




A Prudential plc company 

Ho Chi Minh City, November 2, 2023

Dear valued Investors,

Eastspring Investments Fund Management Company ("EIFMC") would like to say thank you for your continued commitment, support and business with us all the time.

We would like to announce the disbursement of the additional contribution of the Eastspring Investments Vietnam Navigator Fund ("ENF Fund") while waiting for the SSC to adjust the Certificate of Fund Establishment Registration, as follows:

- According to the regulation in Clause 2, Article 230 of Decree 155/2020/ND-CP, "The additional capital contribution of the fund shall only be disbursed after the State Securities Commission adjusts the Certificate of Fund Establishment Registration".
- To comply with the above regulation, in the afternoon of November 1, 2023, the Company has instructed to transfer money to a dedicated account to block ("Blocking Instruction") with the amount of 118,500,000 VND which is the capital contribution of the investor, however, on the same day, the amount in the Fund's account was used by the securities company for the order to buy securities on the floor so the Blocking Instruction could not be executed.
- We became aware of the incident on November 1, 2023 after receiving information from our current supervising bank, HSBC Bank (Vietnam) Limited, and the cause was identified as the delay in issuing the Blocking Instruction.
- To remedy the incident, we determine that when the money from selling securities returns to the account on November 3, 2023, the account balance will be enough for the transfer to the dedicated account. We will report an update to the Authority when this violation is fully remedied.

We affirm that the information above is true and the incident does not adversely affect the interests of the Fund's investors.

We will continue to update you when the remedial measures are completed.

Best regards, 



Tran Vu Duy
Head of Risk, Compliance and Legal