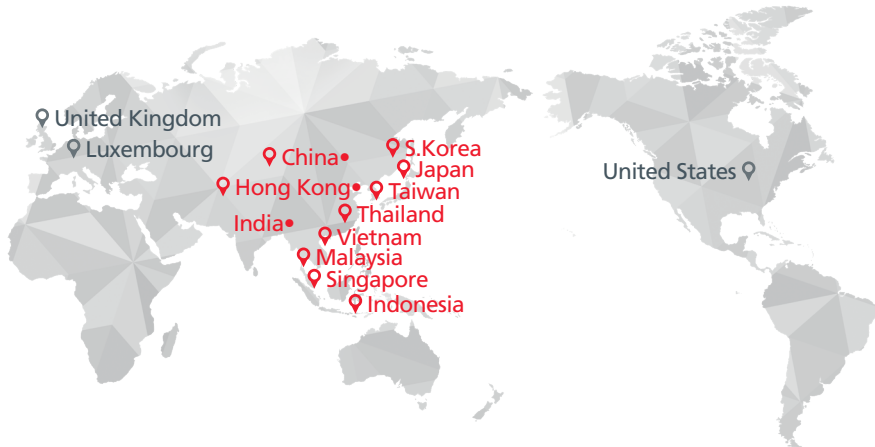


Leveraging our Asian DNA to capitalize on global opportunities

# ASIAN CENTER, GLOBAL PRESENCE



📍 Investment & Client Service Office    📍 Sales & Client Service Office    • Joint Venture

## Eastspring Overview

One of the largest asset managers based in Asia, with USD 241 billion<sup>1</sup> under management.

Founded in 1994, headquartered in Singapore, with investment professionals throughout Asia and mainland China.

Institutional and retail client base across Asia, Europe and the Americas.

High Conviction, Active Value, Quality Growth and Quantitative Equities; Asian/EM Credit, and Sustainable (ESG) Investments.

Environmental, Social and Governance (ESG) incorporated across investment platforms. Signatories to the UN-Supported Principles of Responsible Investment.

## Institutional Investment Capabilities

- ▶ Asian IG and HY Fixed Income
- ▶ Emerging Markets Debt
- ▶ Global/Asian Low Volatility
- ▶ China A-Shares Equity
- ▶ Emerging Markets Equity
- ▶ Japan Concentrated Equity
- ▶ Customized Solutions
- ▶ China Bonds

## Why Eastspring?

Pursuit of alpha through a differentiated, Asian lens.

History of operating in some of the most diverse, illiquid and inefficient markets in the world positions us well for emerging and developed markets investments.

Alignment of interests and history of investing alongside our clients.

Commitment of seed capital to innovate new strategies and willingness to customize solutions for clients.

Risk management is embedded in our DNA with a long history of managing liability-linked assets.

ONE OF THE LARGEST INVESTMENT TEAMS IN ASIA

**11** MAJOR ASIAN MARKETS

including a Wholly Foreign-Owned Enterprise ("WFOE") based in Shanghai



RESEARCH DEPTH AND EDGE

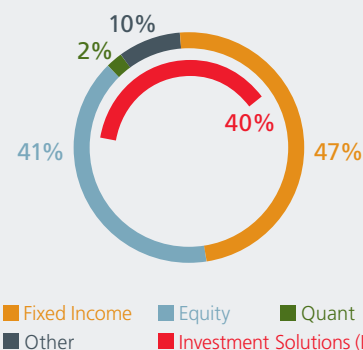
**300+**

INVESTMENT PROFESSIONALS



ASSET BREAKDOWN

**USD 241** BN<sup>1</sup>



DIVERSITY OF OUR PEOPLE AND PERSPECTIVES

**36%** OF SENIOR MANAGEMENT ARE WOMEN

**34** LANGUAGES

**50%** OF TOP TALENT ARE WOMEN

**29** NATIONALITIES



## Investment capabilities overview

### EQUITIES

AUM of  
**USD 39.5bn**

#### Key capabilities

- ▶ GEM
- ▶ Japan
- ▶ China A
- ▶ Greater China
- ▶ Asia ex – Japan

**35** Investment professionals

**18** Average years of experience

### FIXED INCOME

AUM of  
**USD 54bn**

#### Key capabilities

- ▶ EM Debt
- ▶ Asian HY
- ▶ Asia Bond
- ▶ Bank Loans
- ▶ China Bonds

**21** Investment professionals

**16** Average years of experience

### INVESTMENT SOLUTIONS

AUM of  
**USD 96bn\***

#### Key capabilities

- ▶ Multi Asset Solutions

**15** Investment professionals

**13** Average years of experience

### QUANTITATIVE STRATEGIES

AUM of  
**USD 3bn**

#### Key capabilities

- ▶ Global Low Volatility
- ▶ Asian Low Volatility
- ▶ Multi-Factor

**8** Investment professionals

**14** Average years of experience

For more information on Eastspring Investments, please visit: [eastspring.com/us](https://eastspring.com/us)



#### Matthew Appelstein CFA, CPA

Head of Americas, Eastspring Investments, Inc.  
E: [matthew.Appelstein@eastspring.com](mailto:matthew.Appelstein@eastspring.com)  
C: 617-319-2345 | T: 312-730-9540



#### Brian Manders

Manager, Client Services and Sales Support, Eastspring Investments, Inc.  
E: [brian.manders@eastspring.com](mailto:brian.manders@eastspring.com)  
C: 414-736-0245



\*As at 31 December 2019. bn = billion. AUM for investment teams are internally calculated and includes advisory mandates. There might be double counting of assets within the individual investment teams. The figures above do not reflect joint venture assets under management. \*Including sub-funds managed by other teams and investments into Eastspring SICAV funds. Eastspring Investments provides investment management services to institutional clients and manages mutual funds, and pooled vehicles that are offered to investors in multiple jurisdictions. Eastspring Investments manages and offers a wide range of funds to meet the diverse needs of its investors and provides investment advisory services to affiliated companies and third party institutional clients globally. Eastspring Investments client service offerings in the United States include investment advisory services to separately managed accounts and collective investment vehicles for US institutional clients. Additional information about Eastspring Investments is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). This report is provided for informational purposes only and is not intended to be, and should not be construed as, an offer, solicitation or recommendation with respect to any transaction.