

SUITABILITY ASSESSMENT FORM

INDIVIDUAL



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This Suitability Assessment Form will guide you in choosing the unit trust fund(s) that suit your investment objectives, risk tolerance, financial profile and investment experience. The information you provide will form the basis of our recommendation. It is important to provide true, accurate and complete information to ensure that suitable products are recommended according to your investment needs and objectives. Please understand that any misleading, inaccurate or incomplete information provided by you will affect the outcome of the recommendation made. In such a case, the Unit Trust Consultant may not be held liable for such recommendation.

A KNOWING YOU BETTER

The Principal Investor should complete this Suitability Assessment Form.

Master Account No.	<input type="text"/>	NRIC/Passport No./ID No.	<input type="text"/>
Investor's Name	<input type="text"/>		

B UNDERSTANDING YOUR NEEDS AND RISK PROFILE

Please tick (✓) the appropriate box and fill in your score in the column provided.

FINANCIAL CAPACITY	SCORE
What is your age? <input type="checkbox"/> ≤ 30 Years (5) <input type="checkbox"/> 31 - 40 (4) <input type="checkbox"/> 41 - 45 (3) <input type="checkbox"/> 46 - 50 (2) <input type="checkbox"/> > 50 (1)	
What is your current status? <input type="checkbox"/> Single with dependents (1) <input type="checkbox"/> Married with children (2) <input type="checkbox"/> Married, no children (3) <input type="checkbox"/> Single without dependents (4)	
What is your education level? <input type="checkbox"/> Primary/Secondary (1) <input type="checkbox"/> Diploma/Certificate/Pre-University (2) <input type="checkbox"/> Degree (3) <input type="checkbox"/> Post Graduate (4)	
What do you currently invest in? (you may tick more than one option (please add up your score if you tick more than one option)) <input type="checkbox"/> Savings and deposits (1) <input type="checkbox"/> Bonds (2) <input type="checkbox"/> Unit trusts (3) <input type="checkbox"/> Stocks and shares (4) <input type="checkbox"/> Derivatives (5)	
How many years of investment experience do you have? <input type="checkbox"/> < 1 year (1) <input type="checkbox"/> 1 - 3 years (2) <input type="checkbox"/> 3 - 5 years (3) <input type="checkbox"/> > 5 years (4)	
What is your objective for this investment? (select the most important answer) <input type="checkbox"/> Capital preservation (1) <input type="checkbox"/> Income (2) <input type="checkbox"/> Income & Growth (3) <input type="checkbox"/> Growth (4)	
In the event that the Net Asset Value (NAV) of the fund that you invested fall below 15% of your original investment, what would you do? <input type="checkbox"/> Redeem and fully hold cash (1) <input type="checkbox"/> Hold on to it, no action will be taken (2) <input type="checkbox"/> Switch into a conservative type of fund (3) <input type="checkbox"/> Invest more to average my costs (4) <input type="checkbox"/> Top up aggressively (5)	
How long do you plan to stay invested? <input type="checkbox"/> > 10 years (5) <input type="checkbox"/> 5 - 10 years (4) <input type="checkbox"/> 3 - 5 years (3) <input type="checkbox"/> 1 - 3 years (2) <input type="checkbox"/> < 1 year (1)	
What is the percentage of your monthly liabilities against your income? <input type="checkbox"/> < 20% (3) <input type="checkbox"/> 20% to 50% (2) <input type="checkbox"/> 51% to 75% (1) <input type="checkbox"/> > 75% (0)	
TOTAL	

Risk Profile

SCORE	CATEGORY	DESCRIPTION
8 - 15	Conservative	Your primary investment goal is capital preservation or you may have a long-term investment horizon. You seek a stable level of income with gradual capital growth.
16 - 23	Moderate	Your primary investment goal is stable income with some capital growth. You can tolerate some fluctuations in the value of your investments in the anticipation of a higher return.
24 - 31	Moderately aggressive	Your primary investment goal is capital growth. You can tolerate a fair level of fluctuations in the value of your investments in anticipation of possible higher returns, with some level of income (if any).
32 and above	Aggressive	Your primary investment goal is long-term capital growth. You can tolerate substantial fluctuations in the value of your investment in the short-term in anticipation of the highest possible return over the long-term.

Thank you for taking time to complete this Suitability Assessment Form. The recommendation is made based on the information obtained from this assessment. You are advised to exercise judgement in making an informed decision in relation to the unit trust fund(s).

C FUND RECOMMENDATION

Please tick (✓) the appropriate box according to your total score in Part B.

The recommended funds based on your investment objectives, risk tolerance, financial profile and investment experience are:

Conservative8 - 15 <input type="checkbox"/>	Moderate16 - 23 <input type="checkbox"/>	Moderately Aggressive24 - 31 <input type="checkbox"/>	Aggressive32 and above <input type="checkbox"/>
Eastspring Investments Islamic Income Fund	Eastspring Investments Dana al-Islah	Eastspring Investments Asia Select Income Fund	Eastspring Investments MY Focus Fund
Eastspring Investments Cash Management Fund	Eastspring Investments Balanced Fund	Eastspring Investments Dana Dinamik	Eastspring Investments Small-cap Fund
Eastspring Investments Bond Fund	Eastspring Investments Asian High Yield Bond MY Fund*	Eastspring Investments Dana al-Ilham	Eastspring Investments Asia Pacific Equity MY Fund
Eastspring Investments Institutional Income Fund	Eastspring Investments Equity Income Fund	Eastspring Investments Dynamic Fund	Eastspring Investments Global Emerging Markets Fund
	Eastspring Investments Global Target Income Fund	Eastspring Investments Growth Fund	Eastspring Investments Japan Dynamic MY Fund*
Eastspring Investments <div></div>	Eastspring Investments Islamic Equity Income Fund	Eastspring Investments Asia Pacific ex-Japan Target Return Fund	Eastspring Investments Dinasti Equity Fund
	Eastspring Investments <div></div>	Eastspring Investments <div></div>	Eastspring Investments Islamic Small-cap Fund
Eastspring Investments <div></div>	Eastspring Investments <div></div>	Eastspring Investments <div></div>	Eastspring Investments <div></div>
	Eastspring Investments <div></div>		Eastspring Investments <div></div>
Eastspring Investments <div></div>			Eastspring Investments <div></div>

*Only for sophisticated investors. Please refer to the respective fund(s)' Information Memorandum (IM) for more information.

D ACKNOWLEDGEMENT

Please tick (✓) the applicable box(es)

- ☐ A. I wish to invest in the recommended unit trust fund(s) that is/are within or under my assessed risk tolerance.
- ☐ B. I have decided to purchase other/additional unit trust fund(s) that is/are above my assessed risk tolerance and is/are not recommended by the Unit Trust Consultant.
(Please specify funds below)

Name of fund(s) that I wish to purchase but are not recommended by the UTC.

1. Eastspring Investments
2. Eastspring Investments
3. Eastspring Investments

- ☐ C. I do not wish to provide my personal information for completion of the suitability assessment. I will make all of my present and future investment decisions based on my own judgement and independent advice as I consider appropriate. I acknowledge that the Unit Trust Consultant has explained to me clearly the purpose of this suitability assessment, which I understand would help me to understand and assess my risk tolerance, investment needs and objectives for investment in unit trust funds and the product range that would be suitable for me.

The Unit Trust Consultant has explained and I have understood the features, nature, associated risks and terms and conditions of the relevant unit trust fund(s).

All information disclosed/provided by me is true, complete and accurate. I understand that any misleading, inaccurate or incomplete information provided by me will affect the outcome of the recommendation made. In such case, the Unit Trust Consultant will not be held liable for such recommendation (if any).

I acknowledge receipt a copy of the Suitability Assessment Form (SAF), Product Highlights Sheet (PHS) and Prospectus/Master Prospectus/Information Memorandum of the relevant unit trust fund(s).

Investor's Signature

Name :

Date :

Unit Trust Consultant's Signature

Name :

Date :

UTC's code :

FiMM No. :

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