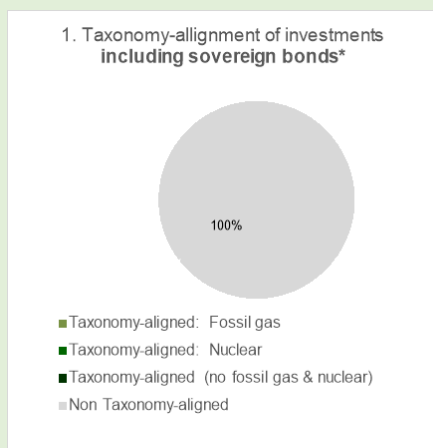
● **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?** ³¹

Yes:
 In fossil gas In nuclear energy
 No

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.



*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures

● **What is the minimum share of investments in transitional and enabling activities?**

Not applicable



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

Not applicable.

³¹ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/funds/fund-downloads>. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Framework.

More details on the Investment Manager’s equity team stewardship policy is available on the website (<https://www.eastspring.com/docs/librariesprovider2/responsible-investments/esi-stewardship-policy-final-202002.pdf>).

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

Product name:
 EASTSPRING INVESTMENTS – GLOBAL LOW
 VOLATILITY EQUITY FUND

Legal entity identifier:
 549300S8WBCSN4SP7267

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process where ESG is incorporated within the Sub-Fund's research program. The Investment Manager conducts research on alpha signals, including those related to material ESG issues, using available historical data. The Investment Manager looks to identify and validate ESG alpha factors that improve the returns of the Sub-Fund and to identify ESG risk factors that may mitigate risk.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - Quantifiable ESG metrics that are incorporated within the Sub-Fund's research program are generated by MSCI and include datapoints corresponding to E, S and G key issues such as company ESG ratings, board quality scores, occupational health and safety scores, and greenhouse gas emissions intensity.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?

Not applicable.

How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?

Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No

What investment strategy does this financial product follow?

This Sub-Fund aims to generate total returns in line with global equity markets, via a combination of capital growth and income, but with lower volatility. The Sub-Fund will invest primarily in equities and equity-related securities of companies, which are listed, or to be listed, on any global stock exchanges, including Emerging Markets Worldwide. The Sub-Fund may also invest in depository receipts, including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and

2) No exposure to companies that fit the ESG Exclusions criteria.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.



The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

- **What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?**

Not applicable.

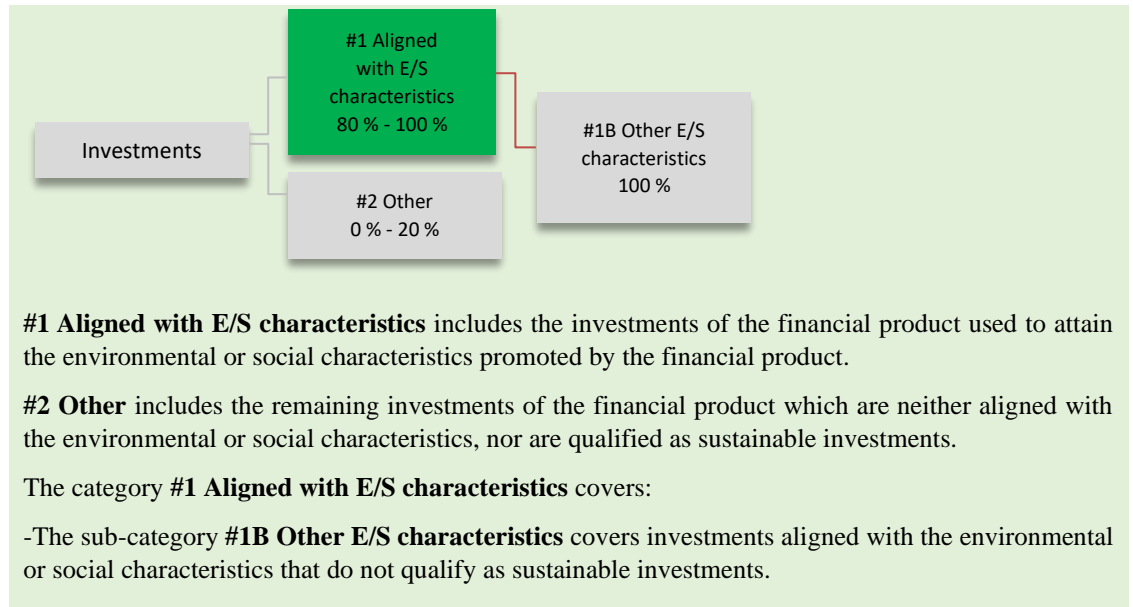
- **What is the policy to assess good governance practices of the investee companies?**

The Investment Manager assesses good governance practices of the investee companies through the ESG Integration process. The quantifiable ESG metrics that are incorporated within the Sub-Fund's research program include key governance metrics corresponding to ESG scores on corporate behaviour and corporate governance. A company's governance performance as reflected through more positive scores are considered as assessment factors alongside other investment indicators.



What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.



- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax practices.

Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

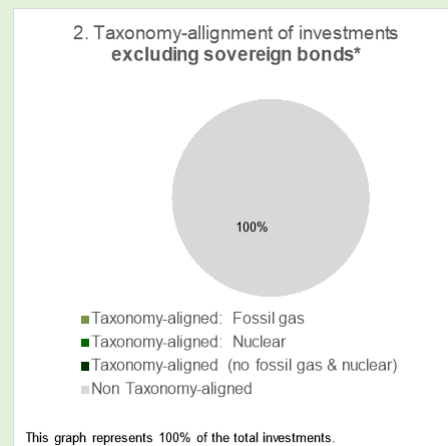
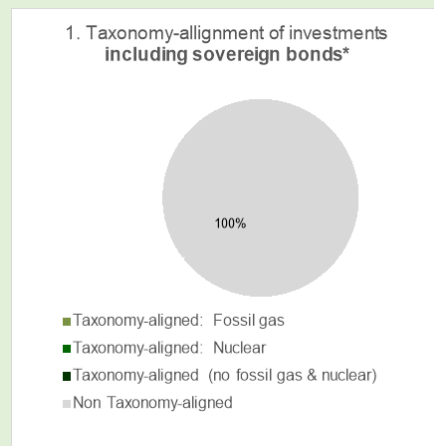
- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?** ³²

Yes:

In fossil gas In nuclear energy

No

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*



**For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures*

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.

environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

³² Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.

More details on the Investment Manager’s Quantitative Strategies’ ESG Policy is available on the website (<https://www.eastspring.com/docs/librariesprovider2/responsible-investments/qs-esg-policy-2021.pdf>).

Product name:
 EASTSPRING INVESTMENTS – GLOBAL MULTI
 FACTOR EQUITY FUND

Legal entity identifier:
 549300P38T38JNXXEO65

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: ____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process where ESG is incorporated within the Sub-Fund’s research program. The Investment Manager conducts research on alpha signals, including those related to material ESG issues, using available historical data. The Investment Manager looks to identify and validate ESG alpha factors that improve the returns of the fund and to identify ESG risk factors that may mitigate risk.

2. ESG Exclusions are applied on

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - Quantifiable ESG metrics that are incorporated within the Sub-Fund’s research program are generated by MSCI and include datapoints corresponding to E, S and G key issues such as company ESG ratings, board quality scores, occupational health and safety scores, and greenhouse gas emissions intensity.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?



Not applicable.

| | |
|--|---|
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

| | |
|---|--|
|  | Does this financial product consider principal adverse impacts on sustainability factors? |
| | <input checked="" type="checkbox"/> Yes This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements: i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal |
| | <input type="checkbox"/> No |
|  | What investment strategy does this financial product follow? |
| | This Sub-Fund aims to maximize long term total return via a combination of capital growth and income by investing in equities using a quantitative (systematic) investment approach, with a diversified exposure to a selected set of asset characteristics and factors (which may include value, quality, profitability, growth, momentum). The Sub-Fund will invest primarily in equities and equity related securities of companies, which are listed, or to be listed, on any global stock exchanges, including Emerging Markets Worldwide. The Sub-Fund may also invest in depositary receipts, including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants. ADRs and GDRs that the Sub-Fund may invest in will have equities as underlying assets and will not have embedded derivatives. |
| | <ul style="list-style-type: none"> <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and 2) No exposure to companies that fit the ESG Exclusions criteria. |

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.


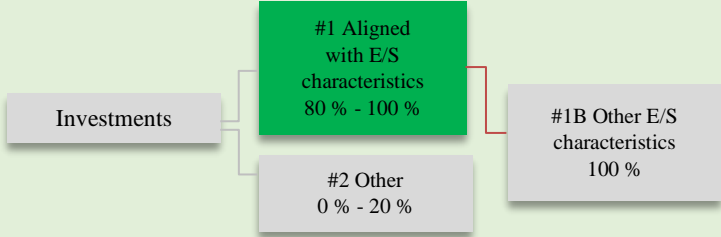

Asset allocation describes the share of investments in specific assets.

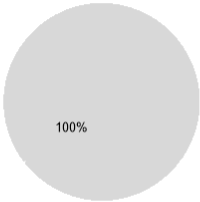
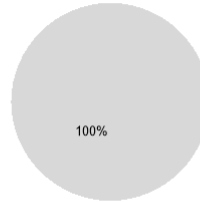



Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

| | |
|---|--|
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | Not applicable |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? <p>The Investment Manager assesses good governance practices of the investee companies through the ESG Integration process. The quantifiable ESG metrics that are incorporated within the fund’s research program include key governance metrics corresponding to ESG scores on corporate behaviour and corporate governance. A company’s governance performance as reflected through more positive scores are considered as assessment factors alongside other investment indicators.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| | <div style="text-align: center;">  <pre> graph LR Investments --> A["#1 Aligned with E/S characteristics 80 % - 100 %"] Investments --> B["#2 Other 0 % - 20 %"] A --> B1["#1B Other E/S characteristics 100 %"] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <p>-The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.</p> |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | Not applicable. |

| | |
|---|--|
| | <ul style="list-style-type: none"> ● Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy? ³³ |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| <p>Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.</p> <p>Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.</p> | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="448 734 880 1178"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="940 734 1385 1178"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
|  are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy. | <ul style="list-style-type: none"> ● What is the minimum share of investments in transitional and enabling activities? |
|  | <p>Not applicable.</p> <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| <p>Not applicable.</p> | |
|  | <p>What is the minimum share of socially sustainable investments?</p> |
| <p>Not applicable.</p> | |

³³ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.

More details on the Investment Manager’s Quantitative Strategies’ ESG Policy is available on the website (<https://www.eastspring.com/docs/librariesprovider2/responsible-investments/qs-esg-policy-2021.pdf>).

Product name:
 EASTSPRING INVESTMENTS – GLOBAL
 TECHNOLOGY FUND

Legal entity identifier:
 549300KSE68VKD0YOV85

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

The Sub-Fund promotes climate change mitigation, support for the UNGC Principles (which cover matters including human rights, labour, corruption, and environmental pollution). The Sub-Fund engages with companies in line with the Investment Sub-Manager’s approach.

The Sub-Fund seeks also to avoid investments in certain activities with the potential to cause harm to human health and wellbeing or the environment by applying binding exclusions. The Sub-Fund does not use a reference benchmark to attain its environmental or social characteristics.

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

- Carbon – Carbon Intensity Scope 1&2

This represents the company’s most recently reported or estimated Scope 1 + Scope 2 greenhouse gas emissions normalized by sales, which allows for comparison between companies of different sizes.

- Carbon – Carbon Footprint Scope 1&2

This represents the company’s most recently reported or estimated Scope 1 + Scope 2 greenhouse gas emissions (if available). Scope 1 emissions are those from sources owned or controlled by the company, typically direct combustion of fuel as in a furnace or vehicle. Scope 2 emissions are those caused by the generation of electricity purchased by the company.

- Overall UNGC Compliance Status
- Number of companies engaged with in line with the Investment Sub-Manager’s engagement approach
- ESG Exclusionary screens – see “*What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?*” below for details on the exclusions.

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?

Not applicable.

How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?

Not applicable.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do not significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

The Sub-Fund does not have as its objective sustainable investment but promotes environmental and/or social characteristics (referred to above). The Investment Sub-Manager considers some of the principal adverse impacts on sustainability factors (“PAIs”).

| Principle Adverse Impact | How is PAI considered? |
|---|------------------------|
| GHG Emissions | Exclusionary screens |
| Carbon Footprint | Exclusionary screens |
| GHG Intensity of Investee Companies | Exclusionary screens |
| Exposure to companies active in fossil fuel | Exclusionary screens |
| Violations of UNGC and OECD MNE | Exclusionary screens |

No



What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term total returns through investment in equities and equity-related securities of companies around the world with innovative products, processes or services. These investments include, but are not restricted to, those companies whose provision or use of technology give them a strategic advantage in the market.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

The Investment Sub-Manager applies screens to exclude direct investment in issuers based on their involvement in certain activities. Specifically, issuers are excluded if they have any involvement with chemicals of concern or derive more than 5% of their revenue from the production of fossil fuels, nuclear weapons, tobacco, fur, alcohol, civilian armaments, intensive farming, nuclear power, gambling, pornography and animal testing (excluding medical testing).

Issuers are also excluded if they are deemed to have failed to comply with the UNGC Principles (which cover matters including human rights, labour, corruption, and environmental pollution).


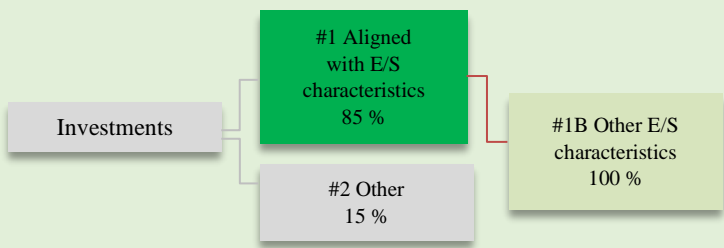

In addition, the Sub-Fund also applies the Janus Henderson Investors’ Firmwide Exclusions Policy on controversial weapons (the “Firmwide Exclusions Policy”):

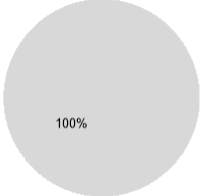
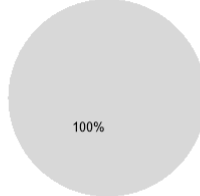


The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

| | |
|--|---|
| | <p>This applies to all the investment decisions made by the Janus Henderson Investors. The Firmwide Exclusions Policy may be updated from time to time.</p> <p>Presently, investment is not permitted in entities involved in the current manufacture of, or minority shareholding of 20% or greater in a manufacturer of controversial weapons, namely:</p> <ul style="list-style-type: none"> • Cluster munitions; • Anti-Personnel mines; • Chemical weapons; • Biologic weapons <p>Classification of issuers is primarily based on activity identification fields supplied by our third-party ESG data providers. This classification is subject to an investment research override in cases where sufficient evidence exists that the third-party data field is not accurate or appropriate. In any scenario where a portfolio position is identified as not meeting this exclusion criteria for any reason (legacy holding, transition holding, etc.) Janus Henderson Investors shall be granted 90 days to review or challenge the classification of the issuer if appropriate. After this period, in the event an investment research override is not granted divestment is required immediately under normal market trading circumstances.</p> <p>For the purposes of the AMF doctrine, the extra-financial analysis or rating is higher than:</p> <p>a. 90% for equities issued by large capitalisation companies whose registered office is located in “developed” countries, debt securities and money market instruments with an investment grade credit rating, sovereign debt issued by developed countries;</p> <p>b. 75% for equities issued by large capitalisations whose registered office is located in “emerging” countries, equities issued by small and medium capitalisations, debt securities and money market instruments with a high yield credit rating and sovereign debt issued by “emerging” countries.</p> <p>The Investment Sub-Manager assesses each company held by the Sub-Fund in relation to its impact on the environment and society in addition to an analysis of the governance risks it exhibits.</p> <p>The Investment Sub-Manager may include positions in the Sub-Fund that, based on third-party data or screens, appear to fail the above criteria, where the Investment Sub-Manager believes that the third-party data is insufficient or inaccurate.</p> |
| | <ul style="list-style-type: none"> • <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>What is the policy to assess good governance practices of the investee companies?</i> |
| | <p>The companies in which investments are made follow good governance practices.</p> <p>The good governance practices of investee companies are assessed prior to making an investment and periodically thereafter in accordance with the Sustainability Risk Policy (“Policy”).</p> <p>The Policy sets minimum standards against which investee companies will be assessed and monitored by the Investment Sub-Manager prior to making an investment and on an ongoing basis. Such standards may include, but are not limited to: sound management structures, employee relations, remuneration of staff and tax compliance. The Investment Sub-Manager attaches importance to the assessment of corporate culture, values, business strategy, board diversity, audit, and controls. Generally accepted corporate governance standards may be</p> |

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.




Asset allocation describes the share of investments in specific assets.

| | |
|--|---|
| | <p>adjusted for smaller organisations or to take account of local governance standards where appropriate at the discretion of the Investment Sub-Manager.</p> <p>In addition, the Investment Sub-Manager is a signatory to the UN Principles for Responsible Investment (UNPRI). As a signatory, the good governance practices of investee companies are also assessed by having regard to the UNPRI principles prior to making an investment and periodically thereafter.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>A minimum of 85% of the investments of the financial product are used to meet the environmental or social characteristics promoted by the financial product. Other assets may include cash or cash equivalents in addition to instruments held for the purposes of efficient portfolio management, e.g. temporary holdings of index derivatives</p> |
| <p>Taxonomy-aligned activities are expressed as a share of:</p> <ul style="list-style-type: none"> - turnover reflecting the share of revenue from green activities of investee companies - capital expenditure (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy. - operational expenditure (OpEx) reflecting green operational activities of investee companies. | <div style="text-align: center;">  <pre> graph LR Investments --> A["#1 Aligned with E/S characteristics 85 %"] Investments --> B["#2 Other 15 %"] A --> C["#1B Other E/S characteristics 100 %"] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. <p>● How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?</p> |
| | <p>Not applicable.</p> |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | <p>The proportion of investments in the Sub-Fund which are aligned with the Taxonomy is expected to be 0%. Although the EU Taxonomy provides an ambitious framework to determine the environmental sustainability of economic activities, the EU Taxonomy does not comprehensively cover all industries and sectors, or all environmental objectives. The Investment Sub-Manager uses its own methodology to determine whether investments selected for the Sub-Fund are promoting environmental characteristics in accordance with the SFDR rules.</p> |

| | |
|---|---|
| | <ul style="list-style-type: none"> • Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy? ³⁴ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="448 730 880 1173" style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p style="text-align: center;">1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="940 730 1385 1173" style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p style="text-align: center;">2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned <p style="font-size: small; text-align: center;">This graph represents 100% of the total investments.</p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> • What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |
|  <p>environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.</p> | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | Not applicable. |
|  | <p>What is the minimum share of socially sustainable investments?</p> |
| | Not applicable. |

³⁴ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|--|
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> |
| | <p>Other assets may include cash or cash equivalents in addition to instruments held for the purposes of efficient portfolio management, e.g. temporary holdings of index derivatives. No minimum environmental or social safeguards are applied to such investments.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> |
| | <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability.</p> <p>The Sustainability Risk Policy can be found incorporated within Janus Henderson’s “ESG Investment Policy” in the “About Us – Environmental, Social and Governance (ESG)” section of the website at www.janushenderson.com.</p> |

Product name:
 EASTSPRING INVESTMENTS – WORLD VALUE
 EQUITY FUND

Legal entity identifier:
 549300IJ1YXDYXRWEU64

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: ____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

Direct investments (as specified below under the description of the investment strategy) made by the Sub-Fund are subject to ESG Integration (as further described below) and the following ESG Exclusions:

- i) companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14);
- ii) companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products; and
- iii) companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Indirect investments made by the Sub-Fund via Collective Investment Schemes (“CIS”) are subject to the ESG Integration of ESG Factors (as further described below) that are assessed during due diligence while considering any prospective investment and are applied from origination to exit.

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1) For direct investments made by the Sub-Fund, the sustainability indicators used to measure the attainment of the E/S characteristics are:

- a) the application of the ESG Integration to the direct investments through the use of the ESG Risk Assessment framework which considers the strength of the Investment Manager’s ESG credentials, ESG Integration process, membership in reputable ESG industry organisations and the performance of Sub-Fund level ESG indicators versus Benchmark; and
- b) the application of the ESG Exclusions referred to above.

2) For the indirect investments made by the Sub-Fund made via CIS, the sustainability indicator used to measure the attainment of the E/S characteristics is the percentage of CIS proportion of the Sub-Fund that has undergone ESG Integration; where ESG Integration refers to:

- i) the Sub-Fund allocating investments as a priority to SFDR Article 8 or Article 9 CIS, or
- ii) where ESG characteristics are explicitly mentioned in the vehicle’s security description which may include, but not limited to, ESG Exclusions in addition to positive tilts to higher ESG-scoring constituents.

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

| | |
|--|--|
|  | Does this financial product consider principal adverse impacts on sustainability factors? |
|--|--|

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | <p>Yes, this financial product considers principal adverse impacts on sustainability factors through the following ESG Exclusions applied to investments made by the Investment Manager for the account of the Sub-Fund:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p><input checked="" type="checkbox"/> ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
|-------------------------------------|--|

| | |
|--------------------------|----|
| <input type="checkbox"/> | No |
|--------------------------|----|


| | |
|---|---|
|  | What investment strategy does this financial product follow? |
|---|---|

| | |
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| | <p>This Sub-Fund aims to maximize long-term total return by investing primarily in global equity, equity-related securities, exchange traded funds and other collective investment schemes (including sub-funds of the SICAV). Equity-related securities in which the Sub-Fund may invest include but are not limited to listed securities in recognised markets, depository receipts, including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants. ADRs and GDRs that the Sub-Fund may invest in will not have embedded derivatives.</p> <p>Subject to the above strategy, from time to time, the Sub-Fund may invest more than 30% of its net assets in any one single country globally.</p> |
|--|--|

- | | |
|--|---|
| | <ul style="list-style-type: none"> ● <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
|--|---|

| | |
|--|--|
| | <p>The binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product are, a minimum of 55% of FUM will align with the below:</p> <p>1) For the indirect investments made by the Sub-Fund made via CIS, all investments allocated will be to funds that are either SFDR Article 8 or Article 9 vehicles, or where ESG</p> |
|--|--|

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

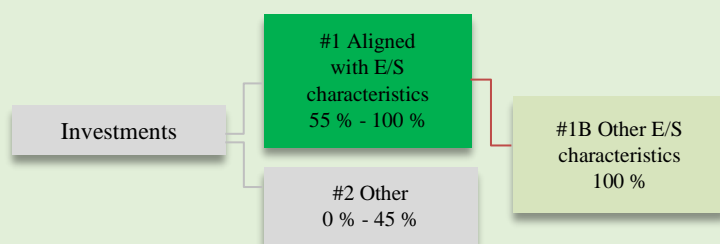
| | |
|---|---|
| | <p>characteristics are explicitly mentioned in the vehicle’s security description - this will consider a range of ESG funds which may include, but not limited to, ESG Exclusions in addition to positive tilts to higher ESG-scoring constituents.</p> <p>2) For the direct investments made by the Sub-Fund, all investments allocated will be to issuers that are screened using the ESG Risk Assessment framework and will also apply the following ESG Exclusions:</p> <p>i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).</p> <p>ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.</p> <p>iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).</p> |
| | <ul style="list-style-type: none"> ● <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| <p>Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.</p> | <ul style="list-style-type: none"> ● <i>What is the policy to assess good governance practices of the investee companies?</i> <p>The Sub-Fund’s ESG Integration process shall consider ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process. In case of indirect investments, the Sub-Fund shall select funds where the portfolio manager has in place an ESG policy that considers ESG characteristics or ESG scores that include governance issues as part of their investment research and continuous active shareholding process.</p> |
| <p>Asset allocation describes the share of investments in specific assets.</p>  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities, exchange traded funds and other collective investment schemes (including sub-funds of the SICAV). The Investment Manager shall ensure that 100% of equity and equity-related securities, exchange traded funds and other collective investment schemes (including sub-funds of the SICAV) holdings of the Sub-Fund or a minimum 55% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund makes a use of derivative instruments but does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?³⁵**

Yes:


In fossil gas In nuclear energy

No

³⁵ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

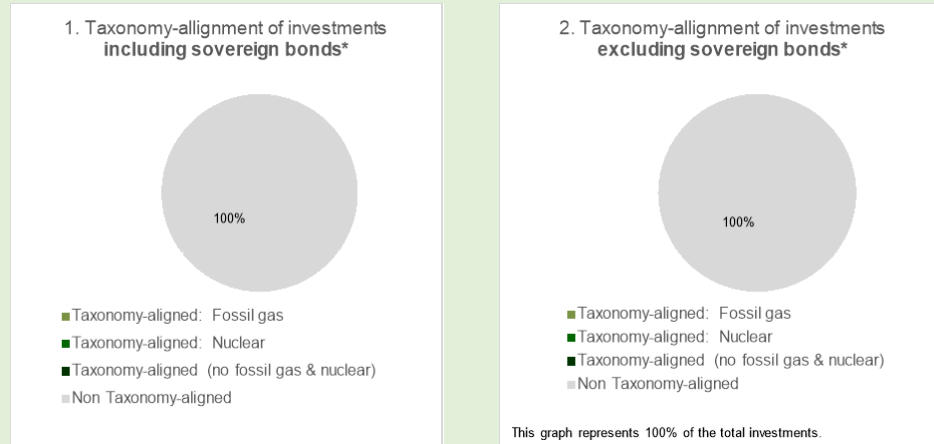
Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.



**For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures*

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.





What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” include but are not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds may not be aligned with E/S characteristics, and neither environmental nor social safeguards have been considered for their inclusion; or investments that have not been assessed for alignment with the E/S characteristics described for this Sub-Fund.

| | |
|---|--|
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> |

INCOME FUND

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Product name:
EASTSPRING INVESTMENTS – ASIAN EQUITY
INCOME FUND

Legal entity identifier:
549300XJC0LJG7Y4O255

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|---|
| <p><input checked="" type="radio"/> <input type="checkbox"/> Yes</p> | <p><input type="radio"/> <input checked="" type="checkbox"/> No</p> |
| <p><input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ %</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p><input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: ____ %</p> | <p><input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with a social objective</p> <p><input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments</p> |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4). |
| | <ul style="list-style-type: none"> ● What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective? |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal


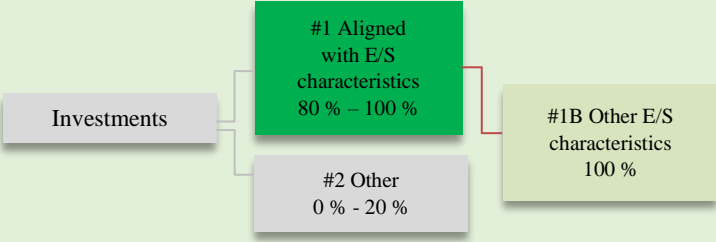
No


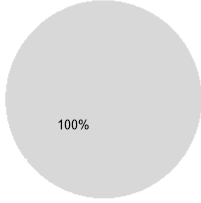
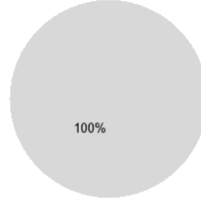





What investment strategy does this financial product follow?

This Sub-Fund aims to generate long-term capital growth and income by investing primarily in equity and equity-related securities of companies, which are incorporated, listed in or have their

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

| | |
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| | <p>area of primary activity in the Asia Pacific ex-Japan Region. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants.</p> <p>The Sub-Fund may invest up to 20% of its net assets in the PRC by way of China A-shares directly through the Shanghai-Hong Kong Stock Connect and/or Shenzhen-Hong Kong Stock Connect.</p> |
| | <ul style="list-style-type: none"> • What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |
| | <ol style="list-style-type: none"> 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and 2) No exposure to companies that fit the ESG Exclusions criteria. |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| <p>Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.</p> | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  <p>Asset allocation describes the share of investments in specific assets.</p> | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| <p>- Taxonomy-aligned activities are expressed as a share of:</p> <p>- turnover reflecting the share of revenue from green activities of investee companies</p> <p>- capital expenditure (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.</p> <p>- operational expenditure (OpEx) reflecting green operational activities of investee companies.</p> | <div style="text-align: center;">  </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <p>-The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.</p> |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |

| | |
|---|---|
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> <p>Not applicable.</p> <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy? ³⁶ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | <p><i>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</i></p> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |
|  | What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy? |
| | Not applicable. |
|  | What is the minimum share of socially sustainable investments? |
| | Not applicable. |

 are environmentally sustainable investments **that do not take into account the criteria** for environmentally sustainable economic activities under the EU Taxonomy.

³⁶ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|--|
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> |
| | <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More details on the Investment Manager’s equity team stewardship policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/es-stewardship-policy-final-202002.pdf).</p> |

REGIONAL FUNDS

Product name:
EASTSPRING INVESTMENTS – ASIA CAPITAL
MARKETS EQUITY FUND

Legal entity identifier:
549300NW4MNNGXGABB07

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % <ul style="list-style-type: none"> <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments <ul style="list-style-type: none"> <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with a social objective |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

Sustainability indicators measure how the sustainable objectives of this financial product are attained.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration which seeks to identify, assess, and monitor material ESG risks and opportunities.

The Investment Manager integrates ESG issues, with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data and/or company data where relevant, materiality metrics, as well as market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products, and companies involved in the cultivation and production of Tobacco
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).
- iv) Companies that are in violation of UNGC principles.

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

Proportion of Sub-Fund stated as aligned with E/S characteristics – This refers to the non-cash and non-cash equivalent portion which undergoes the process of ESG Integration described in the answer to “What environmental and/or social characteristics are promoted by this financial product?” above.

Sustainability indicators involved in the screening portion of the investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG Integration include, but are not limited to, governance indicators such as board quality and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> • <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

- (i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons
- (ii) iPAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal


No



What investment strategy does this financial product follow?

The Sub-Fund aims to maximize long-term total returns by investing primarily in equity, equity-related securities, including investments such as newly launched stocks or right issues and other collective investment schemes (including sub-funds of the SICAV), of companies which are

The **investment strategy** guides investment decisions based on factors such as investment objectives and risk tolerance.

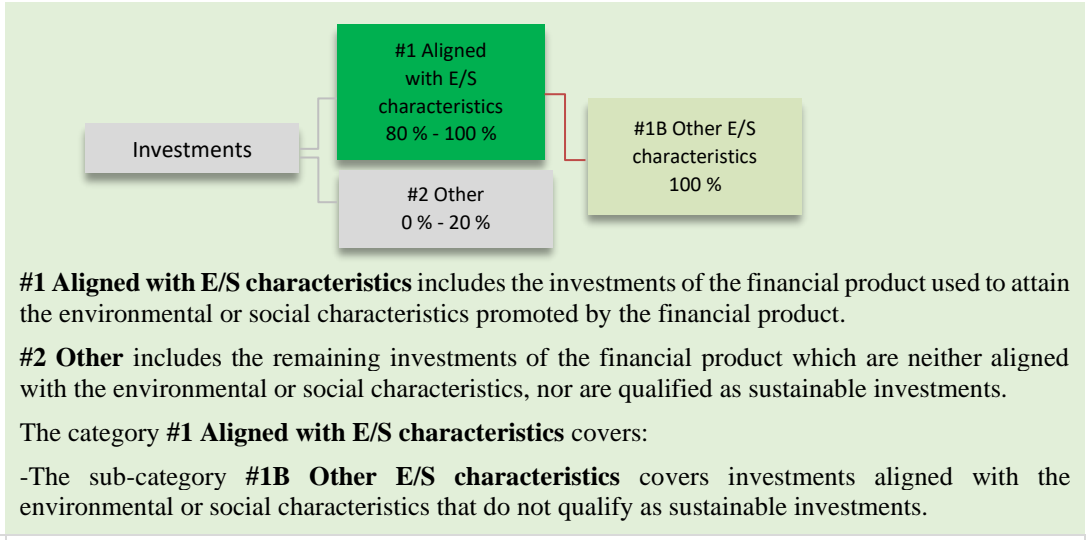
| | |
|---|--|
| | <p>incorporated in or have their area of primary activity in the Asia Pacific ex-Japan Region. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares, Participatory Notes, total return swaps and warrants. ADRs and GDRs that the Sub-Fund may invest in will not have embedded derivatives.</p> <p>The Sub-Fund may also invest in China-A shares directly through the Shanghai-Hong Kong Stock Connect and/or Shenzhen-Hong Kong Stock Connect.</p> <p>The Sub-Fund seeks to achieve its investment objective by deploying a bottom-up stock-picking approach complemented by a dynamic asset allocation overlay to flexibly adjust the investments in sectors and geographic regions according to the Investment Manager’s view, taking into account macro-economic, country, geopolitical, and other qualitative and quantitative data points. The Sub-Fund will be a concentrated, high-conviction ideas portfolio.</p> |
| | <ul style="list-style-type: none"> ● <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <ol style="list-style-type: none"> 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and 2) No exposure to companies that fit the ESG Exclusions criteria. |
| | <ul style="list-style-type: none"> ● <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the policy to assess good governance practices of the investee companies?</i> |
| | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> |
| | <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

- **Taxonomy**-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

- The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

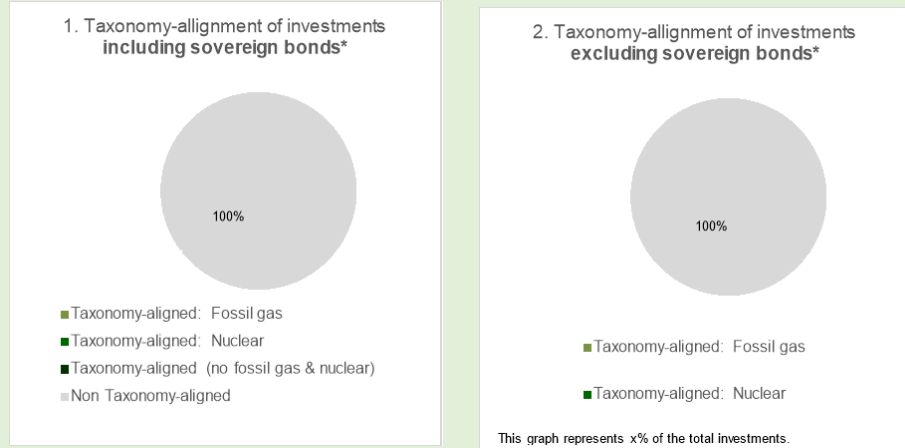
| | |
|--|---|
| | <ul style="list-style-type: none"> • <i>How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?</i> |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |
| | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy ³⁷?</p> <p>Not applicable.</p> <ul style="list-style-type: none"> • <i>Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?</i> |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |

³⁷Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.



*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures



are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

• What is the minimum share of investments in transitional and enabling activities?

Not applicable.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.




Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

• How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?

Not applicable.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|---|
| | <ul style="list-style-type: none"> ● <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. Information on the investment strategy and the Investment Manager’s Responsible Investment Policy can be found on the website: https://www.eastspring.com/about-us/responsible-investment.</p> <p>More details on the Investment Manager’s equity team stewardship policy are available on the website: https://www.eastspring.com/sustainability</p> |

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Product name:
 EASTSPRING INVESTMENTS – ASIA
 OPPORTUNITIES EQUITY FUND

Legal entity identifier:
 5493004LFQZ42OMFOJ86

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

- It will make a minimum of **sustainable investments with an environmental objective:** ____ %
 - in economic activities that qualify as environmentally sustainable under the EU Taxonomy
 - in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy
- It will make a minimum of **sustainable investments with a social objective:** _____ %

No

- It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments
 - with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy
 - with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy
 - with a social objective
- It promotes E/S characteristics, but **will not make any sustainable investments**

The EU Taxonomy is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|--|
| | <ul style="list-style-type: none"> What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives? |
| | Not applicable. |
| | <ul style="list-style-type: none"> How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective? |
| | Not applicable. |
| | How have the indicators for adverse impacts on sustainability factors been taken into account? |
| | Not applicable. |
| | How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights? |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

- i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons
- ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No




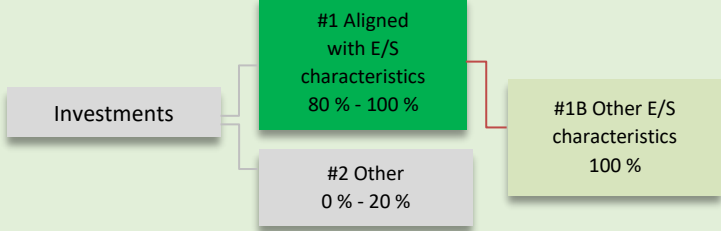

What investment strategy does this financial product follow?

The Sub-Fund aims to maximize long-term total returns by investing primarily in equity and equity-related securities of companies which are incorporated in or have their area of primary activity in the Asia ex-Japan Region. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares, Participatory Notes, and warrants. ADRs and GDRs that the Sub-Fund may invest in will not have embedded derivatives.

The **investment strategy** guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

| | |
|--|---|
| | <p>The Sub-Fund seeks to achieve its investment objective by deploying a bottom-up stock-picking approach complemented by a dynamic asset allocation overlay to flexibly adjust the investments in sectors and geographic regions according to the Investment Manager’s view, taking into account macro-economic, country, geopolitical, and other qualitative and quantitative data points as well as the portfolio risk relative to its benchmark. The Sub-Fund will be a concentrated, high-conviction ideas portfolio.</p> <p>From time to time, the Sub-Fund may use derivatives, such as index futures, for risk management purposes.</p> <p>The Sub-Fund will principally be fully invested but may from time to time have up to 10% of its net assets in cash.</p> <p>The Sub-Fund may invest up to 50% of its net assets in Chinese A-shares by way of Chinese onshore securities via the stock-connect program and/or QFII/RQFII.</p> |
| | <ul style="list-style-type: none"> ● <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <ol style="list-style-type: none"> 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and 2) No exposure to companies that fit the ESG Exclusions criteria. |
| | <ul style="list-style-type: none"> ● <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the policy to assess good governance practices of the investee companies?</i> |
| | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |



| | |
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|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| <p>Asset allocation describes the share of investments in specific assets.</p> <p>Taxonomy-aligned activities are expressed as a share of:</p> <ul style="list-style-type: none"> - turnover reflecting the share of revenue from green activities of investee companies - capital expenditure (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy. - operational expenditure (OpEx) reflecting green operational activities of investee companies. | <div style="text-align: center;">  </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |
| | <ul style="list-style-type: none"> • <i>How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?</i> |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> <p>Not applicable.</p> |

| | |
|--|--|
| | Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy? ³⁸ |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 5px; width: 45%;"> <p style="text-align: center;">1. Taxonomy-alignment of investments including sovereign bonds*</p> <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div style="border: 1px solid black; padding: 5px; width: 45%;"> <p style="text-align: center;">2. Taxonomy-alignment of investments excluding sovereign bonds*</p> <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned <p style="font-size: small; text-align: center;">This graph represents 100% of the total investments.</p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |
| | What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy? |
| | Not applicable. |
| | What is the minimum share of socially sustainable investments? |
| | Not applicable. |
| | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
| | The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion. |

are environmentally sustainable investments **that do not take into account the criteria** for environmentally sustainable economic activities under the EU Taxonomy.

³⁸ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|--|
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More details on the Investment Manager’s equity team stewardship policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/es-stewardship-policy-final-202002.pdf).</p> |

Product name:
 EASTSPRING INVESTMENTS – ASIA PACIFIC
 EQUITY FUND

Legal entity identifier:
 549300SX9M2AHKAI8K27

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective:** ____ %

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It will make a minimum of **sustainable investments with a social objective:** _____ %

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> • <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.



What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term total return by investing primarily in equity, equity-related securities of companies and other collective investment schemes (including sub-funds of the SICAV) which also invest primarily in equity and which are incorporated, listed in or have their area of primary activity in the Asia Pacific ex-Japan Region. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants.

- *What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?*

- 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and
- 2) No exposure to companies that fit the ESG Exclusions criteria.

- *What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?*

Not applicable.

- *What is the policy to assess good governance practices of the investee companies?*

The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Taxonomy-aligned activities are expressed as a share of:

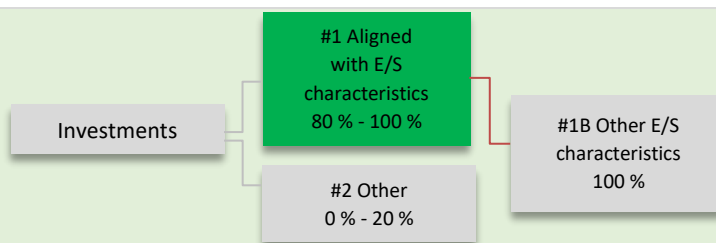
- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.


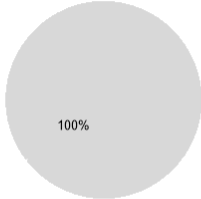
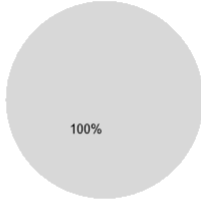



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.


The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.





| | |
|---|---|
| | <ul style="list-style-type: none"> How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |
|  | To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?³⁹ |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | <p><i>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</i></p> <div style="display: flex; justify-content: space-around;"> <div style="text-align: center;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div style="text-align: center;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, "sovereign bonds" consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |
|  | What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy? |

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

³⁹ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change ("climate change mitigation") and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

| | |
|---|--|
|  | Not applicable |
|  | <p>What is the minimum share of socially sustainable investments?</p> <p>Not applicable.</p> <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More details on the Investment Manager’s equity team stewardship policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/es-stewardship-policy-final-202002.pdf).</p> |

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

Product name:
 EASTSPRING INVESTMENTS – ASIAN EQUITY
 FUND

Legal entity identifier:
 549300RJ13P3OH6CDE46

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: ____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> • <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal


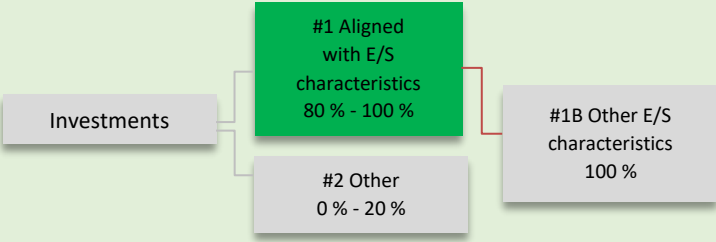
No



What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term total return by investing in equity and equity-related securities of companies, which are incorporated, or have their area of primary activity in the Asia Pacific ex-Japan Region. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.


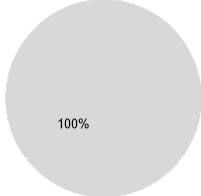
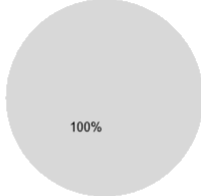

| | |
|---|--|
| | <ul style="list-style-type: none"> • What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |
| | <p>1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| <p>Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.</p> | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| <p>Asset allocation describes the share of investments in specific assets.</p> | <div style="text-align: center;">  <pre> graph LR Investments --> A["#1 Aligned with E/S characteristics 80% - 100%"] Investments --> B["#2 Other 0% - 20%"] A --> B1["#1B Other E/S characteristics 100%"] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <p>-The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.</p> |
| <p>Taxonomy-aligned activities are expressed as a share of:</p> | |
| <ul style="list-style-type: none"> - turnover reflecting the share of revenue from green activities of investee companies - capital expenditure (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy. - operational expenditure (OpEx) reflecting green operational activities of investee companies. | |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |



are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.





Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

| | |
|---|--|
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy? ⁴⁰ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | <p><i>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</i></p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="472 898 906 1339"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="963 898 1407 1339"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, "sovereign bonds" consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |

⁴⁰ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change ("climate change mitigation") and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|--|
|  | What is the minimum share of socially sustainable investments? |
|  | Not applicable. |
| | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
|  | The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion. |
| | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More details on the Investment Manager’s equity team stewardship policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/es-stewardship-policy-final-202002.pdf).</p> |

Product name:
 EASTSPRING INVESTMENTS – ASIAN LOW
 VOLATILITY EQUITY FUND

Legal entity identifier:
 549300ZEZLNFF0OPSSD27

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective:** ____ %

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It will make a minimum of **sustainable investments with a social objective:** _____ %

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.



| | |
|--|---|
| <p>What environmental and/or social characteristics are promoted by this financial product?</p> | <p>1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process where ESG is incorporated within the Sub-Fund’s research program. The Investment Manager conducts research on alpha signals, including those related to material ESG issues, using available historical data. The Investment Manager looks to identify and validate ESG alpha factors that improve the returns of the Sub-Fund and to identify ESG risk factors that may mitigate risk.</p> <p>2. ESG Exclusions are applied on</p> <p>i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).</p> <p>ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.</p> <p>iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).</p> |
| | <ul style="list-style-type: none"> • <i>What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?</i> |
| | <p>1. Proportion of Sub-Fund stated as aligned with E/S characteristics - Quantifiable ESG metrics that are incorporated within the Sub-Fund’s research program are generated by MSCI and include datapoints corresponding to E, S and G key issues such as company ESG ratings, board quality scores, occupational health and safety scores, and greenhouse gas emissions intensity.</p> <p>2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:</p> <p>i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).</p> <p>ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.</p> <p>iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).</p> |
| | <ul style="list-style-type: none"> • <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | <p>Not applicable.</p> |

| | |
|--|---|
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

| | |
|---|---|
|  | Does this financial product consider principal adverse impacts on sustainability factors? |
| | <input checked="" type="checkbox"/> Yes |
| | <p>This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p>ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
| | <input type="checkbox"/> No |
|  | What investment strategy does this financial product follow? |
| | <p>This Sub-Fund aims to generate total returns in line with Asia Pacific ex Japan equity markets, via a combination of capital growth and income, but with lower volatility. The Sub-Fund will invest primarily in equities and equity-related securities of companies, which are incorporated, listed in or have their area of primary activity in the Asia Pacific ex-Japan Region. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants.</p> |
| | <ul style="list-style-type: none"> <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <p>1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |


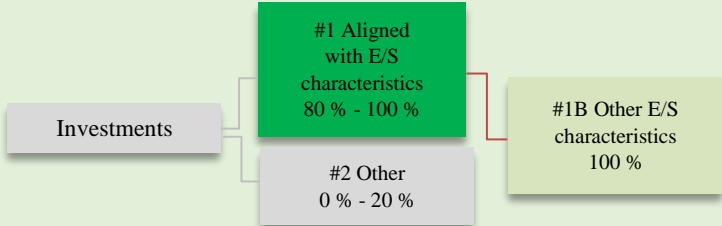

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

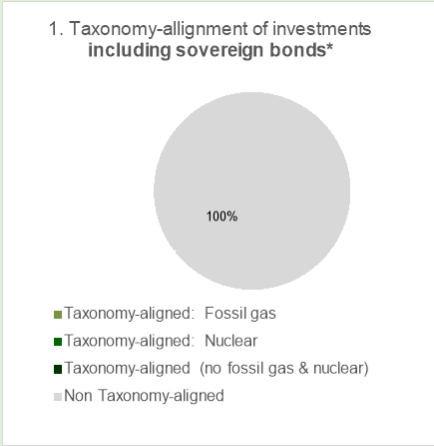
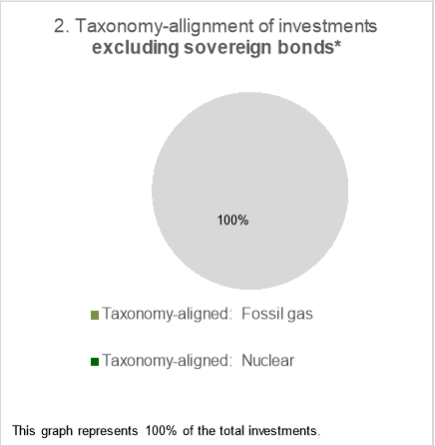
Taxonomy-aligned activities are expressed as a share of:




- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

| | |
|---|--|
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? <p>The Investment Manager assesses good governance practices of the investee companies through the ESG Integration process. The quantifiable ESG metrics that are incorporated within the Sub-Fund’s research program include key governance metrics corresponding to ESG scores on corporate behaviour and corporate governance. A company’s governance performance as reflected through more positive scores are considered as assessment factors alongside other investment indicators.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| | <div style="text-align: center;">  <pre> graph TD A[Investments] --> B[#1 Aligned with E/S characteristics 80% - 100%] A --> C[#2 Other 0% - 20%] B --> D[#1B Other E/S characteristics 100%] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | Not applicable. |

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.



Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

| | |
|--|---|
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁴¹ |
| | <input type="checkbox"/> Yes: <ul style="list-style-type: none"> <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 5px; width: 45%;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  </div> <div style="border: 1px solid black; padding: 5px; width: 45%;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</p> |

| | |
|---|--|
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> <p>Not applicable.</p> |
|  | <p>What is the minimum share of socially sustainable investments?</p> <p>Not applicable.</p> |
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> |

⁴¹ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|---|
| | The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion. |
|  | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | Where can I find more product specific information online? |
| | More product-specific information can be found on the website: |
| | More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability . The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy. |
| | More details on the Investment Manager’s Quantitative Strategies’ ESG Policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/qs-esg-policy-2021.pdf). |

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Product name:
EASTSPRING INVESTMENTS – ASIAN MULTI
FACTOR EQUITY FUND

Legal entity identifier:
5493006BASILHY1ST494

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective:** ____ %

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It will make a minimum of **sustainable investments with a social objective:**
____ %

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process where ESG is incorporated within the Sub-Fund’s research program. The Investment Manager conducts research on alpha signals, including those related to material ESG issues, using available historical data. The Investment Manager looks to identify and validate ESG alpha factors that improve the returns of the Sub-Fund and to identify ESG risk factors that may mitigate risk.

2. ESG Exclusions are applied on

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - Quantifiable ESG metrics that are incorporated within the Sub-Fund’s research program are generated by MSCI and include datapoints corresponding to E, S and G key issues such as company ESG ratings, board quality scores, occupational health and safety scores, and greenhouse gas emissions intensity.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?

Sustainability indicators measure how the sustainable objectives of this financial product are attained.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term total return via a combination of capital growth and income by investing in equities, using a quantitative (systematic) investment approach, with a diversified exposure to a selected set of asset characteristics and factors (which may include value, quality, profitability, growth, momentum). The Sub-Fund will invest primarily in equities and equity-related securities of companies, which are incorporated, listed in or have their area of primary activity in the Asia Pacific ex-Japan Region.

The Sub-Fund may also invest in depository receipts, including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants. ADRs and GDRs that the Sub-Fund may invest in will have equities as underlying assets and will not have embedded derivatives.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.


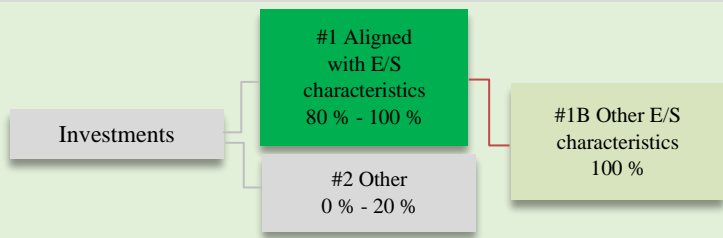
Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

| | |
|--|---|
| | <ul style="list-style-type: none"> • What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |
| | <p>1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? <p>The Investment Manager assesses good governance practices of the investee companies through the ESG Integration process. The quantifiable ESG metrics that are incorporated within the Sub-Fund’s research program include key governance metrics corresponding to ESG scores on corporate behaviour and corporate governance. A company’s governance performance as reflected through more positive scores are considered as assessment factors alongside other investment indicators.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| | <div style="text-align: center;">  <pre> graph LR A[Investments] --> B[#1 Aligned with E/S characteristics 80% - 100%] A --> C[#2 Other 0% - 20%] B --> D[#1B Other E/S characteristics 100%] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |



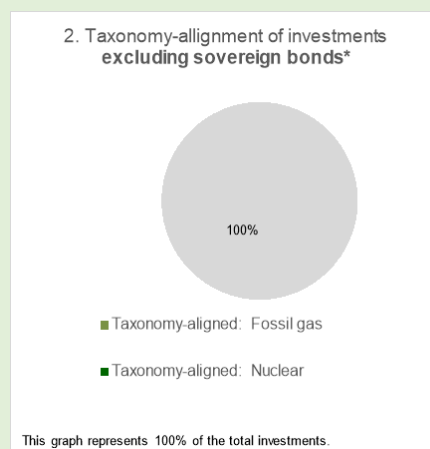
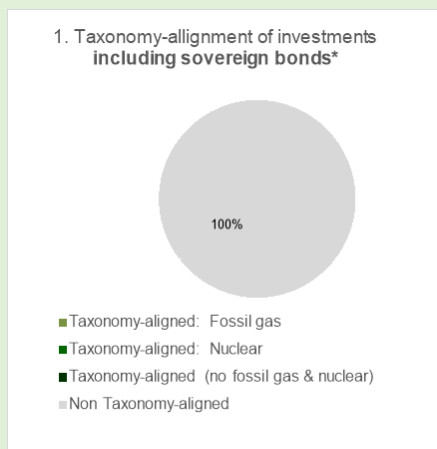
To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁴²

- Yes:
- In fossil gas In nuclear energy
- No

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*



**For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures*

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

● **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.

⁴² Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.



are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

Reference

benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|--|---|
| | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
| | <p>What is the minimum share of socially sustainable investments?</p> |
| | <p>Not applicable.</p> |
| | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> |
| | <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.</p> |
| | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
| | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability.</p> |

Product name:
 EASTSPRING INVESTMENTS –
 DRAGON PEACOCK FUND

Legal entity identifier:
 549300OKXQ5J5QDRNW89

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

● ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Sustainability indicators measure how the sustainable objectives of this financial product are attained.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> • <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal


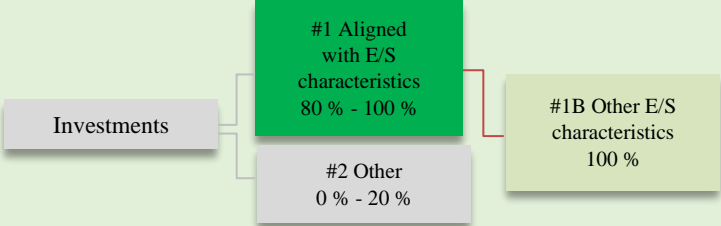
No


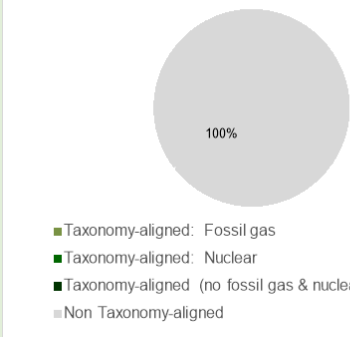
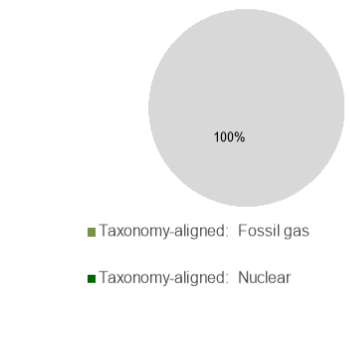


What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term total return by investing primarily in equity and equity-related instruments of corporations, which are incorporated in, or listed in, or operating principally from, or carrying on significant business in, or derive substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from the PRC and India.


The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.


| | |
|--|---|
| | <p>The investments of the Sub-Fund include, but are not limited to, listed securities in the Recognised Markets, depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants.</p> <p>The Sub-Fund may invest up to 20% of its net assets in China-A shares directly through the Shanghai-Hong Kong Stock Connect and/or Shenzhen-Hong Kong Stock Connect.</p> |
| | <ul style="list-style-type: none"> • What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |
| | <p>1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| <p>Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.</p> | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> |
| <p>Asset allocation describes the share of investments in specific assets.</p> | <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| <p>Taxonomy-aligned activities are expressed as a share of:</p> <ul style="list-style-type: none"> - turnover reflecting the share of revenue from green activities of investee companies - capital expenditure (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy. - operational expenditure (OpEx) reflecting green operational activities of investee companies. | <div style="text-align: center;">  <pre> graph LR A[Investments] --> B[#1 Aligned with E/S characteristics 80% - 100%] A --> C[#2 Other 0% - 20%] B --> D[#1B Other E/S characteristics 100%] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |

| | |
|---|---|
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |
|  | To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁴³ |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 10px; width: 45%;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  </div> <div style="border: 1px solid black; padding: 10px; width: 45%;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.





Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

| | |
|---|--|
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |
|  | What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy? |
| | Not applicable. |

⁴³ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|---|
|  | What is the minimum share of socially sustainable investments? |
| | Not applicable. |
|  | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
| | The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion. |
|  | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | Where can I find more product specific information online? |
| | <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More details on the Investment Manager’s equity team stewardship policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/es-stewardship-policy-final-202002.pdf).</p> |

Product name:
 EASTSPRING INVESTMENTS – GREATER
 CHINA EQUITY FUND

Legal entity identifier:
 5493004VR6KCOG1VJ473

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with a social objective <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Sub-Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Sub-Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).



Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> • What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective? |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

| | |
|---|---|
|  | <p>Does this financial product consider principal adverse impacts on sustainability factors?</p> <p><input checked="" type="checkbox"/> Yes</p> |
| | <p>This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p>ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
| <p><input type="checkbox"/> No</p> | |
|  | <p>What investment strategy does this financial product follow?</p> <p>This Sub-Fund aims to maximize long-term total return by investing in equity and equity-related securities of companies, which are incorporated, or have their area of primary activity, in the PRC, Hong Kong SAR and Taiwan. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, Participatory Notes, preference shares and warrants.</p> |


The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.


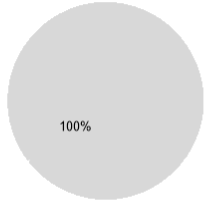
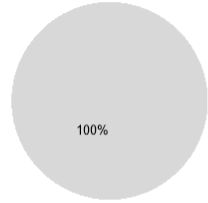


Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:





- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

| | |
|--|--|
| | <p>The Sub-Fund may invest up to 20% of its net assets in China-A shares directly through the Shanghai-Hong Kong Stock Connect and/or Shenzhen-Hong Kong Stock Connect, and/or QFII/RQFII.</p> |
| | <ul style="list-style-type: none"> • What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |
| | <p>1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and 2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| | <p>The Investment Sub-Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Sub-Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| | <div style="text-align: center;"> <pre> graph LR A[Investments] --> B[#1 Aligned with E/S characteristics 80% - 100%] A --> C[#2 Other 0% - 20%] B --> D[#1B Other E/S characteristics 100%] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |

| | |
|---|--|
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁴⁴ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| <p><i>Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.</i></p> <p><i>Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.</i></p> | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="507 913 938 1361"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="1010 913 1441 1361"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
|  | <ul style="list-style-type: none"> • What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |

⁴⁴ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|--|
|  | What is the minimum share of socially sustainable investments? |
| | Not applicable. |
|  | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
| | The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion. |
|  | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | Where can I find more product specific information online? |
| | <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability.</p> |

SINGLE COUNTRY FUNDS

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Product name:
EASTSPRING INVESTMENTS – CHINA A
SHARES GROWTH FUND

Legal entity identifier:
549300WUZPQOX78MOL21

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

- It will make a minimum of **sustainable investments with an environmental objective:** ____ %
 - in economic activities that qualify as environmentally sustainable under the EU Taxonomy
 - in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

- It will make a minimum of **sustainable investments with a social objective:** _____ %

No

- It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments
 - with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy
 - with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy
 - with a social objective
- It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Sub-Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Sub-Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
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| | <ul style="list-style-type: none"> <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

- i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons
- ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term capital growth by investing at least 70% of its net assets in China A-shares of companies listed on the Shanghai Stock Exchange and/or the Shenzhen Stock Exchange via SHHK and SZHK Stock Connect and/or QFII/RQFII which have strong potential growth. The Sub-Fund may invest less than 30% of its net assets in equity of companies listed on the ChiNext market and the Science and Technology Innovation Board (“STAR Board”).

Apart from China A-shares, the Sub-Fund may also invest less than 30% of its net assets in other equity and equity-related securities of companies that are incorporated in, or listed in, or operating

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

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| | principally from, or carrying on significant business in, or derive substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from, the PRC. Equity-related securities in which the Sub-Fund may invest include, but are not limited to listed securities in recognised markets, depository receipts including ADRs and GDRs, debt securities convertible into common shares, preferred shares and warrants. |
| | <ul style="list-style-type: none"> • What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |
| | <p>1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| | The Investment Sub-Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation. |
| | <p>What is the asset allocation planned for this financial product?</p> |
| | The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Sub-Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics. |

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

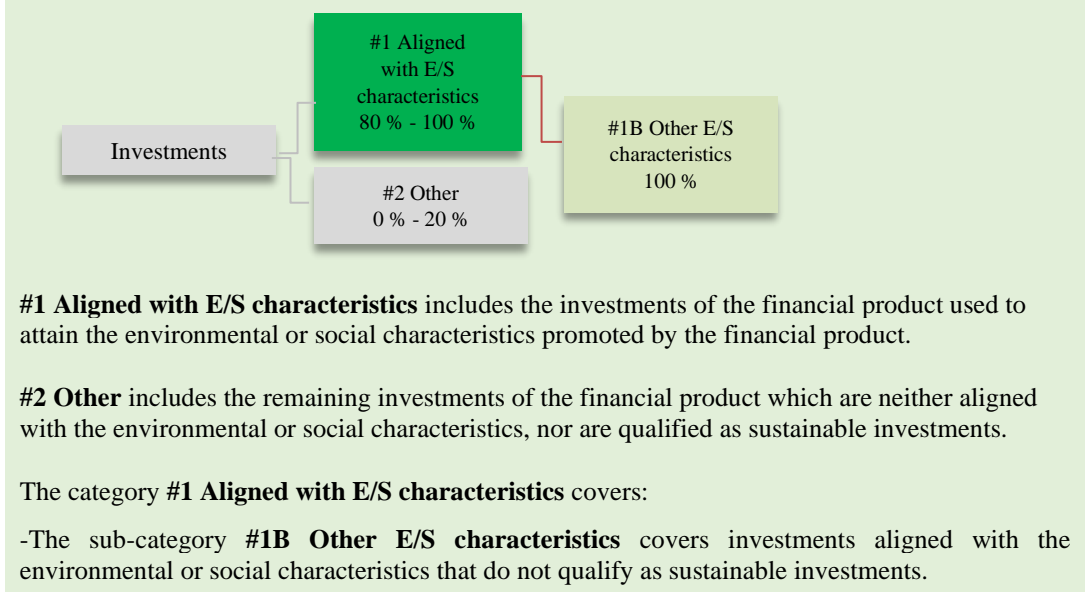
Asset allocation describes the share of investments in specific assets.


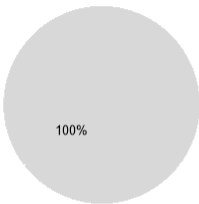
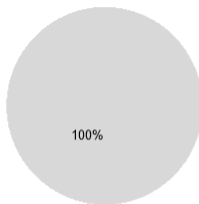



Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



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| | <ul style="list-style-type: none"> How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> <p>Not applicable.</p> <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy? ⁴⁵ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | <p><i>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</i></p> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 10px; width: 45%;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div style="border: 1px solid black; padding: 10px; width: 45%;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? <p>Not applicable.</p> |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> <p>Not applicable.</p> |





Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.



environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

⁴⁵ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

| | |
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|  | What is the minimum share of socially sustainable investments? Not applicable. |
|  | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion. |
|  | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | Where can I find more product specific information online? More product-specific information can be found on the website: More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability . |

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

Product name:
 EASTSPRING INVESTMENTS – CHINA
 EQUITY FUND

Legal entity identifier:
 54930083ZTHUTA61GI93

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

| <input checked="" type="radio"/> <input type="radio"/> Yes | <input type="radio"/> <input checked="" type="radio"/> No |
|--|---|
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % <ul style="list-style-type: none"> <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments <ul style="list-style-type: none"> <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with a social objective <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Sub-Manager integrates ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Sub-Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

- *What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?*

Not applicable.

- *How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?*

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?

Not applicable.

How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?

Not applicable.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

- i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons
- ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term total return by investing primarily in equity and equity-related instruments of corporations, which are incorporated in, or listed in, or operating principally from, or carrying on significant business in, or derive substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from, the PRC.

The investments of the Sub-Fund include, but are not limited to listed securities in the Recognised Markets, depository receipts including ADRs and GDRs, debt securities convertible into common shares, Participatory Notes, preference shares and warrants.

The Sub-Fund may invest up to 20% of its net assets in China-A shares directly through the Shanghai-Hong Kong Stock Connect and/or Shenzhen-Hong Kong Stock Connect, and/or QFII/RQFII

- **What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?**

- 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and
- 2) No exposure to companies that fit the ESG exclusion.

- **What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?**

Not applicable.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.


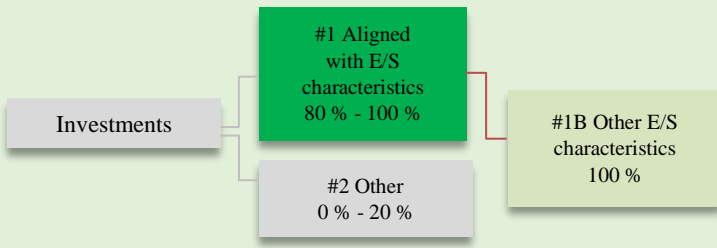

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

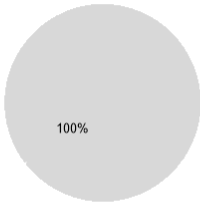
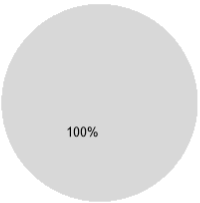


- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

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| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| | <p>The Investment Sub-Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Sub-Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| | <div style="text-align: center;">  </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> <p>Not applicable.</p> |

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

| | |
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| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁴⁶ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="525 660 960 1102"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned: (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="1034 660 1469 1102"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of socially sustainable investments?</p> |
| | <p>Not applicable.</p> |

⁴⁶ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

| | |
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| | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
| | The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion. |
| | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
| | Where can I find more product specific information online? |
| | More product-specific information can be found on the website: |
| | More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability . |
| | |

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

Product name:
 EASTSPRING INVESTMENTS – INDIA
 EQUITY FUND

Legal entity identifier:
 5493006UQ4LVGF5WHY47

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

| <input checked="" type="radio"/> <input type="radio"/> Yes | <input type="radio"/> <input checked="" type="radio"/> No |
|---|---|
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with a social objective <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.

- ***How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?***

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?

Not applicable.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term total return by investing primarily in equity and equity-related securities of companies, which are incorporated, listed in or have their area of primary activity, in India.

The Sub-Fund may also invest in depositary receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares, and warrants.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and

2) No exposure to companies that fit the ESG Exclusions criteria.

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?***

Not applicable.

- ***What is the policy to assess good governance practices of the investee companies?***

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.


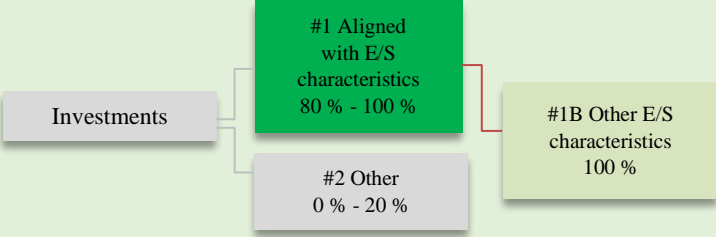

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:


- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

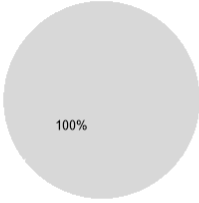
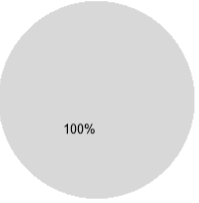


- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

| | |
|---|--|
| | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| | <div style="text-align: center;">  </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |
| | <ul style="list-style-type: none"> ● <i>How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?</i> |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.














Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

| | |
|---|---|
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁴⁷ |
| | <p><input type="checkbox"/> Yes:</p> <p><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="528 663 962 1104"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> Taxonomy-aligned: Fossil gas Taxonomy-aligned: Nuclear Taxonomy-aligned (no fossil gas & nuclear) Non Taxonomy-aligned </div> <div data-bbox="1038 663 1473 1104"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> Taxonomy-aligned: Fossil gas Taxonomy-aligned: Nuclear <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of socially sustainable investments?</p> |

⁴⁷ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|---|
|  | <p>Not applicable.</p> |
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> |
|  | <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
|  | <p>Not applicable.</p> |
|  | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
|  | <p>Not applicable.</p> |
|  | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
|  | <p>Not applicable.</p> |
|  | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
|  | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> |
|  | <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More details on the Investment Manager’s equity team stewardship policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/es-stewardship-policy-final-202002.pdf).</p> |

Product name:
 EASTSPRING INVESTMENTS –
 INDONESIA EQUITY FUND

Legal entity identifier:
 549300PWG5N40WZJID20

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Does this financial product have a sustainable investment objective?

Yes

No

- It will make a minimum of **sustainable investments with an environmental objective:** ____ %
 - in economic activities that qualify as environmentally sustainable under the EU Taxonomy
 - in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

- It will make a minimum of **sustainable investments with a social objective:** ____ %

- It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments
 - with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy
 - with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy
 - with a social objective

- It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> • <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term total return by investing primarily in equity and equity-related securities of companies, which are incorporated, listed in or have their area of primary activity, in Indonesia. The Sub-Fund may also invest in depositary receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares, and warrants.


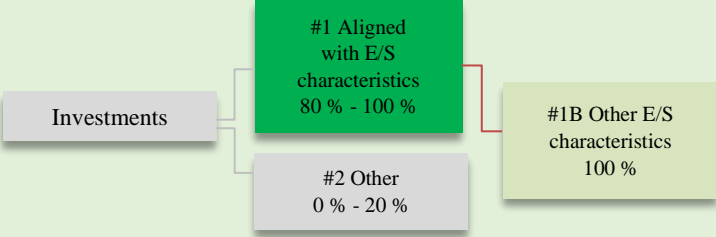
The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.


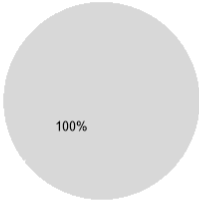
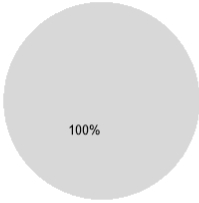


Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.



Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



| | |
|--|---|
| | <ul style="list-style-type: none"> • What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |
| | <p>1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| | The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation. |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| | <div style="text-align: center;">  <pre> graph LR A[Investments] --> B[#1 Aligned with E/S characteristics 80% - 100%] A --> C[#2 Other 0% - 20%] B --> D[#1B Other E/S characteristics 100%] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |

| | |
|---|---|
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁴⁸ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| <p><i>Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.</i></p> <p><i>Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.</i></p> | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="528 925 962 1368"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  </div> <div data-bbox="1034 925 1468 1368"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
|  <p>are environmentally sustainable investments</p> | <ul style="list-style-type: none"> • What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
|  <p>do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.</p> | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |

⁴⁸ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

| | |
|---|---|
| | |
|  | <p>What is the minimum share of socially sustainable investments?</p> |
| | <p>Not applicable.</p> |
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> |
| | <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.</p> |

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|--|--|
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More details on the Investment Manager’s equity team stewardship policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/es-stewardship-policy-final-202002.pdf).</p> |

Product name:
 EASTSPRING INVESTMENTS – JAPAN
 SMALLER COMPANIES FUND

Legal entity identifier:
 5493008Y5DKL2XNZ4B15

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).

ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.

iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> • <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal


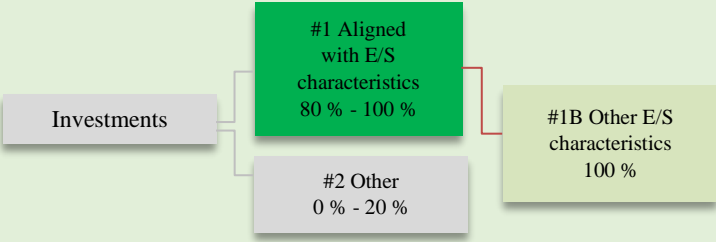
No


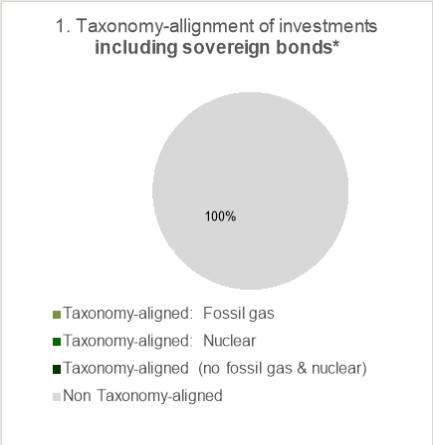
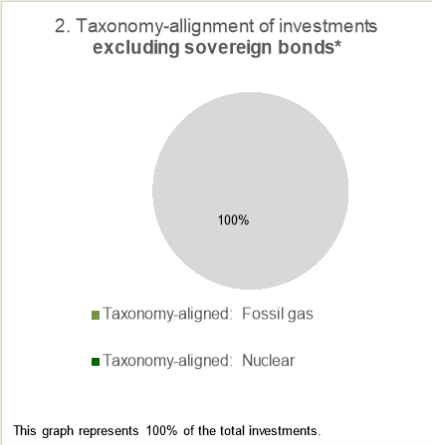


What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term capital appreciation by investing primarily in equity and equity-related securities of small and mid-sized corporations, which are incorporated in, or listed in, or operating principally from, or carrying on significant business in, or derive substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from Japan. These corporations generally refer to corporations whose market capitalisation form

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

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| | <p>the bottom third of total market capitalisation of all publicly listed equity in Japan. The Sub-Fund may also invest in larger companies on an opportunistic basis. The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants.</p> |
| | <ul style="list-style-type: none"> • What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |
| | <p>1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | <p>Not applicable.</p> |
| <p>Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.</p> | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  <p>Asset allocation describes the share of investments in specific assets.</p> <p>Taxonomy-aligned activities are expressed as a share of:</p> <ul style="list-style-type: none"> - turnover reflecting the share of revenue from green activities of investee companies - capital expenditure (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy. - operational expenditure (OpEx) reflecting green operational activities of investee companies. | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| | <div style="text-align: center;">  <pre> graph LR A[Investments] --> B[#1 Aligned with E/S characteristics 80% - 100%] A --> C[#2 Other 0% - 20%] B --> D[#1B Other E/S characteristics 100%] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |

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| | <ul style="list-style-type: none"> How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |
|  | To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁴⁹ |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | <p><i>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</i></p> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  </div> <div style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

⁴⁹ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.



environmentally sustainable investments **that do not take into account the criteria** for environmentally sustainable economic activities under the EU Taxonomy.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

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| | What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy? |
| | Not applicable. |
| | What is the minimum share of socially sustainable investments? |
| | Not applicable. |
| | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
| | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
| | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More details on the Investment Manager’s equity team stewardship policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/es-stewardship-policy-final-202002.pdf).</p> |

Product name:
 EASTSPRING INVESTMENTS – JAPAN
 ESG EQUITY FUND

Legal entity identifier:
 549300NW4MNNGXGABB07

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|--|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ___ % | <input checked="" type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of 40 % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input checked="" type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input checked="" type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> with a social objective |
| <input type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments | <input type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

Sustainability indicators measure how the sustainable objectives of this financial product are attained.



What environmental and/or social characteristics are promoted by this financial product?

The Investment Manager’s binding approach, in which environmental, social and governance factors are incorporated into the investment process, is the basis for the management and promotion of Environmental/Social characteristics of the Eastspring Investments – Japan ESG Equity Fund (the “**Sub-Fund**”). The Sub-Fund shall promote the following E/S characteristics:

- i. The Sub-Fund promotes certain environmental and social standards through the adoption of exclusion criteria to avoid investing in companies whose products and business practices the Investment Manager believe are detrimental to the environment and the society, such as fossil fuels, tobacco, controversial weapons, severe violations of human rights, labour standard or categorically rated as “strongly misaligned” with any of the 17 sustainable development goals by the United Nations (UN SDGs)⁵⁰
- ii. The Sub-Fund shall have weighted average carbon intensity metrics that are at least 35% lower than that of MSCI Japan index (the “**Reference Index**”)
- iii. The Sub-Fund shall ensure that at least 40% of the Sub-Fund’s assets are invested in sustainable investments, including those that estimated to be aligned with the EU Taxonomy
- iv. The Sub-Fund seeks to contribute to the achievement of the UN SDGs by investing in companies whose products/services and business practices are aligned to the goals defined by the UN SDGs.

The Sub-Fund does not have a reference index designated for the purpose of attaining the environmental or social characteristics which it promotes.

The Sub-Fund applies the definition of Article 2(17) under the SFDR to define an investment as sustainable, based on datasets and criteria provided by MSCI ESG Research LLC. For this purpose, MSCI ESG Research utilises 3 key criteria to assess if a company can be considered as sustainable investment, namely good governance, do no significant harm, and positive contribution. Positive contribution can be demonstrated either by deriving at least 20% of revenue from products or services that contribute to one or more social or environmental objectives defined under the Sustainable Impact metrics by MSCI ESG Research or having a carbon emissions reduction target approved by the Science Based Targets initiatives (SBTi). In addition, MSCI ESG Research also utilizes its research and datasets on ESG Ratings and ESG Controversies to determine if the company meets the criteria to demonstrate good governance and do no significant harm.

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

The sustainability indicators used by the Sub-Fund are as follows:

- 1. The Sub-Fund’s carbon footprint metrics are at least 35% lower than that of the Reference Index. The Investment Manager defines the Sub-Fund’s carbon footprint under two common carbon dioxide (CO₂) emission intensity metrics:
 - (i) The first carbon intensity metric of the Sub-Fund is calculated by measuring direct greenhouse gas emission from the individual companies in the Sub-Fund (known as scope 1 emission) as well as indirect greenhouse gas emission from those companies’ externally-purchased electric power sources (known as scope 2 emission) relative to their revenues.

⁵⁰ The 17 United Nations Sustainable Development Goals are no poverty, zero hunger, good health and wellbeing, quality education, gender equality, clean water and sanitation, affordable and clean energy, decent work and economic growth, industry, innovation and infrastructure, reduced inequalities, sustainable cities and communities, responsible consumption and production, climate action, life below water, life on land, peace, justice and strong institutions, and partnerships for the goals.

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| | <p>(ii) The other carbon intensity metric of the Sub-Fund is calculated by measuring direct greenhouse gas emission from the individual companies in the Sub-Fund (known as scope 1 emission) as well as indirect greenhouse gas emission from those companies' externally-purchased electric power sources (known as scope 2 emission) per one million US dollar of the assets of the Sub-Fund.</p> <ol style="list-style-type: none"> 2. At least 40% of the assets of the Sub-Fund will be invested in sustainable investments in accordance to Article 2(17) under the SFDR. 3. At least 90% of the assets of the Sub-Fund will be invested in companies whose business models are categorically rated as “Neutral”, “Aligned” or “Strongly Aligned” to any of the 17 UN SDGs, based on the SDG Alignment framework developed by and assessment by MSCI ESG Research. These companies are categorically rated by MSCI ESG Research as “Neutral”, “Aligned” or “Strongly Aligned” to any of the UN SDGs. MSCI provides qualitative and quantitative assessment of a company’s alignment to each of the 17 goals by evaluating the businesses and operations of each company, reflected in a net alignment assessment of Strongly Aligned, Aligned, Neutral, Misaligned and Strongly Misaligned. 4. The Sub-Fund’s investments comply with an exclusion policy, which excludes investments in securities of companies that are materially exposed to controversial business activities and practices, as further described under the question “<i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i>”, sub-section “Negative Exclusions”, including: <ol style="list-style-type: none"> (i) companies that are involved in the development, production, use, maintenance, offering for sale, distribution, import or export, storage or transportation of nuclear weapons, biological weapons, chemical weapons, non-detectable fragments, blinding laser weapons, anti-personnel mines, cluster munitions, depleted uranium ammunition and armour, incendiary weapons, white phosphorus weapons, (ii) companies that are involved in the production of tobacco products, (iii) companies that are involved in business activities relating to exploration and production of arctic oil and gas, and in production of hydrocarbon from oil sands, (iv) companies that are involved in the mining, extraction and sales of thermal coal, and (v) companies that are excluded from the EU Paris-Aligned benchmarks by MSCI ESG Research. These companies are usually estimated by MSCI to cause significant harm to the environmental objectives of the EU Taxonomy regulations. 5. The Sub-Fund shall also exclude securities of companies that derive 5% or more of their revenue from any of the following activities: supplying inputs to tobacco production, distribution and retailing and licensing of tobacco products, production of shale oils and/or shale gas, production of conventional oil and/or gas, power generation using fossil fuels. In the event where a company may derive their revenue from more than one of the activities related to fossil fuels as listed, the 5% revenue threshold shall apply to the aggregate sum of revenues derived from all relevant fossil fuel related activities. 6. The Sub-Fund shall also exclude securities of companies that are assessed as having severe violations of the United Nations Global Compact, the Guiding Principles for Business and Human Rights of the United Nations, the International Labour Organization (ILO)’s Broader Sets of Standards and Fundamental Principles and Rights at Work, and the OECD Guidelines for Multinational Enterprises. 7. The Sub-Fund shall exclude securities of companies that are assigned an ESG rating of CCC or B by MSCI ESG Research. 8. The Sub-Fund shall also exclude securities of companies whose business models are assigned a categorical assessment of “Strongly Misaligned” with any of the 17 Sustainable Development Goals (SDGs) of the United Nations, based on the SDG alignment framework developed and assessment made by MSCI ESG Research LLC. |
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The Investment Manager will adopt research conclusions made by third-party service providers in order to determine whether a company is involved in and/or derives more than 5% of its revenue from these above-mentioned business activities. In the event of a passive breach of such exclusion rules, the Investment Manager will promptly engage with the company with regard to the breach. Should the Investment Manager determine that it is not possible for the company to remedy the breach, the Investment Manager shall divest the holdings within a reasonable timeframe of a maximum of ninety (90) days from the date of the said engagement from the Sub-Fund.

In addition to the exclusionary policies mentioned above, the Investment Manager will monitor investee companies that are (i) categorised as being in the Watch List for possible violations of the UN Global Compact, the UN Guiding Principles for Business and Human Rights, the Broader Sets of Standards and Fundamental Principles and Rights at Work of the ILO, the OECD Guidelines for Multinational Enterprises, (ii) assessed as “Misaligned” to any of the 17 UN SDGs, and (iii) assessed as having Structurally Severe and Most Severe Environmental and/or Very Severe Social or Governance Controversies by third-party research providers. The Investment Manager will assess whether the issues have material impact on the trend assumptions and sustainable earnings of the companies.

The Investment Manager will thoroughly analyse and value companies based on quantifiable metrics which are generated in-house, supplemented by non-financial data including sustainability data, reports and research conclusions, which are provided by investee companies and market-leading third-party data providers, including but not limited to MSCI ESG Research LLC, financial materiality framework, which helps identify material ESG evaluation criteria where data is available and relevant, and Institutional Shareholder Services (ISS) for research conclusions on exclusions of controversial weapons and guidance on corporate governance and proxy voting.

The Sub-Fund does not have a reference index designated for the purpose of attaining the environmental or social characteristics which it promotes to the extent that the Reference Index does not have any sustainability specific factors.

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

While the Sub-Fund does not have a sustainable investment objective, the Investment Manager shall aim to allocate a minimum of 40% of the assets of the Sub-Fund to be invested in sustainable investments, including but not limited to investments in companies whose products and services contribute positively to address climate change mitigation and/or climate change adaptation which are aligned with the EU Taxonomy, and/or companies whose products and services positively contribute to address adequate living standards and well-being for the population. The Sub-Fund applies the definition of Article 2(17) under the SFDR to define an investment as sustainable, based on datasets and criteria provided by MSCI ESG Research LLC. For this purpose, MSCI ESG Research utilises 3 key criteria to assess if a company can be considered as sustainable investment, namely good governance, do no significant harm, and positive contribution. Positive contribution can be demonstrated either by deriving at least 20% of revenue from products or services that contribute to one or more social or environmental objectives defined under the Sustainable Impact metrics by MSCI ESG Research or having a carbon emissions reduction target approved by the Science Based Targets initiatives (SBTi). In addition, MSCI ESG Research also utilizes its research and datasets on ESG Ratings and ESG Controversies to determine if the company meets the criteria to demonstrate good governance and do no significant harm.

The Investment Manager will monitor the level of investments aligned with the EU Taxonomy. The related data to be used to assess sustainable investments of the Sub-Fund will be provided by MSCI ESG Research LLC and/or other data service providers and is

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

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| | <p>calculated based on the percentage of revenues of the portfolio companies that are aligned with EU Taxonomy and is pro-rated across the portfolio holdings.</p> |
| | <ul style="list-style-type: none"> ● <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | <p>The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.</p> <p>The Investment Manager takes into account relevant principal adverse impact indicators to assess and estimate the impact of the sustainable investments on environmental and social objectives. The Investment Manager uses a combination of exclusionary screening and monitoring to ensure that portfolio companies adhere to the Do No Significant Harm principle.</p> <p><u>Exclusionary screening</u></p> <p>The Sub-Fund adheres to an extensive list of exclusion criteria to exclude investments in companies that are involved in controversial behaviour and controversial activities, including controversial weapons, chemical weapons, biological weapons, nuclear weapons, certain conventional weapons, tobacco, fossil fuel, fossil fuel based power generation, severe violations of the UN Global Compact, the UN Guiding Principles for Business and Human Rights, the ILO’s Broader Sets of Standards and Fundamental Principles and Rights at Work, and the OECD Guidelines for Multinational Enterprises. The Sub-Fund shall also exclude securities of companies that are assigned an ESG rating of CCC or B by MSCI ESG Research. In addition, the Investment Manager also adopts the SDG Alignment Framework by third-party vendors such as MSCI ESG Research to avoid investing in companies that are categorically assessed as “strongly misaligned” with any of the 17 sustainable development goals of the United Nations.</p> <p>The Investment Manager uses ESG data, research, and relevant assessment and conclusions by MSCI ESG Research to support their fundamental analysis to identify and estimate sustainable investments of the Sub-Fund. The Investment Manager shall also adopt the ESG Controversies Methodology and assessment by MSCI ESG Research (MSCI ESG Controversies) to identify companies whose activities may potentially cause significant harm to any environmental or social objective under EU Taxonomy.</p> <p><u>Monitoring</u></p> <p>In addition, the Investment Manager will closely monitor investee companies that are</p> <ul style="list-style-type: none"> (i) categorised as being in the Watch List for possible violations of the UN Global Compact, the UN Guiding Principles for Business and Human Rights, the ILO’s Broader Sets of Standards and Fundamental Principles and Rights at Work, the OECD Guidelines for Multinational Enterprises, (ii) assessed as “Misaligned” to any of the 17 US sustainable development goals, and (iii) assessed as having Severe and Very Severe Environmental and/or Very Severe Social or Governance Controversies by third-party research providers. <p>The Investment Manager will assess whether the issues have material impact on the trend assumptions and sustainable earnings of the companies, in accordance with the Sub-Fund’s binding approach. The Investment Manager shall seek to directly engage with management</p> |

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| | <p>of the companies to address and/or rectify the environmental and/or social issues as soon as practical. In the event where the Investment Manager deems that it is unlikely to observe any positive change for a period of up to 3 years since the date of the first of such engagement, the companies shall be excluded from the investment universe of the Sub-Fund, and any investment of the Sub-Fund in such companies, if any, shall be divested within a reasonable timeframe of a maximum of ninety (90) days from the date of the decision made by the Investment Manager.</p> |
| | <p><i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i></p> |
| | <p>As an integral part of the investment process, the Investment Manager shall consider the following relevant Principal Adverse Impact (PAI) indicators on the Sub-Fund's sustainable investments</p> <ul style="list-style-type: none"> ▪ GHG intensity of investee companies, Exposure to companies active in the fossil fuel sector ▪ Violations of UN Global Compact principles and Organisation for Economic Cooperation and Development (OECD) Guidelines for Multinational Enterprises ▪ Exposure to controversial weapons (anti-personnel mines, cluster munitions, chemical weapons, and biological weapons) <p>The adoption of the above PAIs is part of the fundamental analysis and portfolio construction process, which shall support the Investment Manager's conclusion that the sustainable investments do no significant harm to any environmental or social objective and that the investee companies have sound governance practices in place.</p> <p>The Investment Manager shall use publicly available information and/or third-party service providers such as Bloomberg, ISS, MSCI ESG Research, etc. to support their assessment and reporting requirement.</p> |
| | <p><i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i></p> |
| | <p>The Investment Manager uses MSCI ESG Controversies research conclusions to support their ESG considerations as part of their investment process. A combination of exclusionary screening and monitoring of ESG controversies shall be implemented to avoid and/or identify companies which were involved in controversial practices that may constitute a breach of the UN Global Compact, the UN Guiding Principles on Business and Human Rights, the ILO's Broader Sets of Standards and Fundamental Principles and Rights at Work as well as OECD Guidelines for Multinational Enterprises.</p> |
| <p><i>The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.</i></p> <p><i>The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.</i></p> <p><i>Any other sustainable investments must also not significantly harm any environmental or social objectives.</i></p> | |



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

Yes. The Investment Manager considers principle adverse impacts on sustainability factors in selecting investments for this Sub-Fund, as further detailed under the question “*How have the indicators for adverse impacts on sustainability factors been taken into account?*”. The adoption of these principal adverse impacts is part of the fundamental analysis and portfolio construction process. Identification of key adverse impacts is based on key ESG factors which are relevant for specific industries. The ESG ratings by MSCI ESG Research does take this into account.

The Sub-Fund shall consider principal adverse impacts on sustainability factors through the exclusion requirements:

- i. PAI 14 : No investments in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, depleted uranium ammunition and armour, incendiary weapons, white phosphorus weapons and nuclear weapons
- ii. PAI 4 : No investments in companies that derived any revenue from arctic oil and gas production or oil sands or thermal coal production, as well as no investments in companies that derived greater than 5% of their revenue from conventional oil and gas, shale oil and/or shale gas, and/or electricity generated from thermal coal
- iii. PAI 10 : No investment in companies which is in violation of the United Nations Global Compact, the United Nations Guiding Principles for Human Rights and Business Rights as well as the labour principles and standards by International Labour Organisation
- iv. PAI 3 : The Sub-Fund shall focus on investments in companies with GHG intensity that is lower than MSCI Japan index

The Investment Manager will report on the principal adverse impacts and any actions taken or will be taken to mitigate them in the annual reports of the Sub-Fund.

No

What investment strategy does this financial product follow?



This Sub-Fund aims to maximize long-term capital appreciation by investing primarily in equity and equity-related securities of corporations, which are incorporated in, or listed in, or operating principally from, or carrying on significant business in, or derive substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from Japan, which meet the Sub-Fund’s policy on sustainability.

The Sub-Fund will invest in the most attractive, mispriced opportunities while it will also meet the Sub-Fund’s sustainability characteristics that include: the Sub-Fund’s carbon intensity metrics that will be at least 35% lower than those of the reference index, at least 40% of the assets of the Sub-Fund will be invested in sustainable investments in accordance to Article 2(17) under the SFDR, at least 90% of the assets of the Sub-Fund will be invested in companies whose business models are categorically rated as “Neutral”, “Aligned” or “Strongly Aligned” to any of the 17 UN SDGs, and certain types of exclusions that apply to the Sub-Fund’s direct investments such as controversial weapons, nuclear weapons, certain conventional weapons, tobacco, fossil fuels, other global norms, and those that are categorically rated as “Strongly Misaligned” to any of the 17 sustainable development goals. The Sub-Fund shall exclude securities of companies with ESG ratings of B or CCC by MSCI ESG Research.

The Sub-Fund may also invest in depository receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares and warrants. ADRs and GDRs that the Sub-Fund may invest in will not have embedded derivatives.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

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| | <ul style="list-style-type: none"> ● <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <p>In addition to the investment process that combines analysis of sustainability issues with financial and operational due diligence, the Investment Manager adopts a binding approach to select the investments in the Sub-Fund based on the following three (3) key pillars:</p> <ol style="list-style-type: none"> 1. <i>Do no significant harm:</i> The Investment Manager uses strict negative exclusion screens to exclude companies whose products and services the Investment Manager deems contribute to environmental and social harm or controversial behaviour and activities. Please refer to item (4), (5), (6), (7) and (8) in the answer to question “What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?” for a complete description of the exclusion criteria of this Sub-Fund. 2. <i>Positive sustainability selection:</i> <ol style="list-style-type: none"> (i) <i>Lower carbon footprint metrics (greenhouse gas emission) than the Reference Index:</i> The Sub-Fund targets to achieve a 35%-or-more lower carbon footprint metrics. Please see item (1) in the answer to question “What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?” for the definition of the carbon footprint metrics. (ii) A minimum 40% of the assets of the Sub-Fund will be invested in sustainable investments defined in Article 2(17) under the SFDR. (iii) A minimum 90% of the assets of the Sub-Fund will be invested in companies that are categorically rated as “Neutral”, “Aligned” or “Strongly Aligned” to all of the 17 sustainable development goals based on MSCI SDG alignment framework and assessment. (iv) The Sub-Fund shall only invest in companies that are assigned with an ESG rating of BB or higher by MSCI ESG Research. 3. <i>Commitment to stewardship through active management, proactive engagement, and proxy voting:</i> This Sub-Fund will be actively managed. The Investment Manager may use direct dialogue with investee company management to influence policies on sustainability. Where necessary, the Investment Manager may choose to vote for or against company policies that impact the Sub-Fund’s sustainability criteria and references Institutional Shareholders Services (ISS) or similar proxy advisers to inform the vote and ensure that voting is conducted in the best interests of clients and the Sub-Fund’s sustainable objective. <p>These above-mentioned exclusions and indicators shall be monitored on a regular basis by the investment team and separate investment compliance and risk management functions. Adherence to the exclusion policies is non-negotiable.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the policy to assess good governance practices of the investee companies?</i> |
| | <p>As an integral part of the investment process, the Investment Manager tracks and logs company answers to questions related to the good governance practices that may impact the company’s ESG Characteristics, such as, at the minimum, factors affecting climate change (carbon emissions, pollution, waste management, energy efficiency, sustainable production practices), resource management, social factors including diversity and inclusion, health and</p> |

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

wellbeing, safety and security, fair labour practices and employee relations; equal access to financial services; governance factors such as board independence, management structure, regulatory compliance, alignment of interests to goals besides shareholder profits and gender diversity.

The Investment Manager utilises company ESG research assessment and conclusions by third-party research providers including MSCI ESG Research to supplement their assessment of governance practices at investee companies. The MSCI ESG dataset also consists of many metrics allowing the Investment Manager assess good governance practices, such as corporate governance theme score, tax transparency score, human capital theme score, etc. Such research assessment and conclusions contribute to final ESG ratings of investee companies assigned by MSCI ESG Research. To ensure the investee companies having good governance practices, the Sub-Fund excludes companies that are assigned the lowest ESG ratings, namely CCC and B, from its investment universe

The Investment Manager engages directly with investee companies on a regular basis and monitors their governance practices. Direct dialogue with management of investee companies may also be conducted in order to influence policies on ESG, including areas covered by the ESG characteristics of the Sub-Fund. Where necessary, the Investment Manager may choose to vote for or against policies that impact these ESG Characteristics and references ISS (or similar) shareholding services to inform the vote and ensure that voting is conducted in the best interests of clients.

The Investment Manager commits to monitoring a company’s progress and uses both quantitative and qualitative assessments to measure improvement. Engagement is the cornerstone of good governance and is an integral component of the Investment Manager’s stewardship standards.

More details on the Investment Manager’s equity team stewardship policy are available on the website page link mentioned in the last question of this appendix.



Asset allocation describes the share of investments in specific assets.

What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 10% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 90% of the assets of the Sub-Fund will be aligned with environmental or social characteristics. While the Investment Manager shall aim to allocate as much of the assets of the Sub-Fund as possible to sustainable investments, this is not always possible. The Investment Manager shall target to allocate from 40% to 100% of the assets of the Sub-Fund to sustainable investments and from 2% to 100% of the assets of the Sub-Fund to Taxonomy-aligned investments and minimum 1% of the assets of the Sub-Fund to a social objective.

The Sub-Fund applies the definition of Article 2 (17) SFDR to define an investment as sustainable. For that purpose, the Investment Manager utilizes datasets provided by MSCI. Before considering any asset as sustainable investment, MSCI takes into account specific criteria such as MSCI’s researches and conclusions on ESG controversies as well as the application of a threshold of 20% of the revenue deriving from activities that contribute to sustainable investment objectives (as measured by MSCI with specific activity testing). In addition, according to MSCI, positive contribution can also be demonstrated by companies having carbon emission targets approved by Science Based Target indicators (SBTi) provided that they also meet the other criteria of good governance and do not significant harm (DNSH).

To determine whether an investment qualifies as sustainable, the Investment Manager uses related data and research services by MSCI ESG Research, particularly MSCI Sustainable Impact Metrics framework, to identify companies that derive revenues from products or services with positive impact

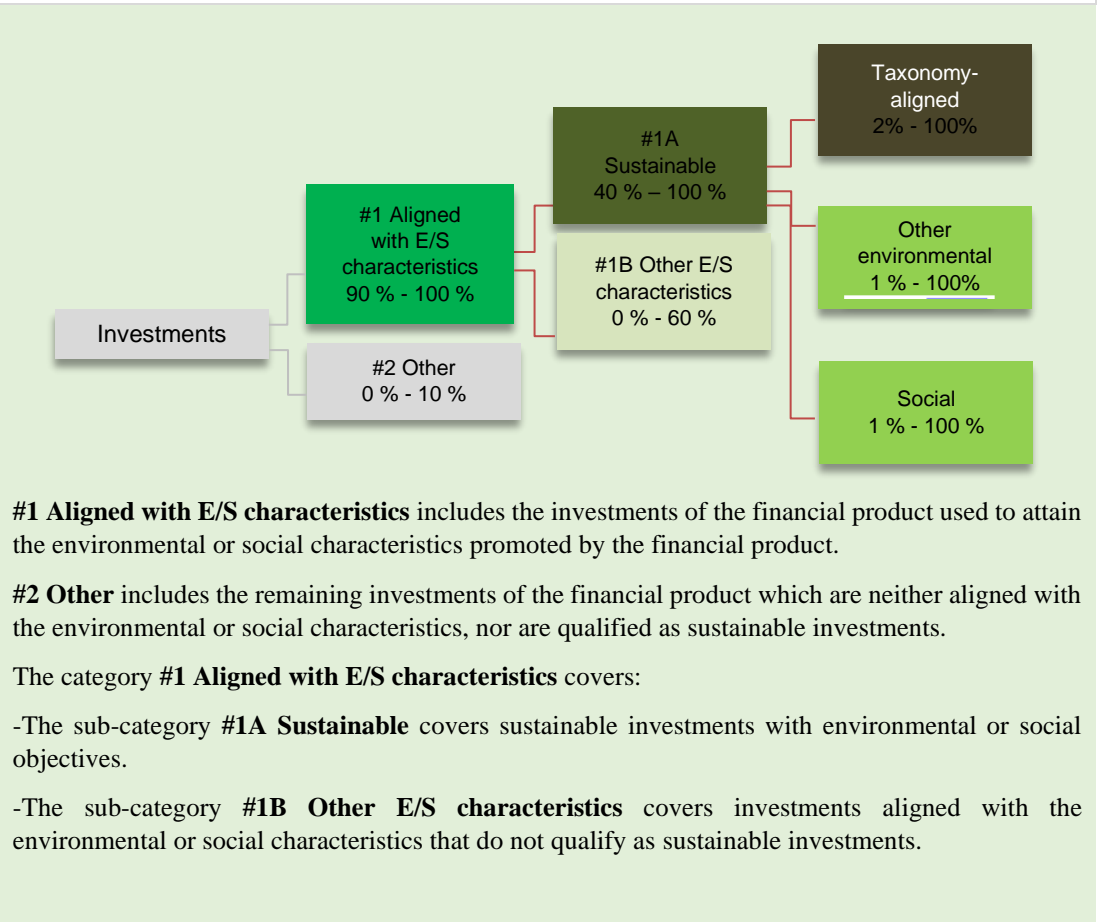
that are aligned with the EU Taxonomy. Percentage of such positive impact revenues of the investee companies shall be pro-rated across the portfolio holdings of the Sub-Fund to calculate sustainable investments. Using the same impact metric data combined with ESG controversies and business involvement screening frameworks adopted as Do No Significant Harm criteria and Minimum Safeguards, MSCI ESG Research estimates a company's revenue from economic activities that are aligned to EU Taxonomy, which are used by the Investment Manager in determining sustainable investments and Taxonomy-aligned investments. In addition, the Sub-Fund adopts a combination of various exclusionary screening and monitoring to ensure that all the sustainable investments in investee companies adhere to the Do No Significant Harm principle toward any environmental or social objective. Compliance of the investments which the Investment Manager believe aligned to Article 3 of the EU Taxonomy Regulation will not be subject to an assurance and/or a dedicated review by a third party.

Taxonomy-aligned activities are expressed as a share of:


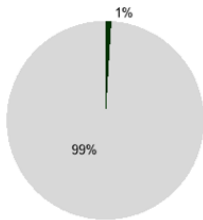
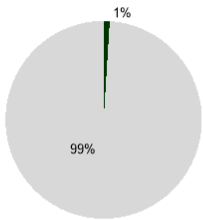
- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure (CapEx)** showing the green investments made by investee companies, e.g. for a transition to a green economy.





- **operational expenditure (OpEx)** reflecting green operational activities of investee companies.



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| | <ul style="list-style-type: none"> ● How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | <p>The Sub-Fund may use derivative instruments (such as futures, forwards, options, and warrants) for the reduction of risk or for managing the Sub-Fund more efficiently. For the avoidance of doubt, the Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |

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|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| <p><i>Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.</i></p> <p><i>Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.</i></p> | <p>The Investment Manager shall allocate a minimum of 2% of the assets of the Sub-Fund to sustainable investments that address climate change mitigation and/or climate change adaptation objective while remaining consistent to their investment process. The Investment Manager shall actively engage with investee companies that have no alignment or low levels of alignment to EU Taxonomy and encourage companies to align with the EU Taxonomy.</p> |
| | <p>Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁵¹</p> |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| <p>are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.</p> | <p><i>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</i></p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="523 1137 959 1585"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>Legend: ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned</p> </div> <div data-bbox="1034 1137 1469 1585"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>This graph represents 100% of the total investments.</p> <p>Legend: ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear</p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <p>● What is the minimum share of investments in transitional and enabling activities?</p> |
| | <p>Due to the limited availability of reliable public information as Japanese companies do not fall within the scope of the EU Taxonomy, it is likely that a material portion of the Sub-Fund could be invested in transitional and enabling activities. However, the Investment Manager would not expect these activities to be detrimental to the long-term objectives of the Sub-Fund’s sustainable investments due</p> |

⁵¹ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

| | |
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| | to the Sub-Fund’s binding approach. Over time, it may be possible that more Japanese companies will commit to EU Taxonomy, the Investment Manager expects investments in transitional and enabling activities to decline in favour of Taxonomy-aligned activities. |
|  | What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy? |
| | The Sub-Fund does not plan to have a minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy. As the Sub-Fund invests primarily in securities of companies which are incorporated in, or listed in, or operating principally from, or carrying on significant business in, or derive substantial revenue from, or whose subsidiaries, related or associated corporations derive substantial revenue from Japan, the lack of mandatory disclosure specific to the EU Taxonomy presents a significant challenge in determining which economic activities that Japanese companies are involved in are aligned to the EU Taxonomy. Therefore, it is possible that a material share of the sustainable investments may not be aligned with EU Taxonomy. This will be data dependent, and the Investment Manager expects that this share will decline over time as companies align themselves with EU Taxonomy. |
|  | What is the minimum share of socially sustainable investments? |
| | The minimum share of investments with a social objective is 1% of the Sub-Fund’s total assets. |
|  | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
| | The investments included under “Other” represent the maximum allowable cash position under Luxembourg regulations. Direct cash holdings, short term instruments, liquidity funds and money market funds may not be aligned with ESG characteristics, and neither environmental nor social safeguards have been considered for their inclusion. |
|  | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. The Reference Index does not have any sustainability specific factors. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. Information on the investment strategy and the Investment Manager’s Responsible Investment Policy can be found on the website: <https://www.eastspring.com/about-us/responsible-investment>.

More details on the Investment Manager’s equity team stewardship policy are available on the website: <https://www.eastspring.com/sustainability>

Product name:
 EASTSPRING INVESTMENTS – PHILIPPINES
 EQUITY FUND

Legal entity identifier:
 549300JT5KX8JA6YYD13

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____ % of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?*

Not applicable.

- *How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?*

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?

Not applicable.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
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| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund aims to maximize long-term total return by investing primarily in equity and equity-related securities of companies, which are incorporated, listed in or have their area of primary activity, in Philippines. The Sub-Fund may also invest in depositary receipts including ADRs and GDRs, debt securities convertible into common shares, preference shares, and warrants.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

- 1) Minimum of 80% of Fund Under Management (“FUM”) to undergo ESG Integration, and
- 2) No exposure to companies that fit the ESG Exclusions criteria.

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy***

Not applicable.


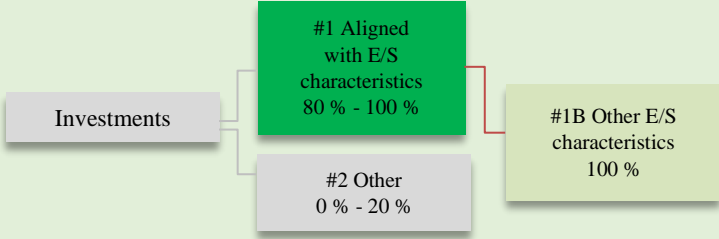

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

| | |
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| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in equity and equity-related securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of equity and equity-related securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |
| <div style="text-align: center;">  </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. | |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> <p>Not applicable.</p> <p>Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁵²</p> |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |

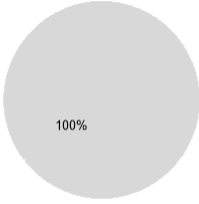
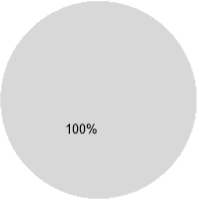




⁵² Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.


Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
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| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="525 465 960 907"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="1034 465 1469 907"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> ● What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of socially sustainable investments?</p> |
| | <p>Not applicable.</p> |
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> |
| | <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product? |
| | <p>Not applicable.</p> |

| | |
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| | <ul style="list-style-type: none"> ● <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More details on the Investment Manager’s equity team stewardship policy is available on the website (https://www.eastspring.com/docs/librariesprovider2/responsible-investments/esi-stewardship-policy-final-202002.pdf).</p> |

FIXED INCOME FUNDS

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Product name:
EASTSPRING INVESTMENTS – ASIA SELECT
BOND FUND

Legal entity identifier:
549300HC2WEPDLKQ9837

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

It will make a minimum of **sustainable investments with an environmental objective:** ____ %

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

It will make a minimum of **sustainable investments with a social objective:** ____ %

No

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____% of sustainable investments

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data. The ESG Integration process results in the assignment of an overall internal ESG risk and preparedness ranking. Issuers assessed to have high ESG risk and low ESG preparedness are excluded from the investment universe of this Sub-Fund.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

3. Additional screens

- i) Exclude companies that are involved in thermal coal and oil sands extraction
- ii) Exclude companies that are not members of the Round Table for Sustainable Palm Oil (RSPO)
- iii) Exclude sovereign bond securities issued by countries on the UN Sanctions list
- iv) Exclude companies with UNGC human rights violations (PAI 10) and
- v) Exclude companies rated "CCC" by MSCI.

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety. The ESG Integration process results in the assignment of an overall internal ESG risk and preparedness ranking of high, medium and low on each dimension.

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| | <p>2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:</p> <p>i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).</p> <p>ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.</p> <p>iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).</p> <p>3. Additional screens: the following ESG Exclusions are also applied</p> <p>i) Companies that are involved in thermal coal and oil sands extraction based on MSCI data</p> <p>ii) Companies that are not members of the Round Table for Sustainable Palm Oil (RSPO), based on MSCI data,</p> <p>iii) Sovereign bond securities issued by countries on the UN Sanctions list, based on MSCI data,</p> <p>iv) Companies with UNGC human rights violations based on MSCI data (PAI 10), and</p> <p>v) Companies rated “CCC” by MSCI.</p> |
| | <ul style="list-style-type: none"> ● <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <p><i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i></p> |
| | Not applicable. |

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

iii) PAI 10 : No investment in companies which is in violation of the United Nations Global Compact, the United Nations Guiding Principles for Human Rights and Business Rights as well as the labour principles and standards by International Labour Organisation

No



What investment strategy does this financial product follow?

The Sub-Fund seeks to maximize total returns over time through investing at least 70% of its assets in debt securities denominated in US dollars, Euro, as well as the various Asian currencies which are issued or guaranteed by Asian governments and quasi-governments, or corporates or supnationals that are aligned to Eastspring’s Responsible Investment Policy, including Green, Social and Sustainability (“GSS”) labelled bonds.

In determining a bond’s alignment with Eastspring’s Responsible Investment Policy and eligibility for inclusion, the following process will be conducted:

- Assessment and monitoring of ESG factors are an integral part of the Investment Manager’s bottom-up credit research process for both sovereign and corporate bond issuers. This process involves the assessment of environmental and social factors, such as (but not limited to) climate change, biodiversity, energy resources and management, air pollution, water scarcity and pollution, employee relations, human rights, community/stakeholder relations, health and safety, diversity, employment equality and consumer relations. Besides, governance issues are also assessed, taking into consideration factors such as, corporate transparency, audit practices and track record of management integrity.

- Based on internal research, a structured approach is adopted when conducting the analysis, with a focus on industry or region specific ESG risks that the issuer faces to determine the materiality of risks, how these ESG risks change over time, and how prepared the issuer is in dealing with these ESG issues. It also involves assessing the issuer’s ESG practices relative to peers. In addition, external ESG research inputs (e.g. MSCI, ESG rating from credit rating agencies, brokers’ research, company reports, media articles and direct information requests from issuers etc.) will be considered.

- Based on the abovementioned ESG analysis, an overall ESG risk ranking of high, medium or low, as well as an overall preparedness ranking of high, medium or low, is assigned to each issuer (“ESG Analysis”). Issuers that have high ESG risk and low preparedness in dealing with ESG risks are excluded from the investment universe, whilst issuers with remaining rankings will remain in the investment universe of the Sub-Fund.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

- Issuers assessed to be in violation of Eastspring’s Responsible Investment Policy are excluded from the Sub-Fund, such as companies involved in civilian and nuclear weapons, tobacco, thermal coal and oil sands extraction, palm oil companies that are not members of the Round Table for Sustainable Palm Oil, agricultural plantation owners involved in deforestation, UN-sanction countries (on the basis of their threat to peace, harmful policies or refusal to co-operate with international law), companies in material violation of human right standards and companies rated “CCC” by MSCI ESG Research.

- Where a bond is deemed to be in line with the Sub-Fund’s performance objective and risk parameters and is to be included in the Sub-Fund, the ESG Analysis is taken into consideration in position sizing; higher portfolio weight will be allocated to issuers with higher ESG ranking (and vice versa).

- In determining inclusion of GSS bonds into the Sub-Fund, the Investment Manager considers the integrity of the GSS bonds by assessing if they adopt the Green Bond Principles, Social Bond Principles as well as Sustainability Bond Guidelines established by the International Capital Market Association. Issuer of the GSS bonds is also subject to the ESG Analysis stated above.

The Sub-Fund may invest less than 30% of its net assets in debt securities rated below investment grade (i.e. rated below BBB- by Standard & Poor’s or comparable ratings by Moody’s Investors Services or Fitch Ratings) or if unrated, determined by the Investment Manager to be of comparable quality. For the purpose of this Sub-Fund, the term “unrated” debt securities are defined to mean that neither the debt security itself, nor its issuer has a credit rating by Standard & Poor’s, Moody’s Investors Services or Fitch Ratings.

The Sub-Fund may invest up to 100% of its net assets in unrated debt securities which the Investment Manager considers to be of comparable quality to a security rated investment grade (i.e. rated BBB- or above by Standard & Poor’s or comparable ratings by Moody’s Investors Services or Fitch Ratings).

The Sub-Fund may invest up to 100% of its net assets in sovereign debts, of which up to 35% of the Sub-Fund’s net assets may be invested in debt securities issued and/or guaranteed by a single sovereign issuer (including its government, public or local authority) and subject always to the limit that less than 30% of the Sub-Fund’s net assets will be issued and/or guaranteed by a single sovereign and rated below investment grade (such as Malaysia, Thailand, Philippines, Indonesia, India, etc.). Investments in debt securities issued and/or guaranteed by a single sovereign and rated below investment grade are based on the professional judgment of the Investment Manager whose reasons for investment may include a favourable / positive outlook on the sovereign issuer, potential for ratings upgrade and the expected changes in the value of such investments due to the ratings changes. Please note that abovementioned sovereigns are named for reference only as the ratings of sovereign issuers may change from time to time.

From time to time, the Sub-Fund may invest more than 30% of its net assets in any one single country, which may include Hong Kong, South Korea, Singapore, Malaysia, Thailand, Philippines, Indonesia, India, etc. The Sub-Fund may invest up to 20% of its net assets in the PRC by way of Chinese onshore debt securities, through the China interbank bond market direct access program (the “CIBM Direct Access Program”) and/or China Hong Kong Bond Connect, including up to 10% of its net assets in urban investment bonds which are debt instruments issued by local government financing vehicles (“LGFVs”). These LGFVs are separate legal entities established by local governments and / or their affiliates to raise financing for public welfare investment or infrastructure projects.

The Sub-Fund may invest less than 20% of its net assets in debt instruments with loss absorption features out of which up to 10% of its net assets may be invested in Contingent Convertible Bonds (“CoCos”) with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity


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| | features with pre-specified triggers)) and up to 10% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features. |
| | <ul style="list-style-type: none"> • What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product? |
| | <p>1) At least 85% of the Sub-Fund's net assets will be invested in debt securities that undergo the ESG Integration process. Out of the securities subject to the ESG Integration process, there will not be exposure to securities that are ranked as high ESG risk and low ESG preparedness using the fund's internal ESG rating system.</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? <p>The Investment Manager assesses good governance practices of the Sub-Fund's issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company's governance practices considers an issuer's market, sector and track record of operation.</p> |
| | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold a maximum of 15% in cash and cash equivalents. The Investment Manager shall ensure that 100% of debt securities holdings of the Sub-Fund or a minimum 85% of the assets of the Sub-Fund will be aligned with environmental or social characteristics. While the Investment Manager shall aim to allocate as much of the assets of the Sub-Fund as possible to sustainable investments, this is not always possible. At least 80% of the debt securities which are aligned with environment or social characteristics shall be invested in securities issued by debt issuers that have an internal ranking of Preparedness that commensurate with the ESG Risk ranking or better based on our internal ESG Analysis. This ensures that at least two-thirds of the Sub-fund's net assets are invested in ESG focused investments per our internal ESG Analysis.</p> |
| | <pre> graph LR Investments --> N1["#1 Aligned with E/S characteristics 85% - 100%"] Investments --> N2["#2 Other 0% - 15%"] N1 --> N1A["#1A Aligned with E/S characteristics 85% - 100%"] N1 --> N1B["#1B Other E/S characteristics 100%"] </pre> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> |

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

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| | <p>The category #1 Aligned with E/S characteristics covers:</p> <p>-The sub-category #1A Sustainable covers sustainable investments with environmental or social objectives.</p> <p>-The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.</p> <p>The Sub-Fund applies the definition of Article 2 (17) SFDR to define an investment as sustainable. For that purpose, the Investment Manager utilizes datasets provided by MSCI. Before considering any asset as sustainable investment, MSCI takes into account specific criteria such as MSCI's researches and conclusions on ESG controversies as well as the application of a threshold of 20% of the revenue deriving from activities that contribute to sustainable investment objectives (as measured by MSCI with specific activity testings). In addition, according to MSCI, positive contribution can also be demonstrated by companies having carbon emission targets approved by SBTi (Science Based Target indicators) provided that they also meet the other criteria of good governance and DNSH.</p> |
| | <ul style="list-style-type: none"> ● <i>How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?</i> |
| | <p>The Sub-Fund makes a use of derivative instruments but does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
| | <p><i>Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?</i>⁵³</p> |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |

⁵³ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

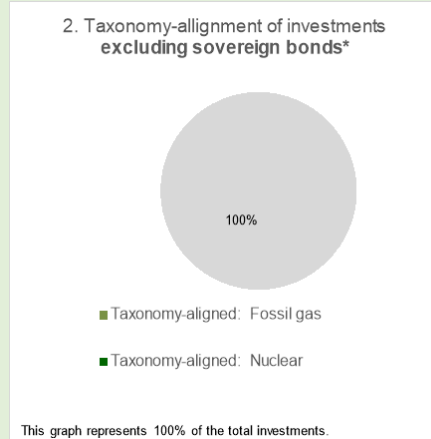
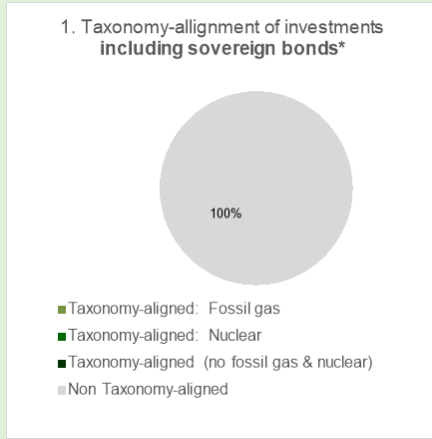
Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.



are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.

*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures



• **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?



Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

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|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> |
| | <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> <p>More information on Eastspring’s Responsible Investment Policy can be found at: https://www.eastspring.com/about-us/responsible-investment.</p> |

Product name:
EASTSPRING INVESTMENTS – ASIAN
BOND FUND

Legal entity identifier:
5493000SLUS5IG5VX635

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective:** ___ %

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It will make a minimum of **sustainable investments with a social objective:** _____ %

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



What environmental and/or social characteristics are promoted by this financial product?

Sustainability indicators measure how the sustainable objectives of this financial product are attained.

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrate ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?*

Not applicable.

- *How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?*

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

Not applicable.

How have the indicators for adverse impacts on sustainability factors been taken into account?

Not applicable.

How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?

Not applicable.

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund invests in a diversified portfolio consisting primarily of fixed income/debt securities issued by Asian entities or their subsidiaries. This Sub-Fund’s portfolio primarily consists of securities denominated in US dollars as well as the various Asian currencies and aims to maximize total returns through investing in fixed income / debt securities that are rated as well as unrated.

This Sub-Fund may invest up to 20% of its net assets in ABS, MBS, Contingent Convertible Bonds (“CoCos”), Distressed Securities and Defaulted Securities, with a limit of 10% for Distressed Securities and Defaulted Securities. The Sub-Fund may invest less than 30% of its net assets in debt instruments with loss absorption features out of which up to 10% of its net assets may be invested in CoCos with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.

In addition, this Sub-Fund may invest up to 10% of its net assets in synthetic fixed income instruments (including credit-linked notes). It may also hold up to 10% of its net assets in equity

securities to the extent that such securities result from the conversion or exchange of a preferred stock or debt obligation.

This Sub-Fund may make investments up to 10% of its net assets in Chinese onshore debt securities through the China interbank bond market direct access program (the “CIBM Direct Access Program”) and/or China Hong Kong Bond Connect (“Bond Connect”).

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

- **What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?**

1) At least 80% of the Sub-Fund’s net assets will be invested in debt securities that undergo the ESG Integration process.

2) No exposure to companies that fit the ESG Exclusions criteria.

- **What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?**

Not applicable.

- **What is the policy to assess good governance practices of the investee companies?**

The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

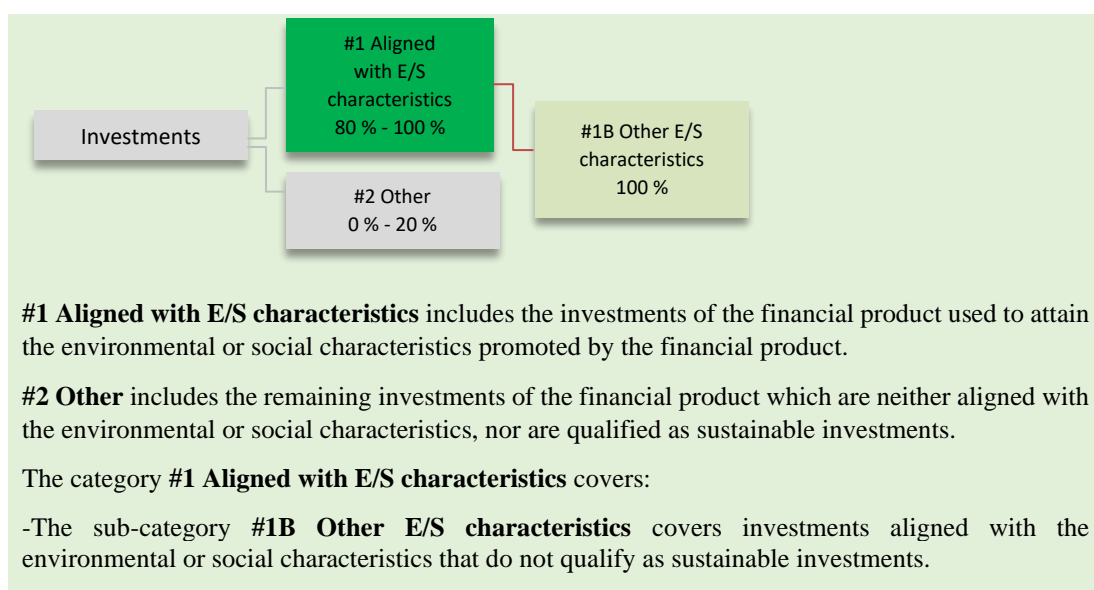


What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of debt securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective. **Transitional activities** are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

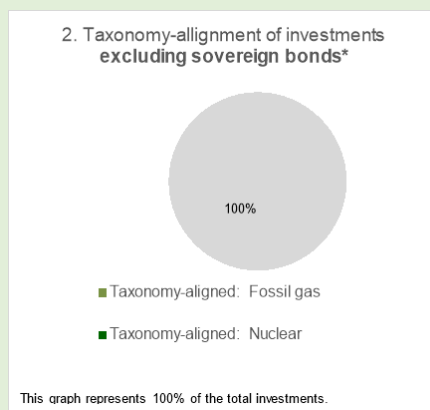
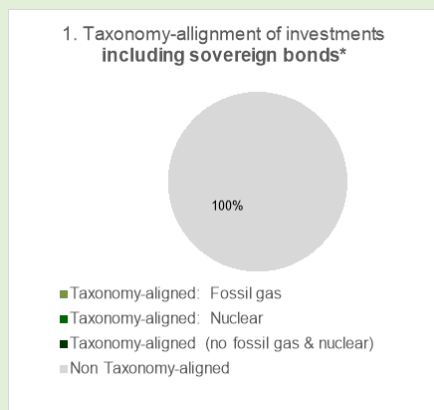
- **Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?**⁵⁴

Yes:

In fossil gas In nuclear energy

No

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.



**For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures*

- **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.

⁵⁴ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.



are environmentally sustainable investments **that do not take into account the criteria** for environmentally sustainable economic activities under the EU Taxonomy.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?

Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.

Product name:
 EASTSPRING INVESTMENTS – ASIAN
 HIGH YIELD BOND FUND

Legal entity identifier:
 549300N03E23HGYSY7R08

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective**: ___ %

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It will make a minimum of **sustainable investments with a social objective**: _____ %

It promotes E/S characteristics, but **will not make any sustainable investments**

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrate ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).


Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|--|
| | <ul style="list-style-type: none"> ● <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.



Any other sustainable investments must also not significantly harm any environmental or social objectives.

| | |
|---|---|
|  | <p>Does this financial product consider principal adverse impacts on sustainability factors?</p> |
| | <p><input checked="" type="checkbox"/> Yes</p> |
| | <p>This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p>ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
| | <p><input type="checkbox"/> No</p> |

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

| | |
|---|--|
|  | <p>What investment strategy does this financial product follow?</p> <p>This Sub-Fund invests in a diversified portfolio consisting primarily of high yield fixed income / debt securities issued by Asian entities or their subsidiaries. This Sub-Fund’s portfolio primarily consists of securities denominated in US dollars as well as the various Asian currencies and aims to maximize total returns through investing primarily in fixed income / debt securities rated below BBB-.</p> <p>This Sub-Fund may invest up to 20% of its net assets in ABS, MBS, Contingent Convertible Bonds (“CoCos”), Distressed Securities and Defaulted Securities, with a limit of 10% for Distressed Securities and Defaulted Securities. The Sub-Fund may invest less than 30% of its net assets in debt instruments with loss absorption features out of which up to 10% of its net assets may be invested in CoCos with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.</p> <p>In addition, this Sub-Fund may invest up to 10% of its net assets in synthetic fixed income instruments (including credit-linked notes). It may also hold up to 10% of its net assets in equity securities to the extent that such securities result from the conversion or exchange of a preferred stock or debt obligation.</p> <p>This Sub-Fund may make investments up to 10% of its net assets in Chinese onshore debt securities through the China interbank bond market direct access program (the “CIBM Direct Access Program”) and/or China Hong Kong Bond Connect (“Bond Connect”).</p> |
| | <ul style="list-style-type: none"> • <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <ol style="list-style-type: none"> 1) At least 80% of the Sub-Fund’s net assets will be invested in debt securities that undergo the ESG Integration process. 2) No exposure to companies that fit the ESG Exclusions criteria. |
| | <ul style="list-style-type: none"> • <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>What is the policy to assess good governance practices of the investee companies?</i> <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of debt securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |

Taxonomy-aligned activities are expressed as a share of:

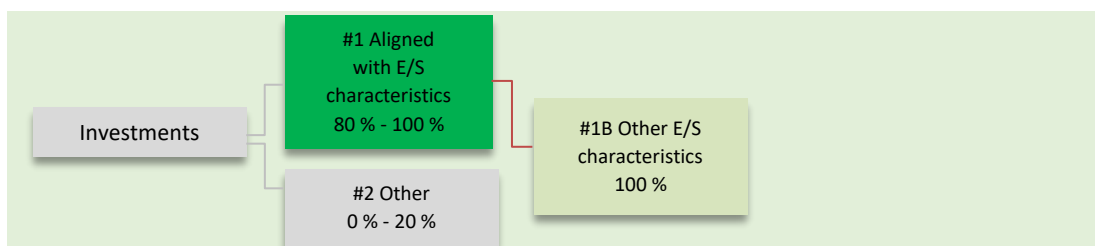
- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:




-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

| | |
|--|--|
| | <ul style="list-style-type: none"> <i>How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?</i> |
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |
| | To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy? |
| | Not applicable. |

| | |
|--|---|
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁵⁵ |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | <p><i>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</i></p> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p style="text-align: center;">1. Taxonomy-alignment of investments including sovereign bonds*</p> <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p style="text-align: center;">2. Taxonomy-alignment of investments excluding sovereign bonds*</p> <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p style="font-size: small; text-align: center;">This graph represents 100% of the total investments.</p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |
| | What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy? |
| | Not applicable. |
| | What is the minimum share of socially sustainable investments? |
| | Not applicable. |

⁵⁵ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|---|
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> |
| | <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> |

Product name:
 EASTSPRING INVESTMENTS – ASIAN
 INVESTMENT GRADE BOND FUND

Legal entity identifier:
 549300ANHVKCZ42E5591

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

No

It will make a minimum of **sustainable investments with an environmental objective**: ___ %

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It will make a minimum of **sustainable investments with a social objective**: _____ %

It promotes E/S characteristics, but **will not make any sustainable investments**

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrate ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

• ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).



Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> ● What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How have the indicators for adverse impacts on sustainability factors been taken into account? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights? |
| | Not applicable. |


The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

| | |
|---|--|
|  | <p>Does this financial product consider principal adverse impacts on sustainability factors?</p> |
| | <p><input checked="" type="checkbox"/> Yes</p> <p>This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p>ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
| | <p><input type="checkbox"/> No</p> |
|  | <p>What investment strategy does this financial product follow?</p> |
| | <p>This Sub-Fund invests in a diversified portfolio consisting primarily of investment grade fixed income/debt securities issued by Asian entities or their subsidiaries. This Sub-Fund’s portfolio primarily consists of securities denominated in US dollars as well as the various Asian currencies and aims to maximize total returns through investing in fixed income / debt securities.</p> |

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

| | |
|---|--|
| | <p>This Sub-Fund may invest up to 20% in aggregate of its net assets in ABS, MBS, Contingent Convertible Bonds (“CoCos”), Distressed Securities and Defaulted Securities, with a limit of 10% for Distressed Securities and Defaulted Securities combined. In addition, this Sub-Fund may invest up to 10% of its net assets in synthetic fixed income instruments (including credit-linked notes). It may also hold up to 10% of its net assets in equity securities to the extent that such securities result from the conversion or exchange of a preferred stock or debt obligation.</p> <p>This Sub-Fund may make investments up to 10% of its net assets in Chinese onshore debt securities through the China interbank bond market direct access program (the “CIBM Direct Access Program”) and/or China Hong Kong Bond Connect (“Bond Connect”).</p> |
| | <ul style="list-style-type: none"> ● <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <ol style="list-style-type: none"> 1) At least 80% of the Sub-Fund’s net assets will be invested in debt securities that undergo the ESG Integration process. 2) No exposure to companies that fit the ESG Exclusions criteria. |
| | <ul style="list-style-type: none"> ● <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the policy to assess good governance practices of the investee companies?</i> |
| | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
| | <p>What is the asset allocation planned for this financial product?</p> |
|  <p>Asset allocation describes the share of investments in specific assets.</p> | <p>The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of debt securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

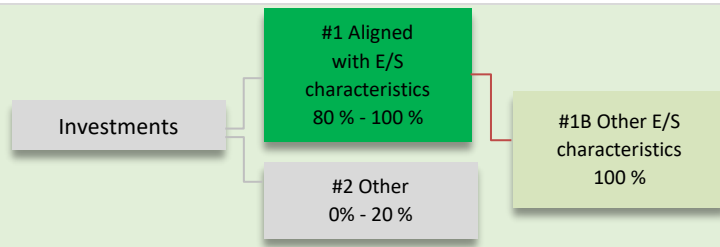
Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.




Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

| | |
|--|---|
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁵⁶ |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | <p><i>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</i></p> <div style="display: flex; justify-content: space-around;"> <div style="text-align: center;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p> <p>100%</p> <ul style="list-style-type: none"> Taxonomy-aligned: Fossil gas Taxonomy-aligned: Nuclear Taxonomy-aligned (no fossil gas & nuclear) Non Taxonomy-aligned </div> <div style="text-align: center;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p> <p>100%</p> <ul style="list-style-type: none"> Taxonomy-aligned: Fossil gas Taxonomy-aligned: Nuclear <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |
| <p>are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.</p> | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | Not applicable. |
| | <p>What is the minimum share of socially sustainable investments?</p> |

⁵⁶ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|---|
| | Not applicable. |
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> |

Product name:
 EASTSPRING INVESTMENTS – ASIAN LOCAL
 BOND FUND

Legal entity identifier:
 549300IYMZOMGU289Y25

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|--|
| <p>●● <input type="checkbox"/> Yes</p> | <p>●● <input checked="" type="checkbox"/> No</p> |
| <p><input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ____ %</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p><input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ %</p> | <p><input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ____% of sustainable investments</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with a social objective</p> <p><input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments</p> |



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrate ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- ***What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?***

Not applicable.


Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> ● How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How have the indicators for adverse impacts on sustainability factors been taken into account? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights? |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.


| | |
|---|--|
|  | <p>Does this financial product consider principal adverse impacts on sustainability factors?</p> |
| | <p><input checked="" type="checkbox"/> Yes</p> <p>This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p>ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
| | <p><input type="checkbox"/> No</p> |



The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

| | |
|---|---|
| | <p>What investment strategy does this financial product follow?</p> |
| | <p>This Sub-Fund invests in a diversified portfolio consisting primarily of fixed income / debt securities issued by Asian entities or their subsidiaries. This Sub-Fund’s portfolio primarily consists of securities denominated in the various Asian currencies and aims to maximize total returns through investing in fixed income / debt securities that are rated as well as unrated.</p> <p>This Sub-Fund may invest up to 20% of its net assets in ABS, MBS, Contingent Convertible Bonds (“CoCos”), Distressed Securities and Defaulted Securities, with a limit of 10% for Distressed Securities and Defaulted Securities. The Sub-Fund may invest less than 30% of its net assets in debt instruments with loss absorption features out of which up to 10% of its net assets may be invested in CoCos with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.</p> <p>In addition, this Sub-Fund may invest up to 10% of its net assets in synthetic fixed income instruments (including credit-linked notes). It may also hold up to 10% of its net assets in equity securities to the extent that such securities result from the conversion or exchange of a preferred stock or debt obligation.</p> <p>This Sub-Fund may make investments up to 10% of its net assets in Chinese onshore debt securities through the China interbank bond market direct access program (the “CIBM Direct Access Program”) and/or China Hong Kong Bond Connect (“Bond Connect”).</p> |
| | <ul style="list-style-type: none"> • <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <p>1) At least 80% of the Sub-Fund’s net assets will be invested in debt securities that undergo the ESG Integration process.</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> • <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>What is the policy to assess good governance practices of the investee companies?</i> <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of debt securities holdings</p> |

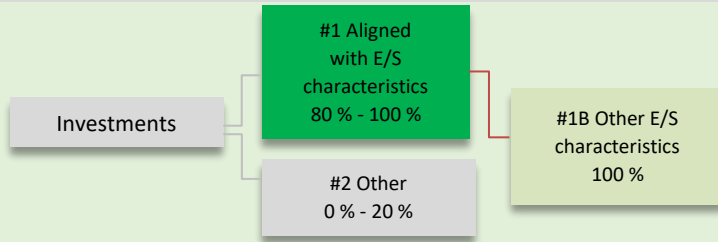
of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.

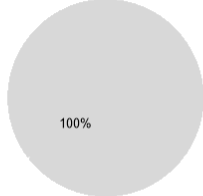
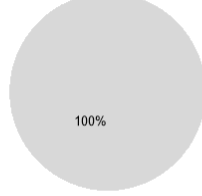

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

| | |
|---|--|
| | <p>Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁵⁷</p> <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| <p><i>Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.</i></p> <p><i>Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.</i></p> | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="507 779 938 1220" style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p style="text-align: center;">1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="997 779 1428 1220" style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p style="text-align: center;">2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p style="font-size: small; text-align: center;">This graph represents 100% of the total investments.</p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> ● What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
| <p> are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.</p> | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> <p>Not applicable.</p> |





⁵⁷ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

The EU Taxonomy is a classification system laid down in

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|--|
|  | <p>What is the minimum share of socially sustainable investments?</p> |
|  | <p>Not applicable.</p> <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> |

Product name:
 EASTSPRING INVESTMENTS – CHINA BOND
 FUND

Legal entity identifier:
 549300H7BXWY84BG9B41

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|---|
| <p>●● <input type="checkbox"/> Yes</p> | <p>●● <input checked="" type="checkbox"/> No</p> |
| <p><input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ___ %</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p><input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ %</p> | <p><input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with a social objective</p> <p><input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments</p> |

The EU Taxonomy is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrate ESG issues with material impact on a company's valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company's readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company's exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment's valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management's willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons ("NPT") as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology ("GICS"): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?*



Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.


The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

| | |
|---|---|
|  | Does this financial product consider principal adverse impacts on sustainability factors? |
| <input checked="" type="checkbox"/> | Yes |
| | <p>This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p>ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
| <input type="checkbox"/> | No |
|  | What investment strategy does this financial product follow? |
| | The Sub-Fund seeks to maximise total return by investing at least 70% of its net assets in fixed income / debt securities denominated in Renminbi (offshore Renminbi (CNH) or onshore Renminbi (CNY) ⁵⁸). The Sub-Fund may also invest in non-Renminbi denominated securities. |

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

⁵⁸ Although CNH and CNY are the same currency, they are traded or offered on separate markets. Therefore, they are traded at different rates and their movements may not always be in the same directions, which may expose the Sub-Fund to foreign exchange/currency risk.

| | |
|---|---|
| | <p>Investments in Chinese onshore debt securities will be through the China interbank bond market direct access program (the “CIBM Direct Access Program”), QFII/RQFII and/or China Hong Kong Bond Connect (“Bond Connect”). The Sub-Fund may invest less than 30% of its net assets in fixed income / debt securities rated below investment grade (i.e. rated below BBB- by Standard & Poor’s or comparable ratings by Moody’s Investors Services or Fitch Ratings) or if unrated, determined by the Investment Manager to be of comparable quality. For the purpose of this Sub-Fund, the term “unrated” fixed income / debt securities are defined to mean that neither the fixed income / debt security itself, nor its issuer has a credit rating by Standard & Poor, Moody’s Investors Services or Fitch Ratings.</p> <p>This Sub-Fund may invest up to 20% of its net assets in ABS, MBS, Contingent Convertible Bonds (“CoCos”), Distressed Securities and Defaulted Securities, with a limit of 10% for Distressed Securities and Defaulted Securities combined. The Sub-Fund may invest less than 30% of its net assets in debt instruments with loss absorption features out of which up to 10% of its net assets may be invested in CoCos with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features. In addition, this Sub-Fund may invest up to 10% of its net assets in synthetic fixed income instruments (including credit-linked notes). It may also hold up to 10% of its net assets in equity securities to the extent that such securities result from the conversion or exchange of a preferred stock or debt obligation.</p> <p>The maximum permissible allocation to debt securities issued by the government of China shall be 100% of the Sub-Fund’s net assets.</p> |
| | <ul style="list-style-type: none"> ● <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <p>1) At least 80% of the Sub-Fund’s net assets will be invested in debt securities that undergo the ESG Integration process.</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the policy to assess good governance practices of the investee companies?</i> <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of debt securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:


- **turnover** reflecting the share of revenue from green activities of investee companies

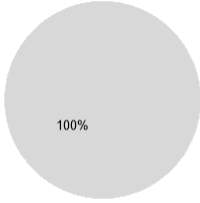
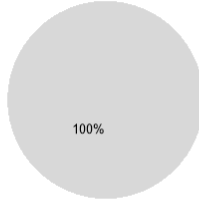



- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.



| | |
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| | |
| | <div data-bbox="432 253 1157 495" data-label="Diagram"> <pre> graph LR A[Investments] --> B[#1 Aligned with E/S characteristics 80% - 100%] A --> C[#2 Other 0% - 20%] B --> D[#1B Other E/S characteristics 100%] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <p>-The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments.</p> |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |
|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |

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| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁵⁹ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="507 663 938 1104" style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p style="text-align: center;">1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="1013 663 1444 1104" style="border: 1px solid #ccc; padding: 10px; width: 45%;"> <p style="text-align: center;">2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p style="font-size: small; text-align: center;">This graph represents 100% of the total investments.</p> </div> </div> <p style="text-align: center; font-style: italic;">*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of socially sustainable investments?</p> |
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> |
| | <p>The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they</p> |

are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

⁵⁹ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

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| | are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion. |
|  | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | Where can I find more product specific information online? |
| | More product-specific information can be found on the website: |
| | More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability . The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy. |

Product name:
 EASTSPRING INVESTMENTS – CICC
 CHINA USD SELECT BOND FUND

Legal entity identifier:
 549300VQGZ66O0ZHF163

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|--|
| <p><input checked="" type="radio"/> <input type="radio"/> Yes</p> | <p><input type="radio"/> <input checked="" type="radio"/> No</p> |
| <p><input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ___ %</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p><input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ %</p> | <p><input checked="" type="checkbox"/> <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with a social objective</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments</p> |

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrates ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data. The ESG Integration process results in the assignment of an overall internal ESG risk and preparedness ranking. Issuers assessed to have high ESG risk and low ESG preparedness are excluded from the investment universe of this Sub-Fund.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

3. Additional screens

- i) Exclude companies that are involved in thermal coal and oil sands extraction
- ii) Exclude companies that are not members of the Round Table for Sustainable Palm Oil (RSPO)
- iii) Exclude sovereign bond securities issued by countries on the UN Sanctions list
- iv) Exclude companies with UNGC human rights violations (PAI 10) and
- v) Exclude companies rated “CCC” by MSCI.

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety. The ESG Integration process results in the assignment of an overall internal ESG risk and preparedness ranking of high, medium and low on each dimension.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

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| | <p>2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:</p> <p>i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).</p> <p>ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.</p> <p>iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).</p> <p>3. Additional screens: the following ESG Exclusions are also applied</p> <p>i) Companies that are involved in thermal coal and oil sands extraction based on MSCI data</p> <p>ii) Companies that are not members of the Round Table for Sustainable Palm Oil (RSPO), based on MSCI data,</p> <p>iii) Sovereign bond securities issued by countries on the UN Sanctions list, based on MSCI data,</p> <p>iv) Companies with UNGC human rights violations based on MSCI data (PAI 10), and</p> <p>v) Companies rated “CCC” by MSCI.</p> |
| | <ul style="list-style-type: none"> ● <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | <p>Not applicable.</p> |
| | <p><i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i></p> |
| | <p>Not applicable.</p> |
| | <p><i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i></p> |
| | <p>Not applicable.</p> |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

- i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons
- ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal
- iii) PAI 10 : No investment in companies which is in violation of the United Nations Global Compact, the United Nations Guiding Principles for Human Rights and Business Rights as well as the labour principles and standards by International Labour Organisation

No



What investment strategy does this financial product follow?

The Sub-Fund aims to achieve positive absolute returns in USD terms through investing at least 70% of its net assets in debt securities denominated in US dollars which are issued by Chinese government, quasi-government or corporates that are aligned to Eastspring’s Responsible Investment Policy, including Green, Social and, Sustainability (“GSS”) labelled bonds.

The Sub-Fund may invest up to 30% of its net assets in debt securities denominated in USD dollars which are issued by other Asian (exclude China) entities and their subsidiaries.

In addition, the Sub-Fund may invest up to 50% of its net assets in debt securities rated below investment grade (i.e. rated below BBB- by Standard & Poor’s or comparable ratings by Moody’s Investors Services or Fitch Ratings) or if unrated, determined by the Investment Manager to be of comparable quality. For the purpose of this Sub-Fund, the term “unrated” debt securities are defined to mean that neither the debt security itself, nor its issuer has a credit rating by Standard & Poor, Moody’s Investors Services or Fitch Ratings.

This Sub-Fund may invest up to 20% of its net assets in ABS, MBS, Contingent Convertible Bonds (“CoCos”), Distressed Securities and Defaulted Securities, with a limit of 10% for Distressed Securities and Defaulted Securities combined. The Sub-Fund may also invest up to 10% of its net assets in convertible bonds.

The Sub-Fund may hold up to 20% of its net assets in cash or cash equivalent securities.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

| | |
|--|--|
| | The maximum permissible allocation to debt securities issued by the government of China shall be 100% of the Sub-Fund's net assets. |
| | <ul style="list-style-type: none"> ● <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <p>1) At least 80% of the Sub-Fund's net assets will be invested in debt securities that undergo the ESG Integration process. Out of the securities subject to the ESG Integration process, there will not be exposure to securities that are ranked as high ESG risk and low ESG preparedness using the fund's internal ESG rating system.</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>What is the policy to assess good governance practices of the investee companies?</i> |
| | The Investment Manager assesses good governance practices of the Sub-Fund's issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company's governance practices considers an issuer's market, sector and track record of operation. |

Taxonomy- aligned activities are expressed as a share of:

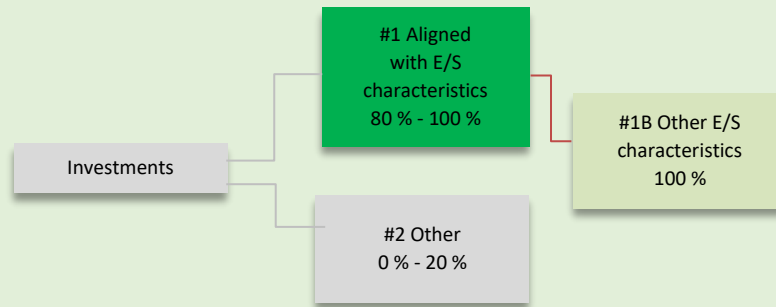
- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



What is the asset allocation planned for this financial product?



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1A Sustainable** covers sustainable investments with environmental or social objectives.

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments

The Sub-Fund applies the definition of Article 2 (17) SFDR to define an investment as sustainable. For that purpose, the Investment Manager utilizes datasets provided by MSCI. Before considering any asset as sustainable investment, MSCI takes into account specific criteria such as MSCI's researches and conclusions on ESG controversies as well as the application of a threshold of 20% of the revenue deriving from activities that contribute to sustainable investment objectives (as measured by MSCI with specific activity testings). In addition, according to MSCI, positive contribution can also be demonstrated by companies having carbon emission targets approved by SBTi (Science Based Target indicators) provided that they also meet the other criteria of good governance and DNSH.

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund makes a use of derivative instruments but does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

| | |
|---|--|
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁶⁰ |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 10px; width: 45%;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p> <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div style="border: 1px solid black; padding: 10px; width: 45%;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p> <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | Not applicable. |
| <p>Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.</p> <p>Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.</p> | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | Not applicable. |
| | <p>What is the minimum share of socially sustainable investments?</p> |
| | Not applicable. |

⁶⁰ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.



Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?

Not applicable.

- *How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?*

Not applicable.

- *How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?*

Not applicable.

- *How does the designated index differ from a relevant broad market index?*

Not applicable.

- *Where can the methodology used for the calculation of the designated index be found?*

Not applicable.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website: <https://www.eastspring.com/lu/sustainability>. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.

More information on Eastspring’s Responsible Investment Policy can be found at: <https://www.eastspring.com/about-us/responsible-investment>.



are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic

Product name:
 EASTSPRING INVESTMENTS – GLOBAL
 EMERGING MARKETS BOND FUND

Legal entity identifier:
 5493004I78275XWDWP82

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|---|
| <p><input checked="" type="radio"/> <input type="checkbox"/> Yes</p> | <p><input type="radio"/> <input checked="" type="checkbox"/> No</p> |
| <p><input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ___ %</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p><input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ %</p> | <p><input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with a social objective</p> <p><input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments</p> |

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Sustainability indicators measure how the sustainable objectives of this financial product are attained.



What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrate ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).


Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> ● What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How have the indicators for adverse impacts on sustainability factors been taken into account? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights? |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

| | |
|---|--|
|  | <p>Does this financial product consider principal adverse impacts on sustainability factors?</p> |
| | <p><input checked="" type="checkbox"/> Yes</p> <p>This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p>ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
| | <p><input type="checkbox"/> No</p> |



The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

What investment strategy does this financial product follow?

This Sub-Fund aims to maximize total returns by investing primarily in fixed income / debt securities issued in the Emerging Markets Worldwide that are rated or not rated.

This Sub-Fund may invest up to 20% of its net assets in ABS, MBS, Contingent Convertible Bonds (“CoCos”), Distressed Securities and Defaulted Securities, with a limit of 10% for Distressed Securities and Defaulted Securities combined. In addition, this Sub-Fund may invest up to 10% of its net assets in synthetic fixed income instruments (including credit-linked notes). It may also hold up to 10% of its net assets in equity securities to the extent that such securities result from the conversion or exchange of a preferred stock or debt obligation.

This Sub-Fund may make investments up to 10% of its net assets in Chinese onshore debt securities through QFII/RQFII and/or China Hong Kong Bond Connect (“Bond Connect”).

- *What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?*

1) At least 80% of the Sub-Fund’s net assets will be invested in debt securities that undergo the ESG Integration process.

2) No exposure to companies that fit the ESG Exclusions criteria.

- *What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?*

Not applicable.

- *What is the policy to assess good governance practices of the investee companies?*

The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.

Good governance practices include sound management structures, employee relations,



What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of debt securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.

Asset allocation describes the share of investments in specific assets.

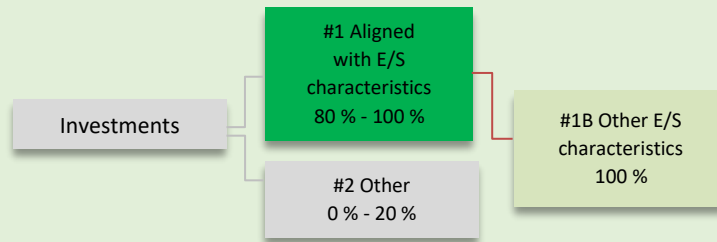
Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure**

(CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.



#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.

#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.

The category **#1 Aligned with E/S characteristics** covers:

-The sub-category **#1B Other E/S characteristics** covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments

- **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.

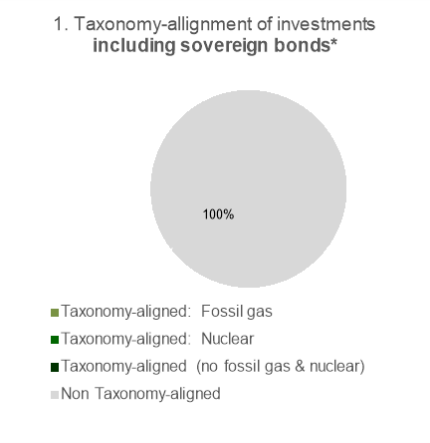
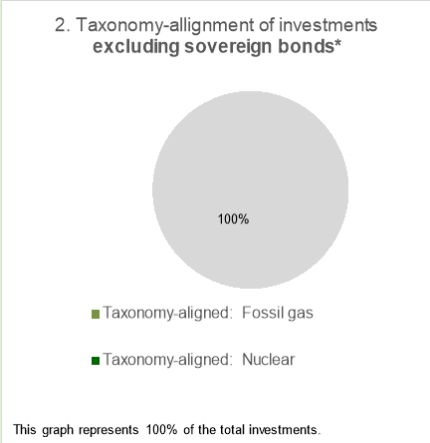



To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.





Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

| | |
|--|---|
| | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁶¹ |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | <p><i>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</i></p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="507 719 938 1160"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  </div> <div data-bbox="1011 719 1442 1160"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
|  <p>are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.</p> | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |

⁶¹ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|---|
|  | What is the minimum share of socially sustainable investments? |
| | Not applicable. |
|  | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
| | The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion. |
|  | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |
|  | Where can I find more product specific information online? |
| | <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> |

Product name:

EASTSPRING INVESTMENTS – GLOBAL
EMERGING MARKETS TOTAL RETURN
BOND FUND

Legal entity identifier:

5493000C5LYHY8YE0T86

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

Environmental and/or social characteristics

Does this financial product have a sustainable investment objective?

Yes

It will make a minimum of **sustainable investments with an environmental objective:** ___ %

in economic activities that qualify as environmentally sustainable under the EU Taxonomy

in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

It will make a minimum of **sustainable investments with a social objective:** _____ %

No

It **promotes Environmental/Social (E/S) characteristics** and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments

with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy

with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy

with a social objective

It promotes E/S characteristics, but **will not make any sustainable investments**

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. ESG Integration - Non-cash and non-cash equivalent securities shall undergo a process of ESG Integration. The Sub-Fund seeks to identify, assess, and monitor material ESG risks and opportunities. The Investment Manager integrate ESG issues with material impact on a company’s valuation, credit worthiness, and license to operate within the investment analysis, investment decision, and active monitoring of holdings. This process also considers the impact of a company’s readiness to position itself positively to exploit future ESG opportunities in its specific market and sector. Through the ESG Integration process, the Investment Manager develops an informed and holistic assessment of a company’s exposure to and management of ESG risks and opportunities. This includes incorporation of third party ESG data, materiality metrics, as well as company and market specific data.

2. ESG Exclusions are applied on

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

- *What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?*

1. Proportion of Sub-Fund stated as aligned with E/S characteristics - The Sub-Fund adopts an ESG Integration process which focuses on materiality. The issues that are the subject of focus at the analysis phase are those that are assessed to have a material impact on an investment’s valuation, credit worthiness, or license to operate. The relevance and magnitude of impact pertaining to each material ESG issue across a given investment horizon may be considered in the context of the market, sector, level of ownership, and track record of operation. Consideration of the potential impact of the issue should incorporate management’s willingness and ability to mitigate material ESG risks and exploit profitable ESG opportunities on a forward-looking basis. Assessments are monitored and periodically reviewed. ESG issues considered include, but are not limited to, governance indicators such as board quality, environmental indicators such as Greenhouse Gas Emissions and energy efficiency and social indicators such as occupational health and safety.

2. ESG Exclusions - the Sub-Fund will also apply the following ESG Exclusions and have no exposure to:

- i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons (PAI 14).
- ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal (PAI 4).

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

| | |
|--|---|
| | <ul style="list-style-type: none"> ● What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives? |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective? |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

This financial product considers principal adverse impacts on sustainability factors through the exclusion requirements:

- i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons
- ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal


No



What investment strategy does this financial product follow?

The Sub-Fund aims to maximize total returns by investing primarily in debt securities issued by emerging market sovereign entities or non-government entities in Emerging Markets Worldwide. Such securities are expected to be primarily denominated in emerging market currencies as well as in any OECD currency. Exposure to each of the assets will be mainly through debt securities (including green

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

| | |
|---|---|
| | <p>bonds), forwards, swaps, options and futures, each of which may be traded through recognised exchanges or via the over-the-counter markets.</p> <p>The securities held by the Sub-Fund may be of any credit rating or unrated. The maximum allocation to any single sovereign issuer shall be 35% of the Sub-Fund’s net assets.</p> <p>This Sub-Fund may invest up to 20% of its net assets in ABS, MBS, Contingent Convertible Bonds (“CoCos”), Distressed Securities and Defaulted Securities, with a limit of 10% for Distressed Securities and Defaulted Securities combined. In addition, this Sub-Fund may invest up to 10% of its net assets in synthetic fixed income instruments (including credit-linked notes). It may also hold up to 10% of its net assets in equity securities to the extent that such securities result from the conversion or exchange of a preferred stock or debt obligation.</p> <p>The Sub-Fund may make investments up to 35% of its net assets in Chinese onshore debt securities through the China interbank bond market direct access program (the “CIBM Direct Access Program”) and/or China Hong Kong Bond Connect (“Bond Connect”).</p> |
| | <ul style="list-style-type: none"> ● <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <p>1) At least 80% of the Sub-Fund’s net assets will be invested in debt securities that undergo the ESG Integration process.</p> <p>2) No exposure to companies that fit the ESG Exclusions criteria.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the policy to assess good governance practices of the investee companies?</i> |
| | <p>The Investment Manager assesses good governance practices of the Sub-Fund’s issuers through the ESG Integration process. The ESG metrics that are incorporated within the ESG Integration process include key governance metrics corresponding to sub-issues such as board quality and business ethics and risk management. Evaluation of a company’s governance practices considers an issuer’s market, sector and track record of operation.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold a maximum of 20% in cash. The Investment Manager shall ensure that 100% of debt securities holdings of the Sub-Fund or a minimum 80% of the assets of the Sub-Fund will be aligned with environmental or social characteristics.</p> |

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

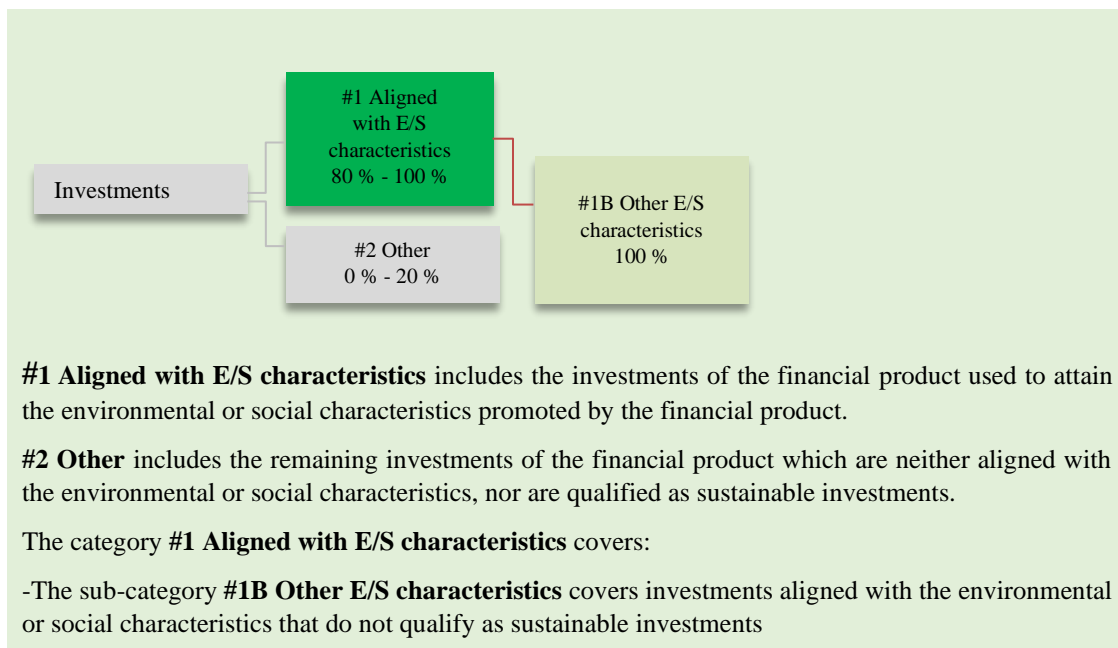
Asset allocation describes the share of investments in specific assets.

- **Taxonomy**-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies

- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.

- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.




| | |
|--|--|
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |
| | To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁶² |
| | <input type="checkbox"/> Yes: <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | |

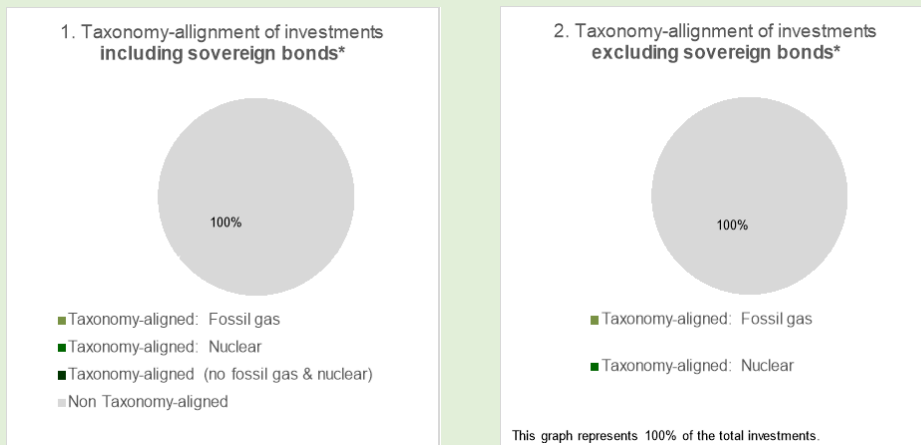
⁶² Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.*



**For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures*

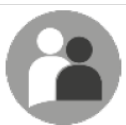
● **What is the minimum share of investments in transitional and enabling activities?**

Not applicable.



What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?

Not applicable.



What is the minimum share of socially sustainable investments?



Not applicable.



What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?

The investments under “Other” includes but is not limited to direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. As such, they are not likely to contribute to environmental or social impacts, hence safeguards will not be considered for their inclusion.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|--|--|
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability. The website includes further information on the investment strategy and the Investment Manager’s Responsible Investment Policy.</p> |

Product name:
 EASTSPRING INVESTMENTS – US
 CORPORATE BOND FUND

Legal entity identifier:
 549300RUXOVU6ZHV3069

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

| Does this financial product have a sustainable investment objective? | |
|--|---|
| <p>●● <input type="checkbox"/> Yes</p> | <p>●● <input checked="" type="checkbox"/> No</p> |
| <p><input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ___ %</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p><input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ %</p> | <p><input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy</p> <p style="margin-left: 20px;"><input type="checkbox"/> with a social objective</p> <p><input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments</p> |



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. PPMA ESG Integration: The Sub-Fund’s environmental, social and governance (ESG) Integration approach focuses on the impact material ESG factors may have on the investment value of the Sub-Fund’s debt securities (excludes instruments such as direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds). Consistent with our fiduciary obligations, we seek to serve the best interest of our clients and put our clients’ interests before our own. We believe that incorporating ESG factors into our investment analysis is consistent with that obligation, as we seek to consider all factors that can have an impact on our ability to deliver investment results for our clients.

As part of the credit research process, credit analysts make a judgement regarding the materiality of each ESG issue. Their assessments of material ESG issues or risks are summarized for investment committees or discussed in sector and company update meetings with portfolio managers. In addition to the information provided by issuers, the credit research team may reference external research from rating agencies, sell side brokers and third-party data providers. Where ESG ratings are not readily available, PPMA will rely on the existing investment process and due diligence to reflect its internal assessment of ESG, whenever possible. ESG issues considered include a wide range of topics, including consumer and product safety, environment and energy, labor standards and human rights, workplace and board diversity, and corporate political issues.

While a variety of factors go into the investment analysis, the overall principle guiding all investment decisions focuses on value.

- 2. ESG exclusions applied to the Sub-Fund include:
 - i) Companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as defined by Institutional Shareholder Services (“ISS”) (PAI 14).
 - ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
 - iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal as defined by Morgan Stanley Capital International (“MSCI”) (PAI 4).

● ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics – The Sub-Fund adopts an ESG Integration approach that focuses on identifying ESG issues that have a material impact on an investment’s valuation and credit worthiness.

As part of the credit research process, analysts make a judgement regarding the materiality of each ESG issue. Their assessments of material ESG issues or risks are summarized for investment committees, or discussed in sector and company update meetings with portfolio managers. In addition to information provided by issuers, the credit research team may reference external research from rating agencies, sell side brokers and third-party data providers. Where ESG ratings are not readily available, PPMA will rely on the existing investment process and due diligence to reflect its internal assessment of ESG, whenever possible. While the Sub-Fund does not have any specific ESG-focused objectives in place, portfolio managers access an Aladdin® ESG dashboard to review issuer, industry and portfolio level reporting, which is driven by a robust third-party ESG issuer-level dataset that covers an extensive universe of public corporate bonds. MSCI ESG ratings and scores are also integrated into both PPMA’s proprietary Credit Research Portal and Aladdin® to

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| | <p>support our broader portfolio monitoring efforts. These tools allow portfolio managers to evaluate the ESG characteristics of their portfolios both separately and relative to a benchmark.</p> <p>2. ESG Exclusions – the following exclusions are applied to the Sub-Fund:</p> <ul style="list-style-type: none"> i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as defined by Institutional Shareholder Services (“ISS”) (PAI 14) ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products. iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal as defined by Morgan Stanley Capital International (“MSCI”) (PAI 4). |
| | <ul style="list-style-type: none"> ● <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

The Sub-Fund considers principal adverse impacts on sustainability factors through the exclusion requirements:

- i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons
- ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund invests in a diversified portfolio consisting primarily of fixed income/debt securities denominated in US dollars, issued in the US market by corporations (including “Yankee” and “Global” bonds) rated BBB- and above by Standard & Poor’s (or comparable rating by Moody’s Investor Service or Fitch), or if unrated, its issuer meets the same rating criteria with a guarantor explicitly guaranteeing the payments on the bond. This includes positions in various fixed income/debt sectors such as US corporate (including redeemable preference shares), CMBS, MBS and ABS. Yankee bonds mean debt of foreign issuers issued in the US domestic market. Global bonds mean debt issued simultaneously in the eurobond and US domestic bond markets. This Sub-Fund may also invest no more than 40% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in Contingent Convertible Bonds (“CoCos”) with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 40% of its net assets in aggregate in external LAC debt instruments, TLAC debt instruments, non-preferred senior debt and other subordinated debts with loss absorption features.

The Sub-Fund may continue to hold securities that are downgraded below the investment grade after purchase but may not make additional purchases of such securities. However, the Sub-Fund will not hold more than 10% of its net assets in fixed income/debt securities with no credit rating or with a credit rating below investment grade. The Sub-Fund will also not invest more than 10% of its net assets in fixed income/debt securities issued by or guaranteed by any single sovereign issuer with a credit rating below investment grade. For the avoidance of doubt, a “single sovereign issuer” shall include a country, its government, a public or local authority of that country.

- ***What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?***

1. The Sub-Fund’s net assets will be primarily invested in debt securities that undergo the ESG Integration analysis.
2. No exposure to companies that fit the ESG Exclusions criteria.

- ***What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?***

Not applicable.


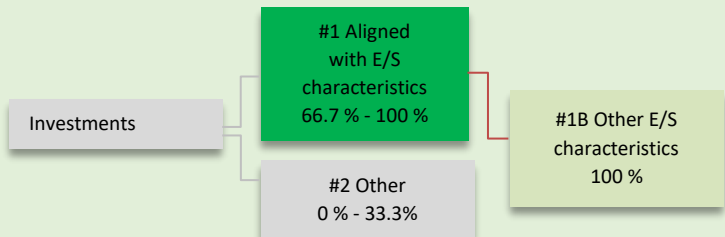
The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.


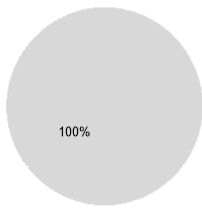
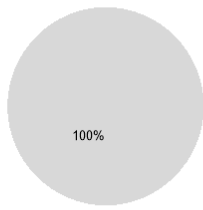
Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.


Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:





- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

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| <ul style="list-style-type: none"> ● What is the policy to assess good governance practices of the investee companies? | |
| | <p>PPMA’s credit research team considers governance issues as part of their fundamental credit research process, with the accountability of the board, the incentives that are driving growth, and the structure that makes the company accountable to equity or debt holders as key issues to assessing the risk/return profile of a potential investment. Where we believe a company has demonstrated weak governance practices or otherwise not acted not in the interests of bondholders or other stakeholders, our credit team may recommend that we pass on an investment, reduce an existing position or sell a position entirely.</p> <p>Direct engagement with management is an important part of PPMA’s credit research process. Our investment professionals regularly engage company leadership on material risk factors, including ESG where applicable. We view these interactions as a chance to listen and learn directly from company management as well as an opportunity to ask questions and provide feedback.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold up to 33.3% of its assets in other investment instruments. PPMA shall ensure that 100% of the Sub-Fund’s debt securities are aligned with environmental and social characteristics contemplated through its ESG Integration approach.</p> |
| | <div style="text-align: center;">  <pre> graph LR Investments --> N1["#1 Aligned with E/S characteristics 66.7 % - 100 %"] Investments --> N2["#2 Other 0 % - 33.3%"] N1 --> N1B["#1B Other E/S characteristics 100 %"] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments |
| <ul style="list-style-type: none"> ● How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? | |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |

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|  | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁶³ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | |
| <p><i>Enabling activities directly enable other activities to make a substantial contribution to an environmental objective. Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.</i></p> | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="459 913 895 1361"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  </div> <div data-bbox="938 913 1374 1361"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  </div> </div> <p>Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> ● What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

⁶³ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

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|  | What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy? |
| | Not applicable. |
|  | What is the minimum share of socially sustainable investments? |
| | Not applicable. |
|  | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
| | The category “Other” typically includes but is not limited to instruments such as direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. No minimum environmental or social safeguards are applied to such instruments. |
|  | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | No. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.



Where can I find more product specific information online?

More product-specific information can be found on the website:

More product-specific information can be found on the website:
<https://www.eastspring.com/lu/sustainability>.

Further details regarding the investment strategy of the Sub-Fund can be found in the prospectus.
Further details on PPMA's approach to ESG can be found on our website www.ppmamerica.com.

Product name:
 EASTSPRING INVESTMENTS – US HIGH
 INVESTMENT GRADE BOND FUND

Legal entity identifier:
 5493001NYMT73ZLX1W09

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|---|---|
| <input checked="" type="radio"/> <input type="radio"/> Yes | <input type="radio"/> <input checked="" type="radio"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective : ___ % <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective : _____ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy <input type="checkbox"/> with a social objective <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. PPMA ESG Integration: The Sub-Fund’s environmental, social and governance (ESG) integration approach focuses on the impact material ESG factors may have on the investment value of the Sub-Fund’s debt securities (excludes instruments such as direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds). Consistent with our fiduciary obligations, we seek to serve the best interest of our clients and put our clients’ interests before our own. We believe that incorporating ESG factors into our investment analysis is consistent with that obligation, as we seek to consider all factors that can have an impact on our ability to deliver investment results for our clients.

As part of the credit research process, credit analysts make a judgement regarding the materiality of each ESG issue. Their assessments of material ESG issues or risks are summarized for investment committees or discussed in sector and company update meetings with portfolio managers. In addition to the information provided by issuers, the credit research team may reference external research from rating agencies, sell side brokers and third-party data providers. Where ESG ratings are not readily available, PPMA will rely on the existing investment process and due diligence to reflect its internal assessment of ESG, whenever possible. ESG issues considered include a wide range of topics, including consumer and product safety, environment and energy, labor standards and human rights, workplace and board diversity, and corporate political issues.

While a variety of factors go into the investment analysis, the overall principle guiding all investment decisions focuses on value.

2. ESG exclusions applied to the Sub-Fund include:
 - i) Companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as defined by Institutional Shareholder Services (“ISS”) (PAI 14).
 - ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
 - iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal as defined by Morgan Stanley Capital International (“MSCI”) (PAI 4).

● ***What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?***

1. Proportion of Sub-Fund stated as aligned with E/S characteristics – The Sub-Fund adopts an ESG Integration approach that focuses on identifying ESG issues that have a material impact on an investment’s valuation and credit worthiness.

As part of the credit research process, analysts make a judgement regarding the materiality of each ESG issue. Their assessments of material ESG issues or risks are summarized for investment committees, or discussed in sector and company update meetings with portfolio managers. In addition to information provided by issuers, the credit research team may reference external research from rating agencies, sell side brokers and third-party data providers. Where ESG ratings are not readily available, PPMA will rely on the existing investment process and due diligence to reflect its internal assessment of ESG, whenever

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

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| | <p>possible. While the Sub-Fund does not have any specific ESG-focused objectives in place, portfolio managers access an Aladdin® ESG dashboard to review issuer, industry and portfolio level reporting, which is driven by a robust third-party ESG issuer-level dataset that covers an extensive universe of public corporate bonds. MSCI ESG ratings and scores are also integrated into both PPMA’s proprietary Credit Research Portal and Aladdin® to support our broader portfolio monitoring efforts. These tools allow portfolio managers to evaluate the ESG characteristics of their portfolios both separately and relative to a benchmark.</p> <p>2. ESG Exclusions – the following exclusions are applied to the Sub-Fund:</p> <ul style="list-style-type: none"> i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as defined by Institutional Shareholder Services (“ISS”) (PAI 14) ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products. iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal as defined by Morgan Stanley Capital International (“MSCI”) (PAI 4). |
| | <ul style="list-style-type: none"> ● <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.



Does this financial product consider principal adverse impacts on sustainability factors?

Yes

The Sub-Fund considers principal adverse impacts on sustainability factors through the exclusion requirements:

i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons

ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal

No



What investment strategy does this financial product follow?

This Sub-Fund invests in a diversified portfolio consisting primarily of high-quality bonds and other fixed income/debt securities denominated in US dollars, issued in the US market (including “Yankee” and “Global” bonds) rated single A flat and above. The Sub-Fund may invest up to 15% of its net assets in CMBS, MBS and ABS. The Sub-Fund may invest no more than 40% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in CoCos with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 40% of its net assets in external LAC⁶⁴ debt instruments, TLAC debt instruments, non-preferred senior debt and other subordinated debts with loss absorption features.

The Sub-Fund may continue to hold securities that are downgraded below the minimum indicated rating after purchase but may not make additional purchases of such securities.


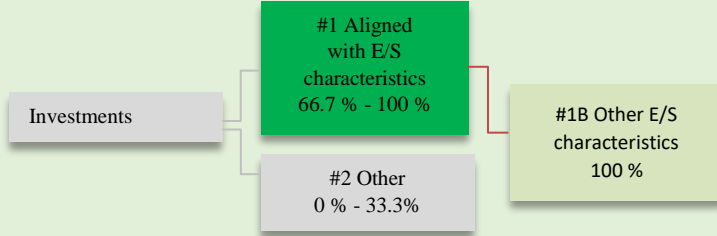
Yankee bonds mean debt of foreign issuers issued in the US domestic market. Global bonds mean debt issued simultaneously in the eurobond and US domestic bond markets.


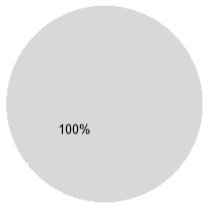
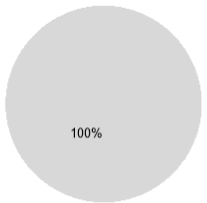
● **What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?**

1. The Sub-Fund’s net assets will be primarily invested in debt securities that undergo the ESG Integration analysis.
2. No exposure to companies that fit the ESG Exclusions criteria


The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

⁶⁴ External LAC debt instruments under the Financial Institutions (Resolution) (Loss-absorbing Capacity Requirements (“LAC”)) – Banking Sector Rules


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| | <ul style="list-style-type: none"> • What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy? |
| | Not applicable. |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| | <p>PPMA’s credit research team considers governance issues as part of their fundamental credit research process, with the accountability of the board, the incentives that are driving growth, and the structure that makes the company accountable to equity or debt holders as key issues to assessing the risk/return profile of a potential investment. Where we believe a company has demonstrated weak governance practices or otherwise not acted not in the interests of bondholders or other stakeholders, our credit team may recommend that we pass on an investment, reduce an existing position or sell a position entirely.</p> <p>Direct engagement with management is an important part of PPMA’s credit research process. Our investment professionals regularly engage company leadership on material risk factors, including ESG where applicable. We view these interactions as a chance to listen and learn directly from company management as well as an opportunity to ask questions and provide feedback.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold up to 33.3% of its assets in other investment instruments. PPMA shall ensure that 100% of the Sub-Fund’s debt securities are aligned with environmental and social characteristics contemplated through its ESG Integration approach.</p> |
| <p>Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.</p> <p>Asset allocation describes the share of investments in specific assets.</p> <p>Taxonomy-aligned activities are expressed as a share of:</p> <ul style="list-style-type: none"> - turnover reflecting the share of revenue from green activities of investee companies - capital expenditure (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy. - operational expenditure (OpEx) reflecting green operational activities of investee |  <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments |

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| | <ul style="list-style-type: none"> ● <i>How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?</i> |
| | The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund. |
|  | To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy? |
| | Not applicable. |
| | Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy? ⁶⁵ |
| | <input type="checkbox"/> Yes: <ul style="list-style-type: none"> <input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy <input checked="" type="checkbox"/> No |
| | |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 5px; width: 45%;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div style="border: 1px solid black; padding: 5px; width: 45%;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p style="font-size: x-small;">This graph represents 100% of the total investments.</p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <ul style="list-style-type: none"> ● What is the minimum share of investments in transitional and enabling activities? |


Enabling activities directly enable other activities to make a substantial contribution to an environmental objective. Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

⁶⁵ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

| | |
|--|--|
| | Not applicable. |
|  | What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy? |
| | Not applicable. |
|  | What is the minimum share of socially sustainable investments? |
| | Not applicable. |
|  | What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards? |
| | The category “Other” typically includes but is not limited to instruments such as direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. No minimum environmental or social safeguards are applied to such instruments. |
|  | Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes? |
| | No. |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | Not applicable. |

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|---|
| | |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability.</p> <p>Further details regarding the investment strategy of the Sub-Fund can be found in the prospectus. Further details on PPMA’s approach to ESG can be found on our website www.ppmamerica.com.</p> |

Product name:
EASTSPRING INVESTMENTS – US HIGH
YIELD BOND FUND

Legal entity identifier:
549300LSXB13BK0WLT04

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The EU Taxonomy is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|---|
| ●● <input type="checkbox"/> Yes | ●● <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ___ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

| <p>What environmental and/or social characteristics are promoted by this financial product?</p> |
|---|
| <p>1. PPMA ESG Integration: The Sub-Fund’s environmental, social and governance (ESG) integration approach focuses on the impact material ESG factors may have on the investment value of the Sub-Fund’s debt securities (excludes instruments such as direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds). Consistent with our fiduciary obligations, we seek to serve the best interest of our clients and put our clients’ interests before our own. We believe that incorporating ESG factors into our investment analysis is consistent with that obligation, as we seek to consider all factors that can have an impact on our ability to deliver investment results for our clients.</p> <p>As part of the credit research process, credit analysts make a judgement regarding the materiality of each ESG issue. Their assessments of material ESG issues or risks are summarized for investment committees or discussed in sector and company update meetings with portfolio managers. In addition to the information provided by issuers, the credit research team may reference external research from rating agencies, sell side brokers and third-party data providers. Where ESG ratings are not readily available, PPMA will rely on the existing investment process and due diligence to reflect its internal assessment of ESG, whenever possible. ESG issues considered include a wide range of topics, including consumer and product safety, environment and energy, labor standards and human rights, workplace and board diversity, and corporate political issues.</p> <p>While a variety of factors go into the investment analysis, the overall principle guiding all investment decisions focuses on value.</p> <p>2. ESG exclusions applied to the Sub-Fund include:</p> <ul style="list-style-type: none"> i) Companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as defined by Institutional Shareholder Services (“ISS”) (PAI 14). ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products. iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal as defined by Morgan Stanley Capital International (“MSCI”) (PAI 4). |
| <ul style="list-style-type: none"> ● <i>What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?</i> |
| <p>1. Proportion of Sub-Fund stated as aligned with E/S characteristics – The Sub-Fund adopts an ESG Integration approach that focuses on identifying ESG issues that have a material impact on an investment’s valuation and credit worthiness.</p> <p>As part of the credit research process, analysts make a judgement regarding the materiality of each ESG issue. Their assessments of material ESG issues or risks are summarized for investment committees, or discussed in sector and company update meetings with portfolio managers. In addition to information provided by issuers, the credit research team may reference external research from rating agencies, sell side brokers and third-party data providers. Where ESG ratings are not readily available, PPMA will rely on the existing investment process and due diligence to reflect its internal assessment of ESG, whenever possible. While the Sub-Fund does not have any specific ESG-focused objectives in place,</p> |

| | |
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| | <p>portfolio managers access an Aladdin® ESG dashboard to review issuer, industry and portfolio level reporting, which is driven by a robust third-party ESG issuer-level dataset that covers an extensive universe of public corporate bonds. MSCI ESG ratings and scores are also integrated into both PPMA’s proprietary Credit Research Portal and Aladdin® to support our broader portfolio monitoring efforts. These tools allow portfolio managers to evaluate the ESG characteristics of their portfolios both separately and relative to a benchmark.</p> <p>2. ESG Exclusions – the following exclusions are applied to the Sub-Fund:</p> <ul style="list-style-type: none"> i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as defined by Institutional Shareholder Services (“ISS”) (PAI 14) ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products. iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal as defined by Morgan Stanley Capital International (“MSCI”) (PAI 4). |
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Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.

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| | <ul style="list-style-type: none"> ● <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

| | |
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|  | <p>Does this financial product consider principal adverse impacts on sustainability factors?</p> <p><input type="checkbox"/> <input checked="" type="checkbox"/> Yes</p> <p>The Sub-Fund considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p>ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
| | <p><input checked="" type="checkbox"/> <input type="checkbox"/> No</p> |
| | <p>What investment strategy does this financial product follow?</p> |
|  | <p>This Sub-Fund invests in a diversified portfolio consisting primarily of high yield bonds and other fixed income/debt securities denominated in US dollars, issued in the US market (including “Yankee” and “Global” bonds) rated below BBB-. The Sub-Fund may invest up to 20% of its net assets in CMBS, MBS and ABS. Up to 20% of the assets of this Sub-Fund may be invested in investment grade securities (i.e. BBB- and above).</p> <p>This Sub-Fund may also invest up to 5% in aggregate of its net assets in Distressed Securities and Defaulted Securities. The Sub-Fund may invest no more than 25% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in CoCos with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 20% of its net assets in non-preferred senior debt and other subordinated debts with loss absorption features.</p> <p>Yankee bonds mean debt of foreign issuers issued in the US domestic market. Global bonds mean debt issued simultaneously in the eurobond and US domestic bond markets.</p> |
| | <ul style="list-style-type: none"> ● <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <ol style="list-style-type: none"> 3. The Sub-Fund’s net assets will be primarily invested in debt securities that undergo the ESG Integration analysis. 4. No exposure to companies that fit the ESG Exclusions criteria. |
| | <ul style="list-style-type: none"> ● <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> ● <i>What is the policy to assess good governance practices of the investee companies?</i> <p>PPMA’s credit research team considers governance issues as part of their fundamental credit research process, with the accountability of the board, the incentives that are driving growth, and the structure that makes the company accountable to equity or debt holders as key issues to assessing the risk/return profile of a potential investment. Where we believe a company</p> |

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

has demonstrated weak governance practices or otherwise not acted not in the interests of bondholders or other stakeholders, our credit team may recommend that we pass on an investment, reduce an existing position or sell a position entirely.

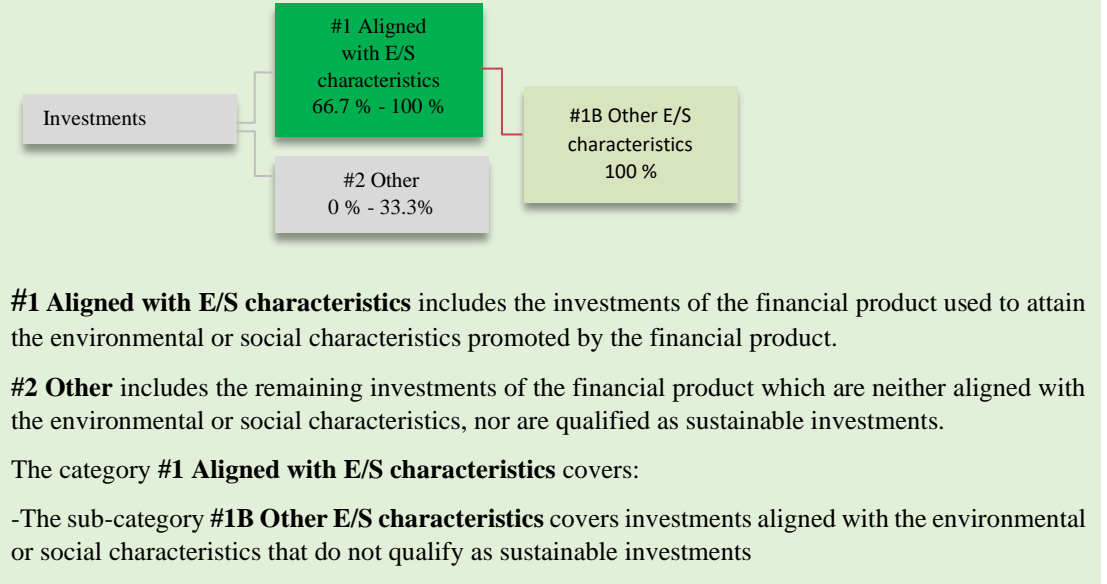
Direct engagement with management is an important part of PPMA’s credit research process. Our investment professionals regularly engage company leadership on material risk factors, including ESG where applicable. We view these interactions as a chance to listen and learn directly from company management as well as an opportunity to ask questions and provide feedback.



What is the asset allocation planned for this financial product?

The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold up to 33.3% of its assets in other investment instruments. PPMA shall ensure that 100% of the Sub-Fund’s debt securities are aligned with environmental and social characteristics contemplated through its ESG Integration approach.

Asset allocation describes the share of investments in specific assets.



Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

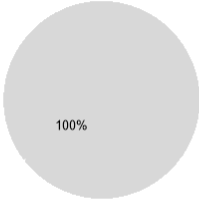
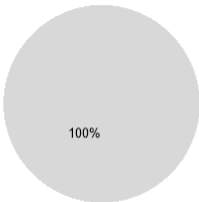



• **How does the use of derivatives attain the environmental or social characteristics promoted by the financial product?**

The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.




To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?

Not applicable.

| | |
|---|--|
| | <p>Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy? ⁶⁶</p> |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="459 696 895 1137"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="938 696 1374 1137"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p>100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p><small>This graph represents 100% of the total investments.</small></p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
| | <p>● What is the minimum share of investments in transitional and enabling activities?</p> |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
|  | <p>Not applicable.</p> |
|  | <p>What is the minimum share of socially sustainable investments?</p> |
| | <p>Not applicable.</p> |




Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

 are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

⁶⁶ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
|---|---|
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> <p>The category “Other” typically includes but is not limited to instruments such as direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. No minimum environmental or social safeguards are applied to such instruments.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> <p>No.</p> <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability.</p> <p>Further details regarding the investment strategy of the Sub-Fund can be found in the prospectus. Further details on PPMA’s approach to ESG can be found on our website www.ppmamerica.com.</p> |

Product name:
 EASTSPRING INVESTMENTS – US
 INVESTMENT GRADE BOND FUND

Legal entity identifier:
 549300AR3SX76MX4HU17

Pre-contractual disclosure for financial products referred to in Article 8, paragraph 1, 2 and 2a, of Regulation (EU) 2019/2088 and Article 6, first paragraph, of Regulation (EU) 2020/852

Sustainable investment means an investment in an economic activity that contributes to an environmental or social objective, provided that the investment does not significantly harm any environmental or social objective and that the investee companies follow good governance practices.

The **EU Taxonomy** is a classification system laid down in Regulation (EU) 2020/852, establishing a list of **environmentally sustainable economic activities**. That Regulation does not include a list of socially sustainable economic activities. Sustainable investments with an environmental objective might be aligned with the Taxonomy or not.

Environmental and/or social characteristics

| Does this financial product have a sustainable investment objective? | |
|--|---|
| <input checked="" type="radio"/> <input type="radio"/> Yes | <input type="radio"/> <input checked="" type="radio"/> No |
| <input type="checkbox"/> It will make a minimum of sustainable investments with an environmental objective: ___ % | <input type="checkbox"/> It promotes Environmental/Social (E/S) characteristics and while it does not have as its objective a sustainable investment, it will have a minimum proportion of ___% of sustainable investments |
| <input type="checkbox"/> in economic activities that qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy | <input type="checkbox"/> with an environmental objective in economic activities that do not qualify as environmentally sustainable under the EU Taxonomy |
| <input type="checkbox"/> It will make a minimum of sustainable investments with a social objective: _____ % | <input type="checkbox"/> with a social objective |
| | <input checked="" type="checkbox"/> It promotes E/S characteristics, but will not make any sustainable investments |



Sustainability indicators measure how the sustainable objectives of this financial product are attained.

What environmental and/or social characteristics are promoted by this financial product?

1. PPMA ESG Integration: The Sub-Fund’s environmental, social and governance (ESG) integration approach focuses on the impact material ESG factors may have on the investment value of the Sub-Fund’s debt securities (excludes instruments such as direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds). Consistent with our fiduciary obligations, we seek to serve the best interest of our clients and put our clients’ interests before our own. We believe that incorporating ESG factors into our investment analysis is consistent with that obligation, as we seek to consider all factors that can have an impact on our ability to deliver investment results for our clients.

As part of the credit research process, credit analysts make a judgement regarding the materiality of each ESG issue. Their assessments of material ESG issues or risks are summarized for investment committees or discussed in sector and company update meetings with portfolio managers. In addition to the information provided by issuers, the credit research team may reference external research from rating agencies, sell side brokers and third-party data providers. Where ESG ratings are not readily available, PPMA will rely on the existing investment process and due diligence to reflect its internal assessment of ESG, whenever possible. ESG issues considered include a wide range of topics, including consumer and product safety, environment and energy, labor standards and human rights, workplace and board diversity, and corporate political issues.

While a variety of factors go into the investment analysis, the overall principle guiding all investment decisions focuses on value.

ESG exclusions applied to the Sub-Fund include:

- iv) Companies with a verified involvement in cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as defined by Institutional Shareholder Services (“ISS”) (PAI 14).
- v) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products.
- vi) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal as defined by Morgan Stanley Capital International (“MSCI”) (PAI 4).

● **What sustainability indicators are used to measure the attainment of each of the environmental or social characteristics promoted by this financial product?**

1. Proportion of Sub-Fund stated as aligned with E/S characteristics – The Sub-Fund adopts an ESG Integration approach that focuses on identifying ESG issues that have a material impact on an investment’s valuation and credit worthiness.

As part of the credit research process, analysts make a judgement regarding the materiality of each ESG issue. Their assessments of material ESG issues or risks are summarized for investment committees, or discussed in sector and company update meetings with portfolio managers. In addition to information provided by issuers, the credit research team may reference external research from rating agencies, sell side brokers and third-party data providers. Where ESG ratings are not readily available, PPMA will rely on the existing investment process and due diligence to reflect its internal assessment of ESG, whenever

Principal adverse impacts are the most significant negative impacts of investment decisions on sustainability factors relating to environmental, social and employee matters, respect for human rights, anti-corruption and anti-bribery matters.



| | |
|--|---|
| | <p>possible. While the Sub-Fund does not have any specific ESG-focused objectives in place, portfolio managers access an Aladdin® ESG dashboard to review issuer, industry and portfolio level reporting, which is driven by a robust third-party ESG issuer-level dataset that covers an extensive universe of public corporate bonds. MSCI ESG ratings and scores are also integrated into both PPMA’s proprietary Credit Research Portal and Aladdin® to support our broader portfolio monitoring efforts. These tools allow portfolio managers to evaluate the ESG characteristics of their portfolios both separately and relative to a benchmark.</p> <p>2. ESG Exclusions – the following exclusions are applied to the Sub-Fund:</p> <ul style="list-style-type: none"> i) Companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as defined by Institutional Shareholder Services (“ISS”) (PAI 14) ii) Companies classified under the Tobacco Sub-Industry by Global Industry Classification Methodology (“GICS”): manufacturers of cigarettes and other tobacco products. iii) Companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal as defined by Morgan Stanley Capital International (“MSCI”) (PAI 4). |
| | <ul style="list-style-type: none"> ● <i>What are the objectives of the sustainable investments that the financial product partially intends to make and how does the sustainable investment contribute to such objectives?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How do the sustainable investments that the financial product partially intends to make, not cause significant harm to any environmental or social sustainable investment objective?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How have the indicators for adverse impacts on sustainability factors been taken into account?</i> |
| | Not applicable. |
| | <ul style="list-style-type: none"> ● <i>How are the sustainable investments aligned with the OECD Guidelines for Multinational Enterprises and the UN Guiding Principles on Business and Human Rights?</i> |
| | Not applicable. |

The EU Taxonomy sets out a “do not significant harm” principle by which Taxonomy-aligned investments should not significantly harm EU Taxonomy objectives and is accompanied by specific EU criteria.

The “do no significant harm” principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

Any other sustainable investments must also not significantly harm any environmental or social objectives.

The investment strategy guides investment decisions based on factors such as investment objectives and risk tolerance.

| | |
|---|--|
|  | <p>Does this financial product consider principal adverse impacts on sustainability factors?</p> |
| | <p><input checked="" type="checkbox"/> Yes</p> <p>The Sub-Fund considers principal adverse impacts on sustainability factors through the exclusion requirements:</p> <p>i) PAI 14: No investment in companies with a verified involvement to cluster munitions, anti-personnel mines, biological weapons, chemical weapons, and nuclear weapons outside of the UN Treaty on the Non-Proliferation of Nuclear Weapons (“NPT”) as companies to be excluded for controversial weapons</p> <p><input checked="" type="checkbox"/> ii) PAI 4: No investment in companies that derive greater than 30% of their revenues from thermal coal mining and/or electricity generated from thermal coal</p> |
| <p><input type="checkbox"/> No</p> | |
|  | <p>What investment strategy does this financial product follow?</p> |
| | <p>This Sub-Fund invests in a diversified portfolio consisting primarily of quality bonds and other fixed income/debt securities denominated in US dollars, issued in the US market (including “Yankee” and “Global” bonds) rated BBB- and above. The Sub-Fund may invest up to 15% of its net assets in CMBS, MBS and ABS. The Sub-Fund may invest no more than 40% of its net assets in debt instruments with loss absorption features out of which up to 5% of its net assets may be invested in CoCos with loss absorption features (such as Additional Tier 1 capital and Tier 2 capital instruments with mechanical triggers (i.e. debt instruments with write-down or conversion into equity features with pre-specified triggers)) and up to 40% of its net assets in external LAC⁶⁷ debt instruments, TLAC⁶⁸ debt instruments, non-preferred senior debt and other subordinated debts with loss absorption features.⁶⁹</p> <p>The Sub-Fund may continue to hold securities that are downgraded below the minimum indicated rating after purchase but may not make additional purchases of such securities.</p> <p>Yankee bonds mean debt of foreign issuers issued in the US domestic market. Global bonds mean debt issued simultaneously in the eurobond and US domestic bond markets.</p> |
| | <ul style="list-style-type: none"> • <i>What are the binding elements of the investment strategy used to select the investments to attain each of the environmental or social characteristics promoted by this financial product?</i> |
| | <ol style="list-style-type: none"> 1. The Sub-Fund’s net assets will be primarily invested in debt securities that undergo the ESG Integration analysis. 2. No exposure to companies that fit the ESG Exclusions criteria. |
| | <ul style="list-style-type: none"> • <i>What is the committed minimum rate to reduce the scope of the investments considered prior to the application of that investment strategy?</i> |

⁶⁷ External LAC debt instruments under the Financial Institutions (Resolution) (Loss-absorbing Capacity Requirements (“LAC”)) – Banking Sector Rules

⁶⁸ Debt instruments issued under a regime of non-Hong Kong jurisdictions which implements the Financial Stability Board’s standards for “Total Loss-absorbing Capacity Term Sheet” (“TLAC”)


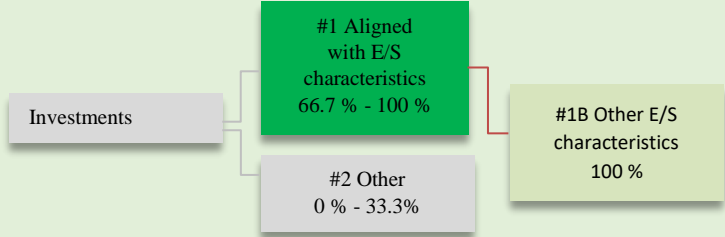
⁶⁹ Please refer to the paragraph “Risk associated with instruments with loss absorption features” in Appendix 3 of the Prospectus “Risk Considerations and Investment Restrictions” for additional disclosure and a further description of risks associated with instruments with loss absorption features.


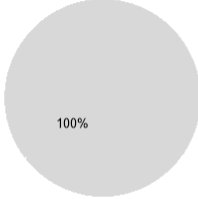
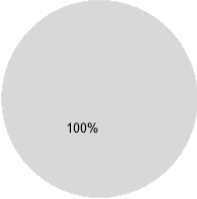


Good governance practices include sound management structures, employee relations, remuneration of staff and tax compliance.

Asset allocation describes the share of investments in specific assets.

Taxonomy-aligned activities are expressed as a share of:

- **turnover** reflecting the share of revenue from green activities of investee companies
- **capital expenditure** (CapEx) showing the green investments made by investee companies, e.g. for a transition to a green economy.
- **operational expenditure** (OpEx) reflecting green operational activities of investee companies.

| | |
|---|---|
| | Not applicable. |
| | <ul style="list-style-type: none"> • What is the policy to assess good governance practices of the investee companies? |
| | <p>PPMA’s credit research team considers governance issues as part of their fundamental credit research process, with the accountability of the board, the incentives that are driving growth, and the structure that makes the company accountable to equity or debt holders as key issues to assessing the risk/return profile of a potential investment. Where we believe a company has demonstrated weak governance practices or otherwise not acted not in the interests of bondholders or other stakeholders, our credit team may recommend that we pass on an investment, reduce an existing position or sell a position entirely.</p> <p>Direct engagement with management is an important part of PPMA’s credit research process. Our investment professionals regularly engage company leadership on material risk factors, including ESG where applicable. We view these interactions as a chance to listen and learn directly from company management as well as an opportunity to ask questions and provide feedback.</p> |
|  | <p>What is the asset allocation planned for this financial product?</p> <p>The Sub-Fund shall invest up to a maximum of 100% in debt securities with a possibility to hold up to 33.3% of its assets in other investment instruments. PPMA shall ensure that 100% of the Sub-Fund’s debt securities are aligned with environmental and social characteristics contemplated through its ESG Integration approach.</p> |
| | <div style="text-align: center;">  <pre> graph LR Investments --> N1["#1 Aligned with E/S characteristics 66.7% - 100%"] Investments --> N2["#2 Other 0% - 33.3%"] N1 --> N1B["#1B Other E/S characteristics 100%"] </pre> </div> <p>#1 Aligned with E/S characteristics includes the investments of the financial product used to attain the environmental or social characteristics promoted by the financial product.</p> <p>#2 Other includes the remaining investments of the financial product which are neither aligned with the environmental or social characteristics, nor are qualified as sustainable investments.</p> <p>The category #1 Aligned with E/S characteristics covers:</p> <ul style="list-style-type: none"> -The sub-category #1B Other E/S characteristics covers investments aligned with the environmental or social characteristics that do not qualify as sustainable investments. |
| | <ul style="list-style-type: none"> • How does the use of derivatives attain the environmental or social characteristics promoted by the financial product? |
| | <p>The Sub-Fund does not use derivative instruments to meet or contribute towards the environmental or social characteristics promoted by this Sub-Fund.</p> |
| | <p>To what minimum extent are sustainable investments with an environmental objective aligned with the EU Taxonomy?</p> <p>Not applicable.</p> |

| | |
|---|--|
|  | <ul style="list-style-type: none"> Does the financial product invest in fossil gas and/or nuclear energy related activities that comply with the EU Taxonomy?⁷⁰ |
| | <p><input type="checkbox"/> Yes:</p> <p style="padding-left: 40px;"><input type="checkbox"/> In fossil gas <input type="checkbox"/> In nuclear energy</p> <p><input checked="" type="checkbox"/> No</p> |
| | <p>The two graphs below show in green the minimum percentage of investments that are aligned with the EU Taxonomy. As there is no appropriate methodology to determine the Taxonomy-alignment of sovereign bonds*, the first paragraph shows the Taxonomy alignment in relation to all the investments of the financial product including sovereign bonds, while the second graph shows the Taxonomy alignment only in relation to the investments of the financial product other than sovereign bonds.</p> <div style="display: flex; justify-content: space-around;"> <div data-bbox="432 824 865 1263" style="border: 1px solid #ccc; padding: 10px;"> <p>1. Taxonomy-alignment of investments including sovereign bonds*</p>  <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear ■ Taxonomy-aligned (no fossil gas & nuclear) ■ Non Taxonomy-aligned </div> <div data-bbox="948 824 1380 1263" style="border: 1px solid #ccc; padding: 10px;"> <p>2. Taxonomy-alignment of investments excluding sovereign bonds*</p>  <p style="text-align: center;">100%</p> <ul style="list-style-type: none"> ■ Taxonomy-aligned: Fossil gas ■ Taxonomy-aligned: Nuclear <p style="font-size: small;">This graph represents 100% of the total investments.</p> </div> </div> <p><i>*For the purpose of these graphs, “sovereign bonds” consist of all sovereign exposures</i></p> |
|  | <ul style="list-style-type: none"> What is the minimum share of investments in transitional and enabling activities? |
| | <p>Not applicable.</p> |
|  | <p>What is the minimum share of sustainable investments with an environmental objective that are not aligned with the EU Taxonomy?</p> |
| | <p>Not applicable.</p> |





Enabling activities directly enable other activities to make a substantial contribution to an environmental objective.

Transitional activities are activities for which low-carbon alternatives are not yet available and among others have greenhouse gas emission levels corresponding to the best performance.

are environmentally sustainable investments that do not take into account the criteria for environmentally sustainable economic activities under the EU Taxonomy.

⁷⁰ Fossil gas and/or nuclear related activities will only comply with the EU Taxonomy where they contribute to limiting climate change (“climate change mitigation”) and do not significantly harm any EU Taxonomy objectives - see explanatory note in the left-hand margin. The full criteria for fossil gas and nuclear energy economic activities that comply with the EU Taxonomy are laid down in Commission Delegated Regulation (EU) 2022/1214.

Reference benchmarks are indexes to measure whether the financial product attains the environmental or social characteristics that they promote.

| | |
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|  | <p>What is the minimum share of socially sustainable investments?</p> <p>Not applicable.</p> |
|  | <p>What investments are included under “#2 Other”, what is their purpose and are there any minimum environmental or social safeguards?</p> <p>The category “Other” typically includes but is not limited to instruments such as direct cash holdings, short term instruments, index instruments, liquidity funds, derivatives and money market funds. No minimum environmental or social safeguards are applied to such instruments.</p> |
|  | <p>Is a specific index designated as a reference benchmark to determine whether this financial product is aligned with the environmental and/or social characteristics that it promotes?</p> <p>No.</p> |
| | <ul style="list-style-type: none"> • <i>How is the reference benchmark continuously aligned with each of the environmental or social characteristics promoted by the financial product?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How is the alignment of the investment strategy with the methodology of the index ensured on a continuous basis?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>How does the designated index differ from a relevant broad market index?</i> |
| | <p>Not applicable.</p> |
| | <ul style="list-style-type: none"> • <i>Where can the methodology used for the calculation of the designated index be found?</i> |
| | <p>Not applicable.</p> |
|  | <p>Where can I find more product specific information online?</p> <p>More product-specific information can be found on the website:</p> |
| | <p>More product-specific information can be found on the website: https://www.eastspring.com/lu/sustainability.</p> <p>Further details regarding the investment strategy of the Sub-Fund can be found in the prospectus. Further details on PPMA’s approach to ESG can be found on our website www.ppmamerica.com.</p> |